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TRANS

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IDATION

Atty. Docket: 35.C12674

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

YUKIKO IWASAKI, KATSUMI NAKAGAWA, TAKAO  
YONEHARA, SHOJI NISHIDA and KIYOFUMI  
SAKAGUCHI

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Name and address of receiving party(ies):

Name: CANON KABUSHIKI KAISHAForeign Address: 30-2, 3-chome, Shimomaruko, Ohta-kuTokyo, Japan

Domestic Address: \_\_\_\_\_

City: \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No3. Nature of conveyance: **MRD 6-15-98**☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: May 9, 1998 (last)

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Number: 09/046,600

Filing Date: March 24, 1998

B. Title of Invention:

PROCESS FOR PRODUCING SEMICONDUCTOR  
SUBSTRATE

Additional numbers attached?

☐ Yes☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper & Scinto277 Park AvenueNew York, New York 10172-0194Telephone No.: (212) 758-2400Facsimile No.: (212) 758-2982

## 6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): . . . \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

06/30/1998 JMWTKINS 00000044 09046600

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.*Peter Saxon

Name of Person Signing

Peter Saxon

Signature

June 12, 1998

Date

Total number of pages including cover sheet, attachments, and documents: 2

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PATENT  
REEL: 9270 FRAME: 0763

JOINT

(AFTER APPLICATION FILED)

## ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, WE, YUKIKO IWASAKI, KATSUMI NAKAGAWA, TAKAO YONEHARA, SHOJI NISHIDA and KIYOFUMI SAKAGUCHI,

citizens of JAPAN,

residing respectively at

10-1-306, Asahi-cho 2-chome, Atsugi-shi, Kanagawa-ken, Japan;

15-1-4-304, Suzaku, Nara-shi, Nara-ken, Japan;

2235-2, Nurumizu, Atsugi-shi, Kanagawa-ken, Japan;

3168-30, Okazaki, Hiratsuka-shi, Kanagawa-ken, Japan; and

19-2-504, Eda Higashi 2-chome, Tsuzuki-ku, Yokohama-shi, Kanagawa-ken, Japan,

hereby sell, assign, transfer and convey unto CANON KABUSHIKI KAISHA

a corporation of Japan

having a place of business at 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

## PROCESS FOR PRODUCING SEMICONDUCTOR SUBSTRATE

and described in an application for Letters Patent of the United States filed by us on March 24, 1998

and which has been accorded Application No. 09/046,600

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: Yukiko Iwasaki  
YUKIKO IWASAKI

Date: May 1, 1998

By: Katsumi Nakagawa  
KATSUMI NAKAGAWA

Date: May 8, 1998

By: Takao Yonehara  
TAKAO YONEHARA

Date: May 8, 1998

By: Shoji Nishida  
SHOJI NISHIDA

Date: May 9, 1998

By: Kiyofumi Sakaguchi  
KIYOFUMI SAKAGUCHI

Date: May 8, 1998

PATENT