

REC

06-24-1998



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HEET
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
JUN 12 1998
checked original documents or copy thereof.

To the Honorable Commissioner of Pa

1. Name of conveying party(ies):

Nahum Guzik
Ufuk Karaaslan
Cem Kilicci

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 3/19/98 & 3/24/98

2. Name and address of receiving party(ies):

Name: Guzik Technical Enterprises

Internal Address:

Street Address: 4620 Fortran Drive

City: San Jose State CA ZIP 95134

Additional name(s) & address attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/044,301

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark G. Lappin, Esq.

Internal Address: Lappin & Kusmer LLP

Street Address: 200 State Street

City: Boston State MA ZIP 02109

6. Total number of applications and registrations involved

1

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

12-0448

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony P. Onello, Jr. Reg. No 38,572

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document

6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9252 FRAME: 0768

06/22/1998 TTM11
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DOCKET # GUZL-131

ASSIGNMENT

We, Nahum Guzik, 4183 Old Adobe Road, Palo Alto, California 94306; Ufuk Karaaslan, 538 Lanfair Cir., San Jose, California 95136; and Cem Kilicci, 481 Elizabeth St. #2, San Francisco, California 94114 having invented improvements in **APPARATUS AND METHOD FOR IMPROVING DYNAMIC CHARACTERISTICS OF A FINE-POSITIONING MECHANISM IN A MAGNETIC HEAD/DISK TESTER** and having this day executed an application for United States patent describing the same based thereon, for good and valuable consideration, receipt of which is hereby acknowledged from GUZIK Technical Enterprises, a California corporation having its principal place of business in 4620 Fortran Drive, San Jose, California 95134 (and hereinafter called the Assignee which term shall include its successors and assigns), do hereby sell, assign and transfer unto the Assignee, our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application (which term shall include hereinafter where the context so admits all divisional, continuing, reissue and other patent applications based thereon) and the inventions (which term shall include each and every such invention, or part thereof) therein described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or on said application;

And for the same consideration We do also hereby sell, assign, and transfer unto the Assignee, all our rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and all other treaties of like purpose in respect of said inventions and said application, and We do hereby authorize the Assignee to apply in our name or its own name or its designee for patents and like rights of exclusion on or for said inventions in all countries, claiming (if the Assignee so desires) the priority of the filing date of said application under the provisions of said Convention, Treaty or any such other Convention or Treaty;

And for the same consideration, We do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, fully to secure to it said right, title, and interest as aforesaid in and to said inventions, applications, and said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns;

And We do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Official of each country foreign thereto to issue to the Assignee, any and all patents and like rights of exclusion which may be granted in any country upon said United States application on or for said inventions;

And We do hereby covenant for ourselves and our legal representatives and agree with the Assignee, that We have granted no right or license to make, use, or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions has not been otherwise encumbered by us, and that We have not executed and will not execute any instruments in conflict herewith.

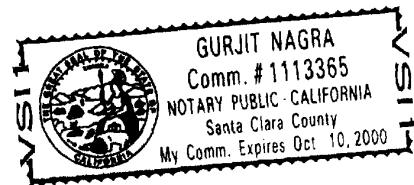
IN WITNESS WHEREOF, I hereunto set my hand and seal this ____ day of March 1998.

Commonwealth of
County of Santa Clara)

Nahum Guzik
Nahum Guzik

Before me this 19th day of March, 1998 personally appeared Nahum Guzik, who is to me personally known, and acknowledged the foregoing instrument to be his free act and deed.

Gurjit Nagra
Notary Public



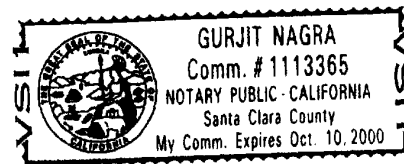
IN WITNESS WHEREOF, I hereunto set my hand and seal this ____ day of March 1998.

Commonwealth of
County of Santa Clara)

Ufuk Karaaslan
Ufuk Karaaslan

Before me this 19th day of March, 1998 personally appeared Ufuk Karaaslan, who is to me personally known, and acknowledged the foregoing instrument to be his free act and deed.

Gurjit Nagra
Notary Public

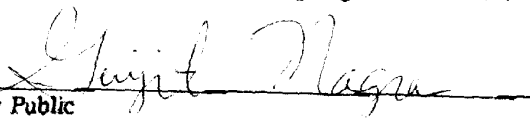


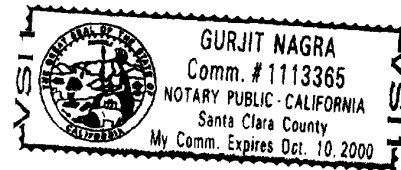
IN WITNESS WHEREOF, I hereunto set my hand and seal this 24 day of March 1998.

Commonwealth of
County of Santa Clara


Cem Kilicci

Before me this 24th day of March, 1998 personally appeared Cem Kilicci who is to me personally known, and acknowledged the foregoing instrument to be his free act and deed.


Notary Public



DOCKET NO. GUZL-131
LAPPIN & KUSMER LLP
Two Hundred State Street
Boston, Massachusetts 02109
(617) 330-1300

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Nahum Guzik et al.
Serial Number: 09/044,301
Filing Date: March 19, 1998
Title: APPARATUS AND METHOD FOR IMPROVING
DYNAMIC CHARACTERISTICS OF A FINE-POSITIONING
MECHANISM IN A MAGNETIC HEAD/DISK TESTER
Docket Number: GUZL-131

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to BOX ASSIGNMENT, Assistant Commissioner for Patents, Washington, D.C. 20231.

6/11/98

Date

Nicky McKinnon
Nicky McKinnon

BOX ASSIGNMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir: **TRANSMITTAL LETTER**

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Assignment of Patent Rights to Guzik Technical Enterprises (executed);
2. Recordation Form Cover Sheet - Form PTO-1595
3. Check in the amount of \$40.00 to cover recordation fee; and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 12-0448. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

LAPPIN & KUSMER LLP

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants

Date: June 11, 1998
Two Hundred State Street
Boston, MA 02109
Telephone: (617) 330-1300
Facsimile: (617) 330-1311

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PATENT

RECORDED: 06/11/1998

REEL: 9252 FRAME: 0772