

FORM PTO-159e

07-08-1998



COVER SHEET

U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commr.

100755968

the attached original documents or copy thereof

1. Name of conveying party(ies):

Apollon, Inc.

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: June 22, 1998

2. Name and address of receiving party(ies)

Name: American Home Products Corporation

Internal Address: _____

Street Address: Five Giralda Farms

City: Madison State: NJ Zip: 07940

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

08/393,321

Additional numbers attached: ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary E. Bak, Esquire

Howson and Howson

Internal Address: _____

Street Address: Spring House Corporate Center,

Box 457

City: Spring House State: PA Zip: 19477

6. Total number of applications and

Patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number

08-3040

(Attach duplicate copy of this page if paying by deposit account)

Do not use this space

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary E. Bak

Name of Person Signing

Signature

Date

Total number of pages, including cover sheet, attachments, and document: 4

Mail documents to be recorded with required coversheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

07/07/1998 BCDATES 00000259 06393321

01 FC:561

40.00 OP

PATENT
REEL: 9281 FRAME: 0646

ASSIGNMENT

WHEREAS, **APOLLON, INC.** (hereinafter **APOLLON**), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a principal place of business at One Great Valley Parkway, Malvern, Pennsylvania 19355-1423, United States of America, is the owner of all right, title and interest in and to all inventions and improvements disclosed and claimed in **United States Patent Application No. 08/393,321**, filed **February 23, 1995**, entitled ***MECHANICAL DISRUPTION OF BACTERIAL CELLS FOR PLASMID RECOVERY***, and any and all continuations, divisions and renewals of, and substitutes for, said application, and in and to said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and in any and all other countries, and any reissues, reexaminations or extensions of said Letters Patent, by virtue of an assignment recorded at the United States Patent and Trademark Office on **May 8, 1995** on Reel **7463** and Frame **0720**, and

WHEREAS, **AMERICAN HOME PRODUCTS CORPORATION** (hereinafter **AHPC**), a corporation organized and existing under the laws of the State of Delaware, and having a principal place of business at Five Giralda Farms, Madison, New Jersey 07940, United States of America, is desirous of acquiring the entire right, title and interest in and to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

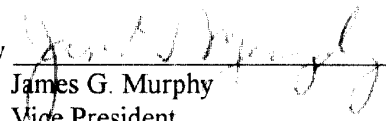
NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, **APOLLON** has assigned, sold and transferred to, and does hereby assign, sell and transfer to **AHPC**, its successors, assigns, nominees or legal representatives, the entire right, title and interest in and to all inventions and improvements disclosed and claimed in said **United States Patent Application No. 08/393,321** and any and all continuations, divisions and renewals of, and substitutes for, said application, and in and to said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and in any and all other countries, and any reissues, reexaminations, or extensions of said Letters Patent.

APOLLON hereby covenants that it has the full right to convey the entire interest herein assigned, and that it has not executed and will not execute any agreement in conflict herewith, and further covenants and agrees that it will without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent.

IN WITNESS WHEREOF, this Assignment has been executed this 22 day of June, 1998 and is to be effective *nunc pro tunc* as of the Asset Purchase Agreement of April 15, 1998.

APOLLON, INC.

By


James G. Murphy
Vice President

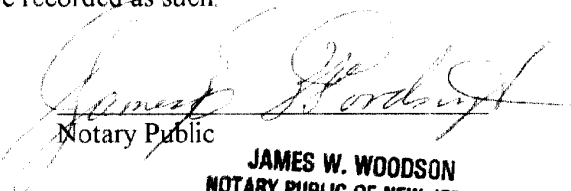
NOTARIAL CERTIFICATE

Commonwealth of ~~Pennsylvania~~ New Jersey
County of Gloucester

ss.

Before me, the undersigned, a notary public, in and for the country aforesaid, on this 22nd day of June, 1998 personally appeared **James G. Murphy** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)


Notary Public

JAMES W. WOODSON
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Nov. 12, 1998