

06-30-1998

U.S. Department of Commerce  
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**PATENT**



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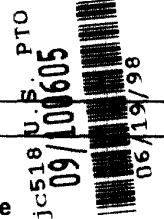
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☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
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Reel #  Frame #

**Conveyance Type**

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
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☐ Departmental File ☐ Secret File



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Mark if additional names of conveying parties attached

Execution Date  
Month Day Year  
6/5/98

Name (line 1) GAYLORD DARRELL SMITH

Name (line 2)

**Second Party**

Name (line 1) SHAILESH JAYANTILAL PATEL

Execution Date  
Month Day Year  
6/5/98

Name (line 2)

**Receiving Party**



Mark if additional names of receiving parties attached

Name (line 1) INCO ALLOYS INTERNATIONAL, INC.

Name (line 2)

Address (line 1) 3200 RIVERSIDE DRIVE

Address (line 2) HUNTINGTON, WEST VIRGINIA 25720

Address (line 3) HUNTINGTON

WEST VIRGINIA

25720

City

State/Country

Zip Code

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**Domestic Representative Name and Address**

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Name EDWARD A. STEEN

Address (line 1) INCO PATENTS & LICENSING

06/26/1998 STH0RNT0 00000015 090105 09100605

02 FC:581 00 CH PARK 80 WEST - PLAZA TWO

Address (line 3) SADDLE BROOK, NJ 07663

Address (line 4)

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**Correspondent Name and Address**

Area Code and Telephone Number (201) 368-4847

Name EDWARD A. STEEN

Address (line 1) INCO PATENTS & LICENSING

Address (line 2) PARK 80 WEST - PLAZA TWO

Address (line 3) SADDLE BROOK, NJ 07663

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/100605

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
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has not been assigned.

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**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
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(Enter for payment by deposit account or if additional fees can be charged to the account.)

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

BLAKE T. BIEDERMAN

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Signature

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CONTINUATION  
PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) NORMAN CHARLES FARR

Execution Date  
Month Day Year  
6/15/98

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

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☐ Mark if additional names of receiving parties attached

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Name (line 2)

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Name (line 2)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



[Serial No. \_\_\_\_\_]

Assignment of Invention and Application

## ASSIGNMENT

WHEREAS 1) GAYLORD DARRELL SMITH, 2) SHAILESH JAYANTILAL PATEL and 3) NORMAN CHARLES FARR

of 1) 120 STAMFORD PARK DRIVE, HUNTINGTON, W. VIRGINIA 25705, 2) 6315 COUNTRY CLUB DRIVE,  
HUNTINGTON, W. VIRGINIA 25705 and 3) 5 CHARTWELL ROAD, HEREFORD, ENGLANDhereinafter called the Assignor(s) have/has made an invention relating to certain new and useful improvements in  
ADVANCED ULTRA-SUPERCritical BOILER TUBING ALLOY

for which we make/made an application for Letters Patent of the United States, for

which on June 5 &amp; 15, 1998, they executed application for United States Letters Patent.

AND WHEREAS, INCO ALLOYS INTERNATIONAL, INC. - 3200 RIVERSIDE DRIVE, HUNTINGTON,  
WEST VIRGINIA 25720

hereinafter called the Assignees, are desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions, said application for United States Letters Patent, and any and all United States Letters patent that may be granted therefor, and in and to said invention within all foreign countries and corresponding foreign Letters Patent that may be granted therefor.

NOW THEREFORE, for good and valuable considerations, the receipt whereof is hereby acknowledged, the Assignor(s) have/has assigned and transferred, and do/does hereby assign and transfer to said Assignees, the entire right, title and interest in and to said invention within the United States of America and its territorial possessions, in and to said application for United States Letters Patent, and in and to any and all Letters Patent of the United States that may be granted therefor and any and all divisions, reissues, continuations, continuations-in-part, renewals, substitutes, and/or extensions thereof, together with the right to extend the protection thereof to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, and in and to said invention within all foreign countries and corresponding foreign Letters Patent that may be granted therefor, all said rights to be held and enjoyed by the Assignees for their own use and behoof, and for the use and behoof of its successors, nominees and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by said Assignor(s) if this assignment and sale had not been made. And the Assignor(s) do/does hereby request and authorize the Commissioner of Patents to issue said Letters Patent, when granted, in accordance with this assignment.

