

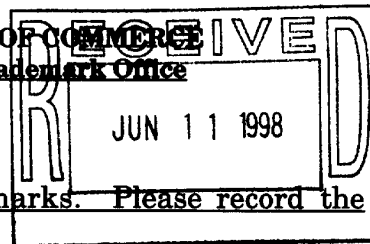
MRB 6-11-98

06-24-1998



100746207

DEPARTMENT OF COMMERCE
Patent and Trademark Office



Recordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):
Timothy J. O'Brien
Hirotooshi Tanimoto

2. Name and address of
receiving party(ies):
Name: **Board of Trustees of the**
University of Arkansas
Address: **2404 North University Ave.**
Little Rock, AR 72207-3608

Additional names of conveying party(ies) attached?
☒ NO ☐ YES

3. Nature of Conveyance: Additional names & addresses attached?
☒ Assignment ☐ Merger ☒ NO ☐ YES
☐ Security Agreement
☐ Change of Name
☐ OTHER _____

Execution Date(s): June 3, 1998 and May 30, 1998

4. Application number(s) or patent number(s): **09/027,337**
Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning
document should be mailed:
Name: **Dr. Benjamin Adler** Address: **8011 Candle Ln.**
McGREGOR & ADLER, LLP **Houston, Texas 77071**

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$**40.00** ☒ enclosed ☐ authorized to
charge deposit account

8. Deposit Account number: _____ (duplicate copy attached if
paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is
true and correct and any attached copy is a true copy of the original document.*

Benjamin Aaron Adler Ph.D., J.D.
Name of person signing

Benjamin Adler
Signature

June 5, 1998
Date

Total number of pages comprising cover sheet: 1

PATENT
REEL: 9253 FRAME: 0956

ASSIGNMENT

WHEREAS, **Timothy J. O'Brien**, of Little Rock, AK, (hereinafter called "ASSIGNOR"), is co-inventor and owner of certain new and useful improvements in ***TADG-15: An Extracellular Serine Protease Overexpressed in Breast and Ovarian Carcinomas***, an application for United States Letters Patent as filed February 20, 1998 as United States Serial No. 09/027,337.

WHEREAS, the **Board of Trustees of the University of Arkansas** of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE", is desirous of acquiring and ASSIGNOR is desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or

PATENT

REEL: 9253 FRAME: 0957

the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: Timothy J. O'Brien

Timothy J. O'Brien

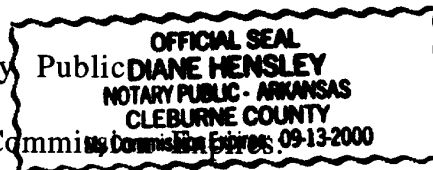
STATE OF Arkansas:

COUNTY OF Cleburne:

Before me this 3rd day of June, 1998, personally appeared Timothy J. O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires



ASSIGNMENT

WHEREAS, **Hirotoishi Tanimoto**, of Little Rock, AR, (hereinafter called "ASSIGNOR"), is co-inventor and owner of certain new and useful improvements in ***TADG-15: An Extracellular Serine Protease Overexpressed in Breast and Ovarian Carcinomas***, an application for United States Letters Patent as filed February 20, 1998 as United States Serial No. 09/027,337.

WHEREAS, the **Board of Trustees of the University of Arkansas** of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring and ASSIGNOR is desirous of assigning to ASSIGNEE all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors and assigns the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or

PATENT

REEL: 9253 FRAME: 0959

the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 5/30 1998Hirotooshi Tanimoto
Hirotooshi TanimotoSTATE OF Arkansas:COUNTY OF Cleburne:

Before me this 30th day of May, 1998, personally appeared Hirotooshi Tanimoto known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires

