

6-3-98

06-18-1998

Case Name: Locker et al. 9-6-2

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HEET

To the Honorable Commission

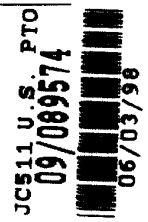
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attached documents or copy thereof.

(1) Conveying Party(ies): Robert J. Locker , Constance B. Sawyer, Martin J. Schad

Title: COATED CATALYTIC CONVERTER SUBSTRATES AND MOUNTS

Additional name(s) of conveying party(ies) attached? Yes No



(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-FR-02-12
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: May 26, 1998, May 26, 1998 and May 29, 1998.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 08/
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on May 26, 1998, May 26, 1998 and May 29, 1998.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Kees van der Sterre
Reg. No. 25,938
Corning Incorporated
Patent Department
SP-FR-02-12
Corning, NY 14831
Phone No.: (607) 974-3294

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No.)
- Included with filing fee. Check No. _____
- Authorization given by Corning Incorporated to charge deposit account number 03-3325

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kees van der Sterre
Kees van der Sterre

June 3, 1998
Date

Total number of pages comprising cover sheet: three

06/17/1998 DNGUYEN 00000184 033325 09089574
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ASSIGNMENT

FOR VALUE RECEIVED, WE, **Robert J. Locker, residing at 10501 Spencer Hill Road, Corning, New York; Constance B. Sawyer, residing at 9313 Watson Creek Road, Lindley, New York; and Martin J. Schad, residing at 15 Overbrook Road, Painted Post, New York**, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **COATED CATALYTIC CONVERTER SUBSTRATES AND MOUNTS**, such inventions being generally described in an application for Letters Patent of the United States executed by us on the

DATE May 26, 1998

DATE May 26, 1998

DATE May 29, 1998

DATE

DATE

DATE

respectively, provisional patent application Serial No. 60/049557 filed by us in the United States on June 13, 1997, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

WE request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

WE agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

WE authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

WE hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

WE covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

PATENT

REEL: 9241 FRAME: 0939

Signed at Corning, N.Y., this

<u>May 26,</u>	1998	<u>Robert J. Locker</u> Robert J. Locker
<u>May 26,</u>	1998	<u>Constance B. Sawyer</u> Constance B. Sawyer
<u>May 29,</u>	1998	<u>Martin J. Schad</u> Martin J. Schad
_____	1998	_____
_____	1998	_____
_____	1998	_____

State of New York)
: ss.
County of Steuben)

On the 26th day of May 1998, before me personally came Robert J. Locker known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public
CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires 12/19/98

State of New York)
: ss.
County of Steuben)

On the 26th day of May 1998, before me personally came Constance B. Sawyer known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public
CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires 12/19/98

State of New York)
: ss.
County of Steuben)

On the 29th day of May 1998, before me personally came Martin J. Schad known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Christine F. Watters
Notary Public
CHRISTINE F. WATTERS
Notary Public, State of New York
Qualified in Steuben County No. 4945322
My Commission Expires 12/19/98

State of New York)
: ss.
County of Steuben)

On the _____ day of _____ 1998, before me personally came _____ known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public