

06-19-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

SHEET

5-28-98

DOCKET# 1-97

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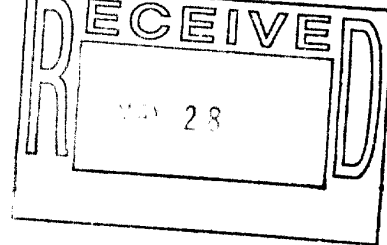
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

PILLUS, Lorraine
CLARKE, Astrid
LOWELL, Joanna
JACOBSON, Sandra
REIFSNYDER, Cheryl

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **University Technology Corporation**Address: **3101 Iris Avenue, Ste. 250
Boulder, CO 80301**Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of name
☐ Other

Execution Date: 4/9/98, 4/9/98, 4/10/98,
4/9/98 and 4/14/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)
09/047,026

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.

Internal Address: _____

Street Address: 5370 Manhattan Circle,Suite 201City: Boulder State: CO ZIP: 803036. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): **\$40**

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

06/17/1998

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Donna M. Ferber
Name of Person signing
SignatureMay 21, 1998

Date

Total number of pages including cover sheet, attachments, and document: 5

REEL: 9243 FRAME: 0094

ASSIGNMENT

WHEREAS, WE:

Lorraine Pillus, residing at: 805 13th St. Boulder Co
Astrid Clarke, residing at: 534 Baker St. Longmont CO 80501
Joanna Lowell, residing at: 3455 Table Mesa Dr E-191 Boulder CO 80303
Sandra Jacobson, residing at: 536 N 95th ST. LAFAYETTE CO 80026
Cheryl Reifsnyder, residing at: 381 Four mile Canyon Dr. Boulder, CO 80302

are co-inventors of the invention entitled "YEAST SILENCING GENES, PROTEINS AND METHODS" for which we have executed an application for a Patent of the United States, filed **March 24, 1998**, Serial No. 09/047,026 ;

WHEREAS, **University Technology Corporation** (herein called "ASSIGNEE"), a corporation organized and existing under the laws of the State of Colorado, having a place of business at **3101 Iris Avenue, Suite 250, Boulder, Colorado 80301** is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority of the United States under The International Union for the Protection for Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our signatures.

Date: 9 April 98

Lorraine Pillus
Lorraine Pillus

STATE OF COLORADO)
) ss.
COUNTY OF BOULDER)

BEFORE ME, the undersigned authority, on this 9 day of April, 1998, personally appeared **Lorraine Pillus** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

Betsy Fisher
NOTARY

(SEAL)

My commission expires:

Betsy Fisher Notary for the State of Colorado
Boulder County
My commission expires 11-18-2000

Date: 4/9/98

Astrid S. Clarke
Astrid Clarke

STATE OF COLORADO)
COUNTY OF Boulder) ss.

BEFORE ME, the undersigned authority, on this 9 day of April, 1998, personally appeared **Astrid Clarke** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

Betsy Fisher
NOTARY

(SEAL)

My commission expires:

Betsy Fisher Notary for the State of Colorado
Boulder County
My commission expires 11-18-2000

Date: 4/10/98

Joanna Lowell
Joanna Lowell

STATE OF COLORADO)
COUNTY OF Boulder) ss.

BEFORE ME, the undersigned authority, on this 10 day of April, 1998, personally appeared **Joanna Lowell** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

Betsy Fisher
NOTARY

(SEAL)

Betsy Fisher Notary for the State of Colorado
Boulder County
My commission expires 11-18-2000
PATENT

Date: 4/9/98

Sandra Jacobson
Sandra Jacobson

STATE OF COLORADO)
) ss.
COUNTY OF Boulder)

BEFORE ME, the undersigned authority, on this 9 day of April, 1998, personally appeared **Sandra Jacobson** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

Betsy Fisher Notary for the State of Colorado
Boulder County
My commission expires 11-18-2000

Betsy Fisher
NOTARY

(SEAL)

Date: 4-14-98

Cheryl Reifsnyder
Cheryl Reifsnyder

STATE OF COLORADO)
) ss.
COUNTY OF Boulder)

BEFORE ME, the undersigned authority, on this 9 day of April, 1998, personally appeared **Cheryl Reifsnyder** known to me to be the person whose name is subscribed to the foregoing instrument and who acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.

Betsy Fisher
NOTARY

(SEAL)

Betsy Fisher Notary for the State of Colorado
Boulder County
My commission expires 11-18-2000