

**ASSIGNMENT RECORDATION COVER SHEET
-PATENTS ONLY-**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) Latha S. Colby
- b) Richard L. Cole
- c) Edward P. Haslam
- d) Nasi Jazayeri
- e) Galt Johnson
- f) William J. McKenna
- g) Lee E. Schumacher
- h) David G. Wilhite, Jr.

07-06-1998



100754329

2. Name and address of receiving party(ies):

- a) Name: Red Brick Systems, Inc.
- Address: 485 Albert Way
Los Gatos, California 95032

3. Nature of conveyance

- | | |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> License Agreement |

Execution Date: May 11 and 20, 1998

4. Application Number(s) or Patent Number(s): 09/049,784

The title of the (new) application is:

**SYSTEM AND METHOD FOR
REWRITING RELATIONAL DATABASE QUERIES**

5. Please send all correspondence concerning this (these) documents to:

Susan C. Yi
BEYER & WEAVER, LLP
P.O. Box 61059
Palo Alto, CA 94306
Tel. No.: (650) 493-2100
Fax No.: (650) 493-2102

06/10/1998 KHARLINE 00000047 09049784

02 FC:581

40.00 DP

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

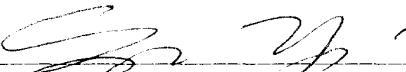
- ☒ Enclosed
- ☒ Authorized to be charged to Deposit Account No. 50-0388
(Order No. RBRKP003)

Attorney Docket No. RBRKP003

PATENT (Revised 01/96)
REEL: 9274 FRAME: 0516

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: June 1, 1998



Susan C. Yi
Registration No. 39,883

ASSIGNMENT OF PATENT APPLICATION

Whereas we the undersigned inventors have invented certain new and useful improvements as set forth in the patent application entitled:

SYSTEM AND METHOD FOR REWRITING RELATIONAL DATABASE QUERIES

for which we have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on **March 27, 1998**, and which bears the Application No. **09/049,784**.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we the undersigned inventors hereby:

- 1) Sell, assign and transfer to **Red Brick Systems, Inc.**, a California corporation having a place of business at **485 Alberto Way, Los Gatos, California 95032**, (hereinafter referred to as ("ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the dates indicated beside our signatures.

1) Signature: *Latha S. Colby*
Typed Name: **Latha S. Colby**

Date: 5/11/98

County of SANTA CLARA ,)
) ss.
State of CALIFORNIA .)

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared Latha S. Colby, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Jill Kurz-Simeone*



2) Signature: *Richard L. Cole* Date: 5/11/98
 Typed Name: **Richard L. Cole**

County of SANTA CLARA)
) ss.
 State of CALIFORNIA)

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared RICHARD L. COLE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Jill Kurz Simeone*



3) Signature: *Edward P. Haslam* Date: 5-11-98
 Typed Name: **Edward P. Haslam**

County of SANTA CLARA)
) ss.
 State of CALIFORNIA)

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared Edward P. Haslam, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Jill Kurz Simeone*



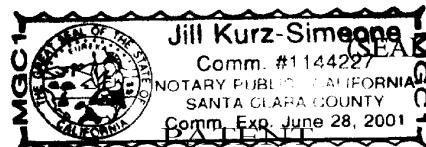
4) Signature: *Nasi Jazayeri* Date: 5-11-98
 Typed Name: **Nasi Jazayeri**

County of SANTA CLARA)
) ss.
 State of CALIFORNIA)

On this 19 day of May, in the year 1998, before me, Jill Kurz, personally appeared NASI JAZAYERI, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Jill Kurz-Simeone*
 Assignment to Red Brick Systems, Inc.



5) Signature: Galt Johnson
 Typed Name: **Galt Johnson**

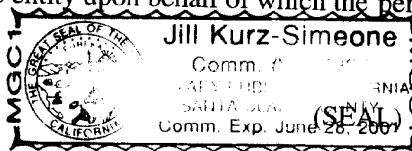
Date: 11 May, 1998

County of SANTA CLARA ,)
 State of CALIFORNIA) ss.

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared GALT JOHNSON, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Jill Kurz Simeone



6) Signature: William J. McKenna
 Typed Name: **William J. McKenna**

Date: 5-11-98

County of SANTA CLARA ,)
 State of CALIFORNIA) ss.

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared William J. McKenna, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Jill Kurz Simeone



7) Signature: Lee E. Schumacher
 Typed Name: **Lee E. Schumacher**

Date: 5/10/98

County of _____ ,)
 State of _____) ss.

On this ____ day of _____, in the year _____, before me, _____, personally appeared _____, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature _____

(SEAL)

Assignment to Red Brick Systems, Inc.

8) Signature: David G. Wilhite Jr. Date: 5/11/98
 Typed Name: **David G. Wilhite, Jr.**

County of SANTA CLARA ,)
 State of CALIFORNIA) ss.

On this 11 day of May, in the year 1998, before me, Jill Kurz, personally appeared David G. Wilhite Jr., personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/her authorized capacity(~~ies~~), and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Jill Kurz Simeone

