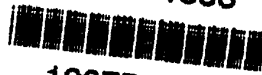


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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks

the attached original documents or copy thereof.

1. Name of conveying party(ies):

John Van Atta Gates and Dirk Joachim Muehlner

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain AvenueP.O. Box 636City: Murray Hill State: NJ ZIP: 07974Additional name(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: June 15, 1998Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/031,033

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul A. Schwarz, Esq.Internal Address: Mathews, Collins, Shepherd & GouldStreet Address: 100 Thanet CircleSuite 306City: Princeton State: NJ ZIP: 085406. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul A. Schwarz, Esq.

Name of Person Signing

Signature

June 16, 1998

Date

Total number of pages including cover sheet, attachments and documents: 924

ASSIGNMENT AND AGREEMENT

For value received, we, John VanAtta Gates, of New Providence in the County of Union and State of New Jersey, and Dirk Joachim Muehlner, of Murray Hill in the County of Union and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636 U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to OPTICAL ASSEMBLY WITH A COATED COMPONENT described in an application for Letters Patent of the United States, which application is being executed by me on the date indicated below, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


John VanAtta Gates

Date: 6/15/98

United States of America)
State of New Jersey , ss.:
County of Hudson)

On this 15 day of June, 1998, before me personally came JOHN VANATTA GATES, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000

PATENT
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Dirk Joachim Muehlner

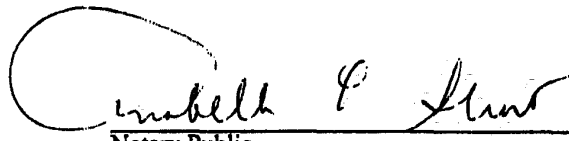
Date: 6-15-98

United States of America)

State of N. Jersey) ss.:

County of Union)

On this 15 day of June, 1998, before me personally came DIRK JOACHIM MUEHLNER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P.O. Box 636
Murray Hill, New Jersey 07974-0636

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000

RECORDED: 06/22/1998

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