

EXPRESS MAIL CERTIFICATE ON BACK OF THIS SHEET

FORM PTO-1595 (Rev. 6-93) OMB No. 0851-0011 (Exp. 4/94)		REC: 06-25-1998	HEET	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
100746997				
To the honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.				
1. Name of conveying party(ies): Mark S. Kaminski and Richard L. Wahl Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): The Regents of the University of Michigan 3003 South State Street Ann Arbor, MI 48109-1280 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: MRD 6-10-98 <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other: Corrected Recordation Form Cover Sheet for Assignment recorded at Reel/Frame 9054/0871 Execution Date: March 5 & 2, 1998				
4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 08/711,527 B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
5. Name and address of party to whom correspondence concerning document should be mailed: Gurjeev K. Sachdeva Cooley Godward LLP Five Palo Alto Square, 3000 El Camino Real Palo Alto, CA 94306		6. Total number of applications and patents involved: 1 7. Total Fee (37 CFR 3.41) \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 03-3117 (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE				
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Gurjeev K. Sachdeva (37,434)</u> <u><i>Gurjeev K. Sachdeva</i></u> <u>6/9/98</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: 3				

Form: 20835868

21464991
060898PATENT
REEL: 9255 FRAME: 0831

EXPRESS MAIL CERTIFICATE ON BACK OF THIS SHEET

FORM PTO-1595 (Rev. 6-93) OMB No. 0651-0011 (Exp. 4/94)		REC 04-06-1998	HEP MAR 1998	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
To the honorable Commissioner of Pa. 100673453		attached original documents or copy thereof.		
1. Name of conveying party(ies): Mark S. Kaminski and Richard L. Wahl Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): MAR 3-24-98 COPY University of Michigan 3003 South State Street Ann Arbor, MI 48109-1280 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name Execution Date: March 5 & 2, 1998				
4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 8/711,527 B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
5. Name and address of party to whom correspondence concerning document should be mailed: Gurjeev K. Sachdeva Cooley Godward LLP Five Palo Alto Square, 3000 El Camino Real Palo Alto, CA 94306 COPY		6. Total number of applications and patents involved: 1 7. Total Fee (37 CFR 3.41) \$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 03-3117 (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE				
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Gurjeev K. Sachdeva (37,434) Name of Person Signing Signature: <i>Gurjeev K. Sachdeva</i> Date: 3/23/98 Total number of pages including cover sheet, attachments, and document: 3				

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Form: 20635868

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032098PATENT
REEL: 9255 FRAMES: 0832

PATENT

Attorney Docket No. COUL-001/05US

COOLEY GODWARD LLP
FIVE PALO ALTO SQUARE
3000 EL CAMINO REAL
PALO ALTO, CA 94306-2155

ASSIGNMENT

Whereas,

Mark S. Kaminski residing at 4848 Starak Lane, Ann Arbor, MI 48105; and
Richard L. Wahl residing at 3572 Delhi Overlook, Ann Arbor, MI 48103;
(hereinafter referred to individually and collectively as "Inventor"), together with

Stephan D. Glenn residing at 1413 Harrier Court, Sunnyvale, CA 94087; and
Gregory M. Butchko residing at 65 Barnswallow Road, Lake Forest, IL 60045;

have made an invention relating to certain new and useful improvements in:

RADIOIMMUNOTHERAPY OF LYMPHOMA USING ANTI-CD20 ANTIBODIES

and executed therefor an Application for Letters Patent of the United States and

☐ having an oath or declaration executed on even date herewith;

☒ bearing Serial No. 08/711,527 and filed on September 10, 1996

☐ issued as a Patent No. _____ on _____

Whereas, the Regents of the University of Michigan (hereinafter "Assignee"); a corporation of Michigan, and having a principal place of business at 3003 South State Street, Ann Arbor, MI 48109-1280, is desirous of acquiring our entire right, title, and interest in and to said invention, said Application, and the Letters Patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, our entire right, title, and interest in and to said invention, said Application and any other application, domestic or foreign, that claims said invention, as well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

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1.

PATENT
REEL: 9255 FRAME: 0833

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

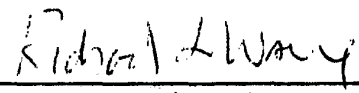
Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and Inventor does hereby covenant and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

Date: 3/5/98

By: 
Mark S. Kaminski

Date: 3/2/98

By: 
Richard L. Wahl

PATENT

Attorney Docket No. COUL-001/05US

Express Mail Label Number: EM 570 538 665 US

Date of Deposit: June 9, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, Office of Public Records, Attn: Customer Services Center, 1213 Jefferson Davis Highway, 3rd Floor, Arlington, VA 22202.

