

07-01-1998



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To the Honorable Commissioners

100752886

Attached original documents or copy thereof

1. Name of conveying party(ies):

JIANPING ZHONG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address:

INTELLECTUAL PROPERTY DEPARTMENT

Street Address: CARRIER WORLD HEADQUARTERS

ONE CARRIER PLACE BLDG.

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: MAY 13, 1998

4. Application number(s) or patent number(s): 09/080,566

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CARRIER CORPORATION/WILLIAM HABELT

Internal Address: INTELLECTUAL PROPERTY DEPT.

Street Address: CARRIER PARKWAY

City: SYRACUSE State: NY ZIP: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)  
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLLEEN S. KUKLINSKI

*Colleen Kuklinski*

JUNE 11, 1998

Name of Person Signing

Signature

Date

(ATTY DOCKET NO: 8977 ) Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

06/29/1998 TTON11 00000021 030835 09080566

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PATENT  
REEL: 9269 FRAME: 0857

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, I: JIANPING ZHONG  
residing at:

4520 WALTHAM DRIVE  
MANLIUS, NEW YORK 13104

being the inventor of a certain invention as fully set forth and described in a patent application entitled, **SCREW COMPRESSOR WITH BALANCED THRUST** (Carrier Docket No.: 8977), executed by me on this 13<sup>th</sup> day of May, 1998, being the owner of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. I hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND I hereby covenant and agree that I shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 13<sup>th</sup> day  
of May, 1998.

Jianping Zhong (L.S.)  
JIANPING ZHONG

STATE OF NEW YORK     )  
  ) SS:  
COUNTY OF ONONDAGA )

On this 13<sup>th</sup> day of MAY, 1998, before me the subscriber,  
personally came JIANPING ZHONG, to be known, and known to me to be the person described  
in and who executed the foregoing instrument, and duly acknowledged to me that he executed  
the same as his free act and deed.

David J. Zobkiw  
Notary Public

DAVID J. ZOBKIW  
Notary Public in the State of New York  
Qualified in Wyoming County  
My Commission Expires July 31, 1999

(Seal)

\*\*\*\*\*

The patent application referred to in the foregoing instrument was filed in the United States  
Patent and Trademark Office on May 18, 1998 and was assigned Serial Number 091080, 566.

David J. Zobkiw  
David J. Zobkiw  
Reg. No: 26,627

June 11, 1998  
Date