IN FURTHER CONSIDERATION for good and valuable considerations, the Assignor(s) covenant(s) and agree(s) with the Assignees that the Assignor(s) have/has a full and unencumbered title to the invention hereby assigned, which title and Assignor(s) warrant(s) unto the Assignees; that no license has been granted to make, use or vend said invention, that the Assignor(s) have/has the full right to make this assignment; and the Assignor(s) further agree(s) that THEY will, without demanding any further consideration therefor, at the request but at the charges of the Assignees, do all lawful and just acts, including the execution and acknowledgment of instruments that may be or become necessary for obtaining, sustaining, reissuing, disclaiming or extending the aforesaid Letters Patent for said invention and for maintaining and perfecting the right of the Assignees and/or their nominees to said invention and Letters Patent, particularly in cases of interferences and litigation.

IN TESTIMONY WHEREOF the Assignor(s) have/has herunto set their/his hand(s)

[1] this 5 day of June, 1998 Gaylord Darrell Smith  
GAYLORD DARRELL SMITH[2] this 5 day of June, 1998 Shailesh Jayantilal Patel  
SHAILESH JAYANTILAL PATEL[3] this 15 day of June, 1998 N. C. Farr  
NORMAN CHARLES FARR

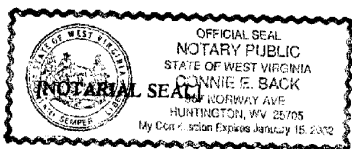
[4] this \_\_\_\_ day of \_\_\_\_\_, 19\_\_

[5] this \_\_\_\_ day of \_\_\_\_\_, 19\_\_

[6] this \_\_\_\_ day of \_\_\_\_\_, 19\_\_

State of WV )  
County of Cabell ) ss.:

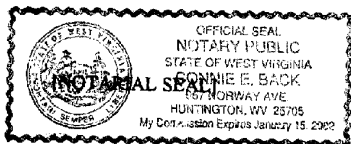
On the 5<sup>th</sup> day of June, 1998, before me personally appeared GAYLORD DARRELL SMITH to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.



Connie E. Back  
NOTARY PUBLIC

State of WV )  
County of Cabell ) ss.:

On the 5<sup>th</sup> day of June, 1998, before me personally appeared SHAILESH JAYANTILAL PATEL to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.



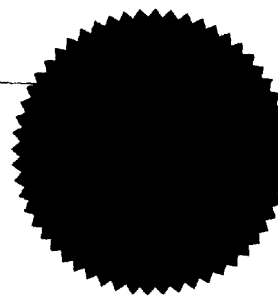
Connie E. Back  
NOTARY PUBLIC

State of England )  
County of Hertfordshire ) ss.:

On the 1<sup>st</sup> day of June, 1998, before me personally appeared NORMAN CHARLES FARR to me known and known to me to be the individual(s) described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same.

(NOTARIAL SEAL)  
My Commission expires  
when I cease to practice

T. M. Halliwell  
NOTARY PUBLIC



ASSIGNMENT OF THE INVENTION

and of

PATENT APPLICATION

Application Serial No.

Improvements in ADVANCED ULTRA-  
SUPERCritical BOILER TUBING ALLOY

FROM

- 1) GAYLORD DARRELL SMITH
- 2) SHAILESH JAYANTILAL PATEL
- 3) NORMAN CHARLES FARR

TO

INCO ALLOYS INTERNATIONAL, INC.,  
1200 RIVERSIDE DRIVE  
HUNTINGTON, W. VIRGINIA 25720

EDWARD A. STEEN  
PATENT COUNSEL  
INCO PATENTS AND LICENSING  
PARK 80 WEST - PLAZA TWO  
SADDLE BROOK, NJ 07662

PATENT