FORM PTC Rev. 8-63 OMB No. 0 06-23-1998

1 FORM COVER SHE **ENTS ONLY** 

2 1998. S. DEPARTMENT OF COMMERCE

atent and Trademark Office

To	the	Honor	,

100747593

To the Hono 100747593	emarks. Please record the attached original documents or copy thereof
1. Name of conveying party(ies): Ciba-Geigy Corporation	2. Name and address of receiving party(ies)
Additional name(s) of conveying party(ies) attached?  ☐Yes ☑ No	Name: Novartis Corporation Internal Address: Patent & Trademark Department Street Address: 564 Morris Avenue City: Summit State: New Jersey ZIP: 07901-1027
3. Nature of conveyance:	
Assignment   Merger	
☐ Security Agreement ☑ Change of Name	
□ Other	
Execution Date: 7/25/97	Additional name(s) & address(es) attached? ☐ Yes ☑ No
Application number(s) or patent number(s):	
If this document is being filed together with a new ap	plication, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
08/682,496	
Additional nu	mbers attached?  Yes  No
5. Name and address of party to whom corresponder concerning document should be mailed:	16. Total number of applications and patents involved: 1
Name: Michael W. Glynn Novartis Corporation	7. Total fee (37 CFR 3.41\$40.00
Internal Address: Patent & Trademark Department	□ Enclosed
Street Address: 564 Morris Avenue	✓ Authorized to be charged to deposit account and any
City: Summit: New Jersey ZIP: 07901-1027	other additional fees required.
	8. Deposit Account number: 19-0134
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document.	formation is true and correct and any attached copy is a true copy of the ord
R. Scott Meece, Reg. No. 34,675  Name of Person Signing  ***Configned of Mailing on Persons  Signature	Date
**Certificate of Mailing on Reverse Total number of pages including cover sheet, attachments, and docur	mante: A G

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FO <del>RM PTO-</del> 1595		
Rev. 8-63		
OMB No. 0651-0011 (exp. 4/94)		

04-06-1998

Patent and Trademark Office

To the Honorable Commissioner of Pat	attached original documents or copy thereof.
	Name: Novartis Corporation Internal Address: Patent & Trademark D
3. Nature of conveyance:  ☐ Assignment ☐ Merger  ☐ Security Agreement ☐ Change of Name  ☐ Other	and Name: Commonwealth Scientific and Industrial Research Organisation Address: Limestone Avenue City: Campbell Country: Australia
Execution Date: 7/25/97	Additional name(s) & address(es) attached? ☐ Yes ☑ No
If this document is being filed together with a new application No.(s)  08/682,496	cation, the execution date of the application is:  B. Patent No.(s)
Additional number	ers attached? □ Yes ☑ No
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Michael W. Glynn	16. Total number of applications and patents involved: 1
Novartis Corporation	7. Total fee (37 CFR 3.41\$40.00
Internal Address: Patent & Trademark Department  Street Address: 564 Morris Avenue	☐ Enclosed ~
City: Summit: New Jersey ZIP: 07901-1027	Authorized to be charged to deposit account and any other additional fees required.
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R. Scott Meece, Reg. No. 34,675  Name of Person Signing  **Certificate of Mailing on Reverse	mation is true and correct and any attached copy is a sque copy of the original depth of the copy of t
Total number of pages including cover sheet, attachments, and document	orded with required cover sheet information to:

Washington, DC 20231

PATENT

REEL: 9248 FRAME: 0710

## CERTIFICATE OF CHANGE OF NAME

Attached hereto is a true and accurate copy of a certification by the Department of State of the State of New York, dated June 12, 1997, certifying, inter alia, the name change of Ciba-Geigy Corporation to Novartis Corporation, filed on December 31, 1996.

I, Michael W. Glynn, hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, I am aware that willful and false statements and the like are punishable by fine or imprisonment, or both (18 USC §1001) and may jeopardize the validity of this document and any registration resulting therefrom.

Date: JUL 2 5 1997

√Michael W. Glynn

Vice President, Novartis Corporation

Reg. No. 27,285

## State of New York Department of State

I hereby certify, that the certificate of incorporation of NOVARTIS CORPORATION was filed on 11/15/1966, under the name of ARDSLEY CHEMICAL CORPORATION, with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation. I further certify the following:

A Certificate of Merger and Name Change of ARDSLEY CHEMICAL CORPORATION, changing name to GEIGY CHEMICAL CORPORATION was filed on 12/30/1966.

A Certificate of Merger and Name Change of GEIGY CHEMICAL CORPORATION, changing name to CIBA-GEIGY CORPORATION was filed on 10/21/1970.

A Certificate of Amendment was filed on 12/19/1972.

A Certificate of Merger was filed on 12/29/1972.

A Certificate of Merger was filed.on 12/19/1974.

A Certificate of Merger was filed on 12/24/1974.

A Certificate of Merger was filed on 12/26/1974.

A Certificate of Amendment was filed on-01/22/1979.

A Certificate of Amendmentswas filed on 08124/1979

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 11/02/1981.

A Certificate of Merger was filed on 12/17/1981.

A Certificate of Merger was filed on 12/29/1983.

A Certificate of Merger was filed on 12/19/1984.

A Certificate of Merger was filed on 03/31/1987.

A Certificate of Merger was filed on 06/26/1987.

A Certificate of Merger was filed on 01/26/1988.

A Certificate of Merger was filed on 12/30/1988.

A Certificate of Merger was filed on 12/23/1991.

## (page 2) - NOVARTIS CORPORATION

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 05/22/1992.

A Biennial Statement was filed 12/10/1992.

A Certificate of Merger was filed on 12/22/1992.

A Biennial Statement was filed 12/09/1993.

Certificate of change was filed on 06/02/1995.

A Certificate of Merger and Name Change of CIBA-GEIGY CORPORATION, changing name to NOVARTIS CORPORATION was filed on 12/31/1996.

Certificate of change was filed on 04/14/1997?

The Corporation Biennial Statement is past due.

I further certify, that no other certificates have been filed by such corporation.

Witness my hand and the official seal of the Department of State at the City of Albany, this 12th day of June one thousand nine hundred and

• minëty-seven.

Special Deputy Secretary of State

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## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

MAY 21, 1998

NOVARTIS CORPORATION MICHAEL W. GLYN PATENT & TRADEMARK DEPT. 564 MORRIS AVENUE SUMMIT, NJ 07901-1027 PTAS UN - 1 1998



RSM

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

CLV V 20676/P1/C1P/DIV2 CGV1764)
DOCUMENT ID NO.: 100674253

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. IN BOXES 1 AND 2, THERE ARE TWO NAMES LISTED. THIS COVER SHEET SHOULD REFLECT ONE NAME IN BOX 1 AND THE NEW CHANGE OF NAME SHOULD BE IN BOX 2. IT IS NOT CLEAR ABOUT THE SECOND NAME. PLEASE CLARIFY AND RESUBMIT. WHEN RESPONDING, RETURN ALL THE ATTACHEDPAPERS INCLUDING THIS NON RECORDATION NOTICE.

DIANE RUSSELE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RECORDED: 06/12/1998

DOCKETED FOR: June 20,1948

PATENT REEL: 9248 FRAME: 0714