

MLD 6-17-98

Docket No.: EN9-98-054

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06-26-1998

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To the Honorable Commissioner of Patents &

100749593

...hed original documents or copy thereof.

1. Name of conveying party(ies):

**Craig G. Heim  
Wade Leslie Hooker  
Ajit Kumar Trivedi**

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: **New Orchard Road**

City: **Armonk** State: **NY** ZIP: **10504**

Execution Date: **6/16/98, 6/10/98, 6/15/98**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is **6/16/98, 6/10/98,**

A. Patent Application No.(s)

**EN998054**

B. Patent No.(s)

**6/15/98**

Additional numbers attached? ☐ Yes ☒ No



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John A. Merecki**

Internal Address: \_\_\_\_\_

**Schmeiser, Olsen & Watts**

Street Address: **24 Computer Drive West**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41): .....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

**09-0457**

City: **Albany** State: **NY** ZIP: **12205**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**John R. Pivnichny, Reg. No. P-43,001**

Name of Person Signing

*John Pivnichny*

Signature

**06/17/98**

Date

Total number of pages including cover sheet, attachments, and documents **2** **0978**

**ASSIGNMENT**

Whereas, we

(1) Craig G. Heim  
County of BroomeCity of Kirkwood  
and State of New York(2) Wade Leslie Hooker  
County of BroomeCity of Endicott  
and State of New York(3) Ajit Kumar Trivedi  
County of TiogaCity of Endicott  
And State of New York

have invented certain improvements in a:

**A COOLING STRUCTURE FOR ELECTRONIC COMPONENTS**

and executed, respectively, a United States patent application therefor on

(1) June 16, 1998 1998; (2) June 10, 1998. and  
(3) June 15, 1998 1998.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at  
on June 16, 1998

(Craig	G.	Heim )
First Name	Middle	Last Name

Signature  
Inventor(2) at  
on June 10, 1998

(Wade	Leslie	Hooker)
First Name	Middle	Last Name

Signature  
Inventor(3) at  
on June 15, 1998

(Ajit	Kumar	Trivedi)
First Name	Middle	Last Name

Signature  
Inventor