

07-10-1998

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
ATTORNEY DOCKET NO. STSBI0022F

MD 6-25-98



100760281

To the Honorable Commissioner of Patents and

documents or copy thereof.

1. Name of conveying parties:

Michael R. VIOLANTE, Richard J. WHITBOURNE, John F. LANZAFAME, Margaret LYDON

2. Name and address of receiving party(ies):

Name: STS Biopolymers, Inc.
336 Summit Point Drive
Henrietta, New York 14467

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Dates: November 11, 1997

4. Application number(s) or patent number(s):

If this documents is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.: 08/965,393, filed November 6, 1997

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Michael A. Gollin
SPENCER & FRANK
1100 New York Avenue, N.W.
Suite 300 East
Washington, D.C. 20005
Tel. (202) 414-4000
Fax. (202) 414-4040

6. Total number of applications and patents involved: One (1)

07/01/1998 REEL 9288 FRAME 0568

7. Total fee (37 CFR 3.41): \$ 40.00

04 FC:581 ☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 19-3700 (attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Gollin, Registration # 31,957
Name of Person Signing

Signature

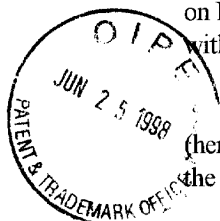
June 25, 1998
Date

Total number of pages including cover sheet, attachments, and document: 4

PATENT
REEL: 9288 FRAME: 0568

ASSIGNMENT

WHEREAS, we, Michael R. VIOLANTE, residing at 15 Cedarwood Circle, Pittsford, New York 14534, a citizen of the United States, Richard J. WHITBOURNE, residing at 1 Gateway Road, Fairport, New York 14450, a citizen of the United States, John F. LANZAFAME, residing at 453 Hazelwood Terrace, Rochester, New York 14609, a citizen of the United States, and Margaret LYDON, residing at 26 Slate Drive, N. Chili, New York 14514, a citizen of the United States, (hereinafter referred to as "Assignors"), have invented certain new and useful improvements in "ECHOGENIC COATINGS", for which a provisional application was filed on November 6, 1996, and for which a U.S. application and a PCT application were filed November 6, 1997 with the same title, and



WHEREAS, STS Biopolymers, Inc., located at 336 Summit Point Drive, Henrietta, New York 14467, (hereinafter referred to as the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the said invention, and in and to the said application, and any Letters Patent that may issue thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest for the United States in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, in whole or in part, re-examinations, and extensions thereof, and all applications claiming priority therefrom; and the Assignors hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as their interest is concerned, to the Assignee; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue.

The Assignors also have sold, assigned, and transferred, and do hereby sell, assign and transfer to the Assignee, its successors and assigns, their entire right, title and interest in and to the invention disclosed in said application, in all countries of the world foreign to the United States, including the right to file applications and obtain patents for said invention in its own name in said countries and including all rights of priority in said countries under the terms of any applicable international convention; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue.

The Assignors further agree to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights, and also agree, at the request of the Assignee, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain, maintain and enforce proper patent protection for said invention in all countries.

The Assignors also hereby grant Michael A. Gollin and the law firm of **SPENCER & FRANK** the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, the Assignors have caused this Assignment to be executed.

Dated this 11TH day of NOVEMBER, 1997.

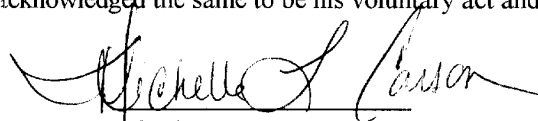



Michael R. VIOLANTE

CERTIFICATE

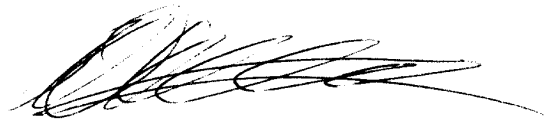
State of New York)
County of Livingston)

Personally appeared before me the above-named Michael R. VIOLANTE, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.


NOTARY PUBLIC

My Commission Expires:

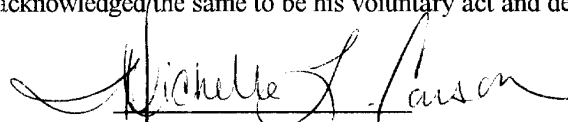
MICHELLE L. CARSON
Notary Public, State of New York
Livingston County, Reg #5013565
My Commission Expires July 15, 99


Richard J. WHITBOURNE

CERTIFICATE

State of New York)
County of Livingston)

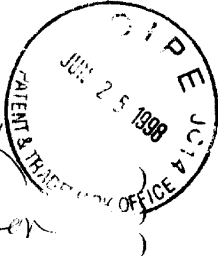
Personally appeared before me the above-named Richard J. WHITBOURNE, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.


NOTARY PUBLIC

My Commission Expires:

MICHELLE L. CARSON
Notary Public, State of New York
Livingston County, Reg #5013565
My Commission Expires July 15, 99

State of New York)
County of Livingston)

 CERTIFICATE


John F. LANZAFAME

Personally appeared before me the above-named John F. LANZAFAME, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.


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My Commission Expires:

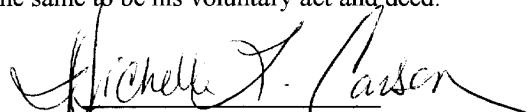
MICHELLE L. CARSON
Notary Public, State of New York
Livingston County, Reg#5013665
My Commission Expires July 15, 99


Margaret LYDON

CERTIFICATE

State of New York)
County of Livingston)

Personally appeared before me the above-named Margaret LYDON, who signed the foregoing Assignment in my presence on the date thereof and acknowledged the same to be his voluntary act and deed.


NOTARY PUBLIC

My Commission Expires:

MICHELLE L. CARSON
Notary Public, State of New York
Livingston County, Reg#5013665
My Commission Expires July 15, 99