

06-18-1998



AM9-97-110 (1963-7181)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Francis C. LEE; Robert N.  
PAYNE and U. Vasant NAYAK

Serial No. : 09/000,561 Group Art Unit: 2752

Filed : December 30, 1997 Examiner: Not Assigned

For : ALTITUDE INSENSITIVE Atty Docket: AM9-97-110  
AIR BEARING USING PITCH (1963-7181)  
COMPENSATION FOR DATA  
STORAGE APPLICATIONS

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner Of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:  
Francis C. Lee; Robert N. Payne and U. Vasant Nayak
2. Name and address of receiving party/parties:  
  
Name: International Business Machine Corporation  
  
Internal Address: \_\_\_\_\_  
  
Street Address: Old Orchard Road  
  
City Armonk State New York ZIP 10504  
  
Name: \_\_\_\_\_  
  
06/15/1998 STHORNTO 00000020 020141 09000561  
02 FC:581 40.00 CH  
Street Address: \_\_\_\_\_  
  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_  
  
[ ] Additional names and addresses attached.

PATENT  
REEL: 9258 FRAME: 0009

3. Nature of Conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement  
☐ Change of Name ☐ Other \_\_\_\_\_

Execution Date: June 1, 1998, May 15, 1998, May 15, 1998

4. Application Number(s) or Patent Number(s):

☐ This document is being filed together with a new application which was executed on \_\_\_\_\_

☒ Patent Application No.(s) 09/000,561

☐ Patent No.(s) \_\_\_\_\_

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$40.00 per property x 1 property(ies) = \$ 40.00

☐ A check in the amount of \$\_\_\_\_\_ to cover the recordation fee is enclosed.

☒ Charge fee to Deposit Account No. 09-0441 (IBM Corporation). Order No. AM9-97-110 (1963-7181).

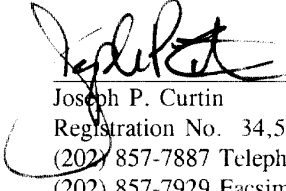
☒ The Commissioner is hereby authorized to charged any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 09-0441 (IBM Corporation). Order No. AM9-97-110 (1963-7181).

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: June 8, 1998

  
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Total number of pages comprising this cover sheet - 2

# ASSIGNMENT

***Whereas, we,***

- |     |  |                       |                     |
|-----|--|-----------------------|---------------------|
| (1) | Francis Chee-Shuen Lee<br>City of San Jose | County of Santa Clara | State of California |
| (2) | Robert Nolan Payne<br>City of San Jose     | County of Santa Clara | State of California |
| (3) | Ullal Vasant Nayak<br>City of San Jose     | County of Santa Clara | State of California |

have invented certain improvements in:

**ALTITUDE INSENSITIVE AIR BEARING USING PITCH  
COMPENSATION FOR DATA STORAGE APPLICATIONS**

and executed a Declaration and Power of Attorney for a United States patent application therefor on

- |                                   |                                   |                                   |
|-----------------------------------|-----------------------------------|-----------------------------------|
| (1) <u>June 1, 1998</u><br>(Date) | (2) <u>May 15, 1998</u><br>(Date) | (3) <u>May 15, 1998</u><br>(Date) |
|-----------------------------------|-----------------------------------|-----------------------------------|

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**Execution by Inventors**

(1) Inventor: Francis Chee-Shuen Lee

City/State: San Jose, California

Signature 

Date June 1 1998

(2) Inventor: Robert Nolan Payne


City/State: San Jose, California

Signature 

Date 5/15/98

(3) Inventor: Ullal Vasant Nayak

City/State: San Jose, California

Signature 

Date 5/15/98