

07-06-1998

CASE NO.: USENG-002A



100754564

<p>FORM PTO-1585 (Rev. 8-93) OMB No. 0651-0011 (exp. 4-94)</p>		<p>RECORDATION FORM COVER SHEET PATENTS ONLY</p>		<p>U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office</p>	
<p>Tab settings □ □ □ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼</p>					
<p>To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.</p>					
<p>1. Name of conveying party(ies): Mark S. James 6.24.98 Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			<p>2. Name and address of receiving party(ies) Name: U.S. ENERGY, INC. Internal Address: See Below Street Address: P.O. Box 5378 City: San Clemente State: CA ZIP: 92674 Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: 6/15/98</p>					
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: 6/15/98 A. Patent Application No.(s) _____ B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>					
<p>5. Name and address of party to whom correspondence should be mailed: STETINA BRUNDA GARRED & BRUCKER Name: Kit M. Stetina Internal Address: See Below Street Address: 24221 Calle de la Louisa 4th Floor City: Laguna Hills State: CA ZIP: 92653-7602</p>			<p>6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$ 40 (\$40 for each patent listed) <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account (any additional fees needed) 8. Deposit account number: 19-4330 (Attach duplicate copy of this page if paying by deposit account)</p>		
<p>DO NOT USE THIS SPACE</p>					
<p>9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Kit M. Stetina Name of Person Signing (attorney) _____ Signature _____ Date _____ Total number of pages including cover sheet, attachments, and document: 5</p>					

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1585—Recordation Form Cover Sheet [16-5]—page 1 of 1)

PATENT
REEL: 9275 FRAME: 0037

PTO
100754564
06/24/98

Practitioner's Docket No. USENG-002A**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or
entity(ies) who own the
Invention

MARK S. JAMES*(type or print name(s) of ASSIGNOR(S))*181 California Court*Address*Mission Viejo, CA 92632U.S.A. citizen*Nationality (or State of Incorporation)*

*(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____ Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:U.S. ENERGY, INC.*(type or print name(s) of ASSIGNEE(S))*P. O. BOX 5378*Address*SAN CLEMENTE, CA 92674California*Nationality (or State of Incorporation)*

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

PATENT**REEL: 9275 FRAME: 0038**

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☒ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:
AC VOLTAGE REGULATOR

Name of inventor(s) _____

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
☐ Express mail label no.: _____
Mailed: _____
☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☐ U.S. application no. _____ / _____
filed on _____
(e) ☐ International application no. PCT/ _____ / _____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

(Assignment of Invention [15-3]—page 2 of 3)

PATENT

(Ref. 74-1297 Pub. 502)

FORM 163
FEB 9275 FRAME 00366

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 15th day of June 1998
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application. If item (a) was checked above.

Date: 6/15/98

Mark S. James
Signature of ASSIGNOR(S) MARK S. JAMES

Date:

Date:

Date:

(If ASSIGNOR is a legal entity, complete the following information)

(Type or print the name of the above person authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)

PATENT
REEL: 9275 FRAME: 0040

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of CALIFORNIA

County of ORANGE

On 6-15-98 before me, PATRICIA J. BARTON
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared MARK S. JAMES
Name(s) of Signer(s)

- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Patricia J. Barton
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: ASSIGNMENT

Document Date: 6-15-98 Number of Pages: 3

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Mark S. James

- ☒ Individual
☐ Corporate Officer
 Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing:

Himself

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
 Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing:

**STATEMENT (37 CFR 3.31(a)(9))
AND SIGNATURE (37 CFR 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: _____

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 29,445

Date: 6/24/98

Tel. No. (949) 855-1246

Customer No. 007663

Kit M. Stetina

(type or print name of practitioner)

STETINA BRUNDA GARRED & BRUCKER
24221 Calle de la Louisa, 4th Flr.

P.O. Address

Laguna Hills, CA 92653-7602

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

5

Total number of pages submitted

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 4 of 4)

RECORDED: 06/24/1998 ~~Pat. No. 127, 04, 000~~ ~~FORM 4-2.3~~ ~~REEL: 9273 FRAME: 0042~~ ~~4-24~~ ~~PATENT~~