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FORM PTO-1595

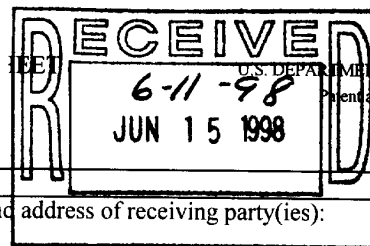
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M&G-616.77US01



100749687



To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gerhard BREMER Jörg WABELS
 Hermann KERBER
 Manfred KRUMME
 Olaf LEY
 Werner STEPHAN

2. Name and address of receiving party(ies):

Herberts Gesellschaft mit beschränkter Haftung
 Christbusch 25
 D-42285 Wuppertal
 Germany

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 01/16/98; 01/23/98; 01/22/98; 01/26/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/015,852

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael B. Lasky
 Address: Merchant, Gould, Smith, Edell,
 Welter & Schmidt, P.A.
 3100 Norwest Center
 90 South Seventh Street
 Minneapolis, MN 55402-4131

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00 *E*
☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. Lasky

Name of Person Signing

 Signature

11 June 1998

Date

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PATENT
 REEL: 9259 FRAME: 0250

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name, Address, Citizenship ▶ 1. Gerhard BREMER residing at Rosmarstr. 118
D-50226 Frechen, Germany
and a citizen of Germany ;

2. Hermann KERBER residing at Daniel-Schürmann-Weg 37
D-42369 Wuppertal, Germany
and a citizen of Germany ;

3. Manfred KRUMME residing at Matthias-Curt-Str. 27
D-50374 Erftstadt, Germany
and a citizen of Germany ;

Date Patent Declaration Signed and Title ▶ (herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on _____ for this invention, which application is entitled Copolymers which contain hydroxyl groups and a method of producing them and which has been given

Serial No. and Filing Date ▶ Serial Number 09/015,857 and the filing date of 29 February 1998 ;

Name of Corporation ▶ AND WHEREAS Herberts Gesellschaft mit beschränkter Haftung (herein called "ASSIGNEE"), a corporation organized under the laws of Germany, and having an office and place of business at Christbusch 25, D-42285 Wuppertal, Germany

State or Country of Incorporation and Address ▶ wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each Inventor Must Sign and Date ▶

Note: No Legalization or Other Witness Required

Gerhard Bremer
Signature Gerhard BREMER

Hermann Kerber
Signature Hermann KERBER

Manfred Krumme
Signature Manfred KRUMME

11/16/98
Date

11/23/98
Date

11/22/98
Date

INSTRUCTIONS

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name, Address, Citizenship
 4. ☒ Olaf LEY residing at Gräfrather Str. 3
 D-42329 Wuppertal, Germany
 and a citizen of Germany
 5. ☒ Werner STEPHAN residing at Westfalenweg 169
 D-42111 Wuppertal, Germany
 and a citizen of Germany
 6. ☒ Jörg WABELS residing at Sauerlandstr. 17
 D-44897 Bochum, Germany
 and a citizen of Germany
 (herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on _____ for this invention, which application is entitled Copolymers which contain hydroxyl groups and a method of producing them and which has been given Serial Number 09/015,852 and the filing date of 29 January 1998
 AND WHEREAS Herberts Gesellschaft mit beschränkter Haftung (herein called "ASSIGNEE"), a corporation organized under the laws of Germany, and having an office and place of business at Christbusch 25, D-42285 Wuppertal, Germany wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE, its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each Inventor Must Sign and Date

Note: No Legalization or Other Witness Required

Signature Olaf LEY Date 1/23/98
 Signature Werner STEPHAN Date 1/16/98
 Signature Jörg WABELS Date 1/26/98

V940415

MERCHANT & GOULD

PATENT

RECORDED: 06/11/1998

REEL: 9259 FRAME: 0252