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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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Attorney Docket No. **97-TRN-561**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party(ies):

**DAVID L. WADAS
THOMAS A. GENISE**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **EATON CORPORATION**

Internal Address:

Street Address: **EATON CENTER
1111 SUPERIOR AVENUE**

City: **CLEVELAND** State: **OHIO** Zip: **44114-2584**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **06/05/98 and 03/31/98, respectively**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) **09/053,093 filed 04/01/98**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **EATON CORPORATION
ATTN: HOWARD D. GORDON**

Internal Address: **PATENT LAW DEPARTMENT**

Street Address: **EATON CENTER
1111 SUPERIOR AVENUE**

City: **CLEVELAND** State: **OHIO** Zip: **44114-2584**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)..... **\$40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: **05-0275**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

HOWARD D. GORDON

Name of Person Signing

Signature

06/10/98

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:
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06/29/1998 TTOM11 00000110 050275 09053093

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PATENT
REEL: 9271 FRAME: 0871

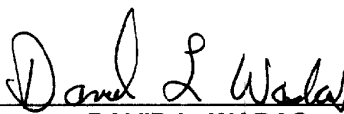
ASSIGNMENT

WHEREAS, WE, **DAVID L. WADAS** of 6300 Willowbrook Drive, Kalamazoo, Kalamazoo County, Michigan; and **THOMAS A. GENISE** of 449 North Lafayette Street, Dearborn, Wayne County, Michigan; respectively, have invented certain improvements in **ADAPTIVE UPSHIFT JAW CLUTCH ENGAGEMENT CONTROL**, for which we are about to make application for Letters Patent of the United States; and

WHEREAS, EATON CORPORATION, a corporation organized and existing under the laws of the State of Ohio, having its principal place of business at Eaton Center, 1111 Superior Avenue, Cleveland, OH 44114-2584, is desirous of acquiring an interest therein;

NOW, THEREFORE, for and in consideration of our employment and the salary or wages paid to us by EATON CORPORATION, we, **DAVID L. WADAS** and **THOMAS A. GENISE**, by these presents do sell, assign, and transfer unto said EATON CORPORATION, its successors and assigns, the full and exclusive right in the United States of America and all foreign countries to the said invention as described in the specification executed by us on the 5th day of June, 1998; and the 31st day of March, 1998, respectively (and we hereby authorize our attorneys, authorized to prosecute said application, to here insert the filing date and serial number of the said application, as soon as it is known, Serial No. 09/053,093, filed 04/01/98, preparatory to obtaining Letters Patent of the United States therefor); said invention, all applications for Letters Patent and all Letters Patent therefor, to be held and enjoyed by the said EATON CORPORATION, for its own use and behoof and for its legal representatives, to the full end of the term for which said Letters Patent are granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made; and we hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for Letters Patent of the United States of America and all foreign countries on, for litigation regarding, or for the purpose of protecting title to the said invention or Letters Patent therefor for the benefit of our assignee without further or other compensation than that above set forth; and we hereby request the Commissioner

of Patents and Trademarks to issue said Letters Patent to EATON CORPORATION, as assignee thereof.


Inventor: **DAVID L. WADAS**


STATE OF MICHIGAN)
COUNTY OF KALAMAZOO) ss:

On this 5th day of June, 1998, before me personally appeared **DAVID L. WADAS**, to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


Notary Public

My Commission Expires: DALE SUSAN 07-1-2001
NOTARY PUBLIC - KALAMAZOO COUNTY, MI
MY COMMISSION EXPIRES 07/01/2001

(SEAL)


Inventor: **THOMAS A. GENISE**

STATE OF MICHIGAN)
COUNTY OF OAKLAND) ss:

On this 31st day of March, 1998, before me personally appeared **THOMAS A. GENISE**, to me personally known as the individual who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


Notary Public

My Commission Expires: TERESA A. BONSTALL
Notary Public, Washtenaw County, MI
My Commission Expires Jan. 6, 2002

(SEAL)