

FORM PTO-1595
1-31-92

07-14-1998



100763678

DEPARTMENT OF COMMERCE
Patent and Trademark Office
IEET

Docket # 1621

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Theo J. C. Arts; Hans Colenberg;
Joseph Pierre H. Theunissen; Theo Jan Osinga;
Philip A. Lagar, James M. Garvey

WRD
7-2-98

2. Name and address of receiving party(ies):
Name: National Starch and Chemical Investment
Holding Corporation

Internal Address: _____

Additional names(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Street Address: P. O. Box 7663
City Wilmington State Delaware ZIP 19803-7663

Additional name(s) & address(es) attached?

Yes No

Execution Date: 3/17 & 20; 5/5 & 27; 6/24/98

4. Application number(s) or patent number(s):

If this document is being filed with a new application
the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 08/623,644

Filed: March 28, 1996

Additional numbers attached? Yes No

5. Name and address of party to whom
correspondence concerning documents should be
mailed:

Name: John D. Thallemer

Internal Address: National Starch and Chemical
Company

Street Address: P.O. Box 6500

City Bridgewater State New Jersey
ZIP 08807-0500

6. Total number of applications and patents
involved:

1

7. Total Fee (37 CFR 3,41) \$ 40.00

Enclosed

Authorized to be charged to deposit
account

8. Deposit Account Number:

No. 14-0455

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.

Ellen T. Dec, Reg. No. 26,863

Name of Person Signing

[Signature]
Signature

June 30, 1998
Date

Total number of pages including cover sheet, attachments, and document: 7

07/13/1998 DCOATES 00000254 140455 08623644

01 FC:581

40.00 CH

PATENT
REEL: 9296 FRAME: 0576

ASSIGNMENT

WHEREAS, WE, **Philip A. Lagar and James M. Garvey**, citizens of Great Britain, residing at, respectively,

3, Hall Close, Kilsby, Rugby, Warwickshire, CV23 8XZ, Great Britain

3 Badgers Glade, Burghfield Common, Berkshire, RG7 3RQ, Great Britain

have invented certain novel and useful improvements in

A METHOD OF PREPARING POLYMER GRANULES

for which application for Letters Patent of the United States was made on March 28, 1996 as Serial No. 08/623,644 and of which we are the true inventors; and

WHEREAS, National Starch and Chemical Investment Holding Corporation (a corporation of the State of Delaware), P. O. Box 7663, Wilmington, Delaware 19803-7663, are desirous of acquiring the entire right, title and interest in and to said invention, application and Letters Patent to be obtained therefor in the United States, and in all foreign countries;

NOW, THEREFORE, WE, **Philip A. Lagar and James M. Garvey**, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over unto said National Starch and Chemical Investment Holding Corporation, its successors and assigns, the entire and exclusive right, title and interest in and to said invention described in the specification executed by us on even date herewith, preparatory to obtaining Letters Patent of the United States therefor, and to said application, Letters Patent to be obtained for said invention, and any and all reissues, divisions, extensions or continuations thereof, and to any Letters Patent which may be applied for or granted for said invention in foreign countries, and the entire and exclusive right to have and claims the priority in all foreign countries pursuant to the provisions of the International Convention for the Protection of Industrial Property, based on said application including all rights to any accrued damages arising out of infringement during the time preceding and following grant of

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the Letters Patent and execution or recording of this assignment; and

WE HEREBY REQUEST the Commissioner of Patents and Trademarks to issue said Letters Patent to said National Starch and Chemical Investment Holding Corporation, as the assignee of our interest for the sole use of said assignee and its successors and assigns; and

WE HEREBY AGREE to execute any further papers necessary to secure said assignee in its full and complete enjoyment of its right to said invention, application, Letters Patent, reissues, divisions, extensions and continuations.

IN TESTIMONY WHEREOF, WE have hereunto set our hands this 17th day of April
_____ 1998.