Date:

By:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kaminski et al.

Serial No. 08/711,527

Filed: September 10, 1996

For: RADIOIMMUNOTHERAPY OF
LYMPHOMA USING ANTI-
CD20 ANTIBODIES

Examiner: Hartley, M.

Art Unit: 1616

REQUEST FOR CORRECTED
NOTICE OF RECORDATION

Palo Alto, CA 94306

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed a copy of a Notice of Recordation of Assignment Document for the above-identified case. Applicant respectfully requests correction of the Assignment recorded at Reel/Frame 9054/0871.

The error occurs as follows:

The Assignee should read "Regents of the University of Michigan" as reflected in the original Assignment Document and not "University of Michigan."

[X] Applicant's mistake - The Assignment Branch is hereby authorized to charge Deposit Account No. 03-3117 in the amount of \$40.00.

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5BJ\$011.DOC

1.

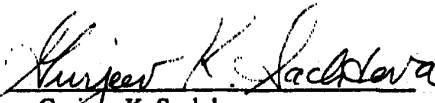
PATENT

REEL: 9255 FRAME: 0835

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 03-3117:
- ☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

COOLEY GOWARD LLP

By: 
Gurjeet K. Sachdeva
Reg. No. 37,434

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306
Ph. (650) 843-5000
Fx. (650) 857-0663

PATENT

Attorney Docket No. COUL-001/05US

Express Mail Label Number: EM 570 538 665 US

Date of Deposit: June 9, 1998

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Date: 9 Jun 98

By: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
)	
Kaminski et al.)	Examiner: Hartley, M.
)	
Serial No. 08/711,527)	Art Unit: 1616
)	
Filed: September 10, 1996)	TRANSMITTAL OF REQUEST FOR
)	<u>CORRECTED NOTICE OF RECORDATION</u>
For: RADIOIMMUNOTHERAPY)	
OF LYMPHOMA USING)	
ANTI-CD20 ANTIBODIES)	Palo Alto, CA 94306
)	

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Office of Public Records
Attn: Customer Services Counter
1213 Jefferson Davis Highway, 3rd Floor
Arlington, VA 22202

7-10-98

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ASSISTANT

Sir:

Transmitted herewith is a Request for Corrected Notice of Recordation in the above-identified application.

Also enclosed:

- ☒ a copy of the Notice of Recordation of Assignment Document
- ☒ a copy of the previously submitted Recordation Form Cover Sheet
- ☒ a corrected Recordation Form Cover Sheet
- ☒ the original Assignment Document

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1. PATENT
REEL: 9255 FRAME: 0837

☒ Return Receipt Postcard

☒ The Commissioner is hereby authorized to charge Deposit Account No. 03-3117 in the amount of \$40.00.

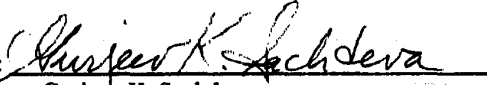
☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 03-3117:

☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

COOLEY GODWARD LLP

By: 
Gurjeet K. Sachdeva
Reg. No. 37,434

Cooley Godward LLP
Attn: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306
Ph. (650) 843-5000
Fx. (650) 857-0663

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OF PATENTS AND TRADEMARKS
Washington, D C 20231

MAY 21, 1998

PTAS

COOLEY GODWARD LLP
GURJEEV K. SACHDEVA
FIVE PALO ALTO SQUARE
3000 EL CAMINO REAL
PALO ALTO, CA 94306



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JUN 04 1998

COOLEY GODWARD LLP

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/24/1998

REEL/FRAME: 9054/0871
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
KAMINSKI, MARK S.

DOC DATE: 03/05/1998

ASSIGNOR:
WAHL, RICHARD L.

DOC DATE: 03/02/1998

ASSIGNEE:
MICHIGAN, UNIVERSITY OF
3003 SOUTH STATE STREET
ANN ARBOR, MICHIGAN 48109-1280

SERIAL NUMBER: 08711527
PATENT NUMBER:

FILING DATE: 09/10/1996
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

COPY

RECORDED: 06/10/1998

PATENT
REEL: 9255 FRAME: 0839