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Assistant Commissioner for Patents

Document

JUN 25 1998

1. Name of conveying party(ies):  
**Teruyuki Nikaido**

Additional name(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

2. Name and address of receiving party(ies):  
**DAICEL CHEMICAL INDUSTRIES, LTD.**

**1 Teppo-cho, Sakai-shi  
 OSAKA 590-0905 JAPAN**

Additional names/addresses attached? ☐ Yes ☒ No

Execution Date: **June 2, 1998**

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

**09/023,884**

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Janis K. Fraser, Ph.D., J.D.  
 Fish & Richardson P.C.  
 225 Franklin Street  
 Boston, MA 02110-2804**

6. Total number of applications/patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40**

☒ Enclosed

☐ Authorized to charge deposit account

8. Deposit account number: **06-1050**

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

**Janis K. Fraser, Ph.D., J.D.**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **2**

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Date of Deposit

*June 26, 1998*

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Kathleen Phillips*  
 PATENT  
 REEL: 5281 FRAME: 0199

## ASSIGNMENT

For valuable consideration, I, Teruyuki Nikaido, of Ibaraki, Japan hereby assign to DAICEL CHEMICAL INDUSTRIES, LTD., a Japan corporation having a place of business at 1 Teppo-cho, Sakai-shi, Osaka 590-0905 Japan, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled METHOD OF PRODUCING D-AMINO ACID AND METHOD OF PRODUCING AMINE, filed February 13, 1998, and assigned U.S. Serial Number 09/023,884; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion, and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation, to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at IBARAKI,  
this 2 day of June, 1998

Teruyuki Nikaido L.S.  
Teruyuki Nikaido

Witnessed by : Mitsuru Ohno  
Name : Mitsuru Ohno Date: '98. 6. 2

Witnessed by : Kiyoshi Ikura  
Name : Kiyoshi Ikura Date: '98. 6. 2.