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Docket No.: 970239

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks:

attached original documents or copy thereof.

1. Name of conveying party(ies):

Klaus Weich, Randolph Eggemann and Erwin Patzak

2. Name and address of receiving party(ies):

Name: **Heinrich-Hertz-Institut fuer Nachrichtentechnik**

Internal Address: **Berlin GmbH.**

Einsteinufer 37

D-10587 Berlin, Germany

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **19 November 1997**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **19 November 1997**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Karl Hormann, Esq.**

Internal Address: _____

Street Address: **86 Sparks Street**

City: **Cambridge** State: **MA** ZIP: **02138**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: _____

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karl Hormann

[Signature]

30 December 1997

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **3**

ASSIGNMENT

IN CONSIDERATION of the sum of one dollar (\$1.00) to each of us in hand paid, receipt of which is hereby acknowledged, and for other good and valuable consideration,

We, Klaus Weich, Randolph Eggemann and Erwin Patzak

being the inventors of a certain invention for a

Wavelength Converter

as fully set forth and described in the application and executed by us on this 19th day of November, 1997, preparatory to obtaining Letters Patent of the United States of America therefor, being the owners of all right, title and interest in and to said application and in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest, both legal and equitable, herein assigned, do hereby sell, transfer, and set over unto

**Heinrich-Hertz-Institut
für Nachrichtentechnik Berlin GmbH.**
Einsteinufer 37
D-10587 Berlin
Germany

all rights, title and interest in and to the said application and in and to the said invention, in and for all countries.

AND WE HEREBY covenant and agree that we will, at any time upon request, without further cost to us and without further compensation, execute and deliver any and all papers or instruments that may be necessary or desirable to secure

to said assignee the full enjoyment of the right and properties herein conveyed and intended to be conveyed.

IN WITNESS WHEREOF we have hereunto set our hands and affixed our seals this 18th day of November, 1997.

x Klaus Weich

Klaus Weich

~~Buelstrasse 2~~ Gartenfelder Str. 57

~~D-13629 Berlin~~ D-73599 Berlin

Germany

x Randolph Eggemann

Randolph Eggemann

Daberkowstrasse 6

D-13593 Berlin

Germany

KW

KW

Nov. 79,
1997

x Erwin Patzak

Erwin Patzak

Goethestrasse 14

D-10625 Berlin

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