

MRD 6.22.98

07-01-1998

EET

To the Honorable commissioner of  
Please record the attached origin.



100751399

TORNEY DOCKET NO. P-177

## 1. Name of conveying parties:

E.U. Sudhakaran and Glenn P. Andert

Additional name(s) of conveying  
party(ies) attached? \_\_\_ Yes X No

## 2. Name and address of receiving party:

Name: Object Technology Licensing Corporation  
Street Address: One Infinite Loop

City: Cupertino  
State: CA Zip: 95014-2244

## 3. Nature of conveyance:

- X Assignment  
\_\_\_ Merger  
\_\_\_ Security Agreement  
\_\_\_ Change of Name  
\_\_\_ Other

Additional name(s) & address(es)  
attached? \_\_\_ Yes X No

Execution Date: May 21 and May 28, 1998

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/016,681

B. Patent No. (s)

Additional numbers attached? \_\_\_ Yes X No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul E. Kudirka, Kudirka & Jobse, LLP  
Street Address: One Beacon Street  
City: Boston  
State: MA

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to: Com-  
missioner of Patents and Trademarks, Washington,  
D.C. 20231, on 6/18/98

ANNA MARIA Keel  
Anna Maria Keel

6. Total number of applications and patents involved? 1

7. Total fee (37 CFR 3.41) \$40.00  
\_\_\_ Enclosed

X Authorized to be charged to deposit  
account no. 20-0065

8. Deposit account no. 20-0065 (Attach duplicate  
copy of this page if paying by deposit account)

07/01/1998 TT0N11 00000018 200065 09016681

01 FC:581

40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.

Paul E. Kudirka

Signature

Date

Total number of pages comprising cover sheet: 7

REEL: 9271 FRAME: 0224

**ASSIGNMENT**

Whereas we, E. U. Sudhakaran, who resides at 1446 Prelude Drive, San Jose, California 95131 and Glenn P. Andert, who resides at 18487 Edminton Drive, Cupertino, California 95014, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled **OBJECT-ORIENTED GLOBAL RESOURCE CONFLICT RESOLVER**, identified by KUDIRKA & JOBSE, LLP, Attorney File No. P-177, which application was filed on January 30, 1998, was assigned Serial No. 09/016,681; and

Whereas OBJECT TECHNOLOGY LICENSING CORPORATION, whose address is One Infinite Loop, Cupertino, California 95014-2244, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have executed this Assignment as an instrument under seal on the date indicated next to my name.

_____	_____
Date	E. U. Sudhakaran
	Inventor

State of	)
	)ss.
County of	)

On this \_\_\_\_\_ day of \_\_\_\_\_, before me appeared E. U. Sudhakaran, to me known and known to me to be the person described in and who executed the

foregoing instrument, and he/she acknowledged the same to be his/her free act and deed.

\_\_\_\_\_  
Notary Public

[seal]

21 May 98      *Glenn P. Andert*  
Date                      Glenn P. Andert  
Inventor

State of CA      )  
                              )ss.  
County of San      )  
                              Mateo

On this 21 day of May 1998, before me appeared Glenn P. Andert, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she acknowledged the same to be his/her free act and deed.

*Betina Lee*  
Notary Public

[seal]



### **ASSIGNMENT**

Whereas we, E. U. Sudhakaran, who resides at 1446 Prelude Drive, San Jose, California 95131 and Glenn P. Andert, who resides at 18487 Edminton Drive, Cupertino, California 95014, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled **OBJECT-ORIENTED GLOBAL RESOURCE CONFLICT RESOLVER**, identified by KUDIRKA & JOBSE, LLP, Attorney File No. P-177, which application was filed on January 30, 1998, was assigned Serial No. 09/016,681; and

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Now, Therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;

5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have executed this Assignment as an instrument under seal on the date indicated next to my name.

Date 4/28/98   
E. U. Sudhakaran  
Inventor

State of                    )  
                                  )ss.  
County of                )

On this \_\_\_\_\_ day of \_\_\_\_\_, before me appeared E. U. Sudhakaran, to me known and known to me to be the person described in and who executed the

foregoing instrument, and he/she acknowledged the same to be his/her free act and deed.

\_\_\_\_\_  
Notary Public

[seal]

\_\_\_\_\_  
Date

\_\_\_\_\_  
Glenn P. Andert  
Inventor

State of                    )  
                                  )ss.  
County of                )

On this \_\_\_\_\_ day of \_\_\_\_\_, before me appeared Glenn P. Andert, to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she acknowledged the same to be his/her free act and deed.

\_\_\_\_\_  
Notary Public

[seal]

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of CALIFORNIA

County of SANTA CLARA

On 28TH APRIL 1998. before me, ZIGGIE DUDZIUK-NOTARY PUBLIC.

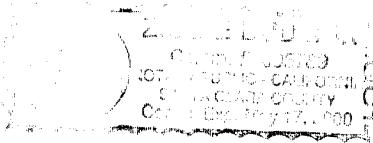
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

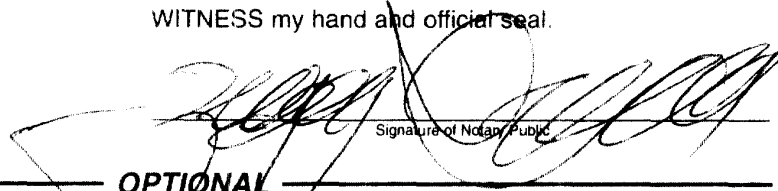
personally appeared E.U. SUDHAKARAN.

Name(s) of Signer(s)

☐ personally known to me – **OR** – ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~<sup>they</sup> executed the same in his/~~her~~<sup>their</sup> authorized capacity(ies), and that by his/~~her~~<sup>their</sup> signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

  
Signature of Notary Public

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: ASSIGNMENT.

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: \_\_\_\_\_

- ☒ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer  
Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney-in-Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here