

MRD 6-19-98

07-02-1998

NVLS-0097



100754249

R SHEET
Y

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kwok Fai Lai

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 21, 1998

2. Name and address of receiving party(ies)

Name: Novellus Systems, Incorporated

Internal Address: _____

Street Address: 3970 North First Street

City: San Jose State: CA ZIP: 95134

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/073,358

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: D'Alessandro & Ritchie

Internal Address: _____

Street Address: P.O. Box 640640

City: San Jose State: CA ZIP: 95164-0640

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account number:

04-0025

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and an attached copy is a true copy of the original document.

Tara Hayden

Name of Person Signing

Signature

July 12, 1998
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents, Box Assignment, Washington, DC 20231

PATENT

REEL: 9273 FRAME: 00601

06/20/1998 JMT/LJS 00000183 09003358 40.00

ASSIGNMENT

WHEREAS, I, Kwok Fai Lai, a citizen of Hong Kong, residing at 959 Van Auken Circle, Palo Alto, California 94303, have invented a "APPARATUS AND METHOD FOR IMPROVING TARGET EROSION IN HOLLOW CATHODE MAGNETRON SPUTTER SOURCE" for which I have executed application papers for a U.S. patent thereon, which was filed on May 6, 1998, and assigned serial no. 09/073,358; and

WHEREAS, Novellus Systems, Inc., a California corporation, having a place of business at 3970 North First Street, San Jose, California 95134, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, Kwok Fai Lai, do sell, assign, transfer and set over unto the said Novellus Systems, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to Novellus Systems, Inc., its successors and assigns, in accordance with this Assignment.

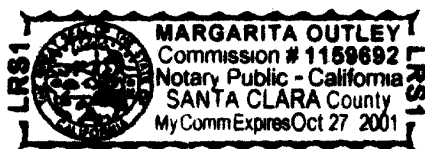
WITNESS MY HAND at San Jose, California, this 21st day of May, 1998.

Kwok Fai Lai
Kwok Fai Lai

STATE OF CALIFORNIA)
COUNTY OF Santa Clara) ss.

On this 21st day of May, 1998, before me, Margarita Outley, a Notary Public, personally appeared Kwok Fai Lai, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Margarita Outley
NOTARY PUBLIC