Respectfully submitted,

SIGNED AND DELIVERED as his deed)
by the said Philip A. Lagar in)
the presence of: -)

Philip A. Lagar
Philip A. Lagar
Date: 2/3/98

Witness' Signature: [Signature]

Witness' Address: [Address]

Witness' Occupation: [Occupation]

SIGNED AND DELIVERED as his deed)
by the said James M. Garvey in)
the presence of: -)

James M. Garvey
James M. Garvey
Date: 17/3/98

Witness' Signature: James Ford

Witness' Address: 4 CULLEY WAY, MAIDENHEAD, BERKS SL6 3PX

Witness' Occupation: Secretary

THIS DEED is made the 5th day of May 1998 **BETWEEN**: -

- (1) **T. C. Arts** of Valeriusshof 15-B, 6215 GB Maastricht, The Netherlands ("Mr./Ms. Arts")
J. N. F. Colenberg of Marathon 1, 6245 EZ Eijsden, The Netherlands ("Mr./Ms. Colenberg")
J. P. H. Theunissen of Verdijkstraat 2, 6245 GM Eijsden, The Netherlands ("Mr./Ms. Theunissen")
T. J. Osinga of Bemelerweg 50, 6267 AN Cadier en Keer, The Netherlands ("Mr./Ms. Osinga")
- (2) **JOSEPH CROSFIELD & SONS LTD.** a company incorporated under the laws of England and Wales and having its registered office at Bank Quay, Warrington, Cheshire, WA5 1AB, Great Britain ("Joseph Crosfield & Sons Ltd." which expression where the context so allows shall include its successors and assigns)
- (3) **NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION** a company organized and existing under the laws of Delaware United States of America and having its principal office at P. O. Box 7663, Wilmington, Delaware 19803-7663, USA ("Holdings USA") which expression where the context so allows shall include its successors and assigns

WHEREAS -

- (A) T. J. C. Arts, J. N. F. Colenberg, J. P. H. Theunissen and T. J. Osinga (hereinafter referred to as "the Inventors"), which expression where the context so allows shall include their personal representatives and assigns, claims to be the co-inventors of an invention relating to "A METHOD OF PREPARING POLYMER GRANULES" ("the Invention") full particulars whereof have been communicated by them to Joseph Crosfield & Sons Ltd.
- (B) The Inventors are informed that the Invention is the subject of application for which patent protection was made in the United States on March 28, 1996 as Serial No. 08/623,644 and in respect of which they had (as hereby acknowledges) approved a specification prior to the filing of an application for patent protection in the USA
- (C) The Inventors are further informed that the Invention may be the subject of further applications for patent protection

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- (D) At the time the Invention was made Joseph Crosfield & Sons Ltd. and Holdings USA were associated companies (as this expression is used in Section 416 of the Income and Corporation Taxes Act 1988) and Joseph Crosfield & Sons Ltd. agreed at the request of Holdings USA to assign to Holdings USA all rights of Joseph Crosfield & Sons Ltd. in and to the Invention in the USA including the right to apply for and obtain Letters Patent in respect of the Invention in the USA
- (E) The parties hereto have agreed to execute this Deed on the terms herein contained

NOW THIS DEED WITNESSES as follows: -

- 1 In pursuance of the said Agreement and in consideration of the premises: -
- (a) the Inventors **ASSIGN** and **CONFIRM** unto Joseph Crosfield & Sons Ltd. **ALL THOSE** respective rights and titles shares and interests in and to the Invention and the patent application identified above and all patents granted thereon **AND** the full and exclusive benefit thereof **TOGETHER WITH** the full right to apply for and obtain a patent or patents or other similar forms of protection in respect of the Invention in the United Kingdom of Great Britain and Northern Ireland and the Isle of Man ("the United Kingdom") the USA and all other countries of the world in the name of Joseph Crosfield & Sons Ltd. alone or as Joseph Crosfield & Sons Ltd. may from time to time otherwise elect or the relevant law may require **TO HOLD** the same unto Joseph Crosfield & Sons Ltd. absolutely; and
- (b) Joseph Crosfield & Sons Ltd. **HEREBY ASSIGNS** and **CONFIRMS** unto Holdings USA in relation to the USA the entire and exclusive right title and interest in and to the Invention the application referred to above for Letters patent of the USA, all Letters Patent granted hereon, and any and all reissues divisions extensions or continuations thereof including all rights to any accrued damages arising out of infringement during the time preceding and following grant of the said Letters Patent and execution or recording of this Deed **TO HOLD** the same unto Holdings USA absolutely
2. The Inventors **AGREE** with Joseph Crosfield & Sons Ltd. and Holdings USA and Joseph Crosfield & Sons Ltd. hereby **COVENANTS** and **AGREES** with Holdings USA as follows: -
- i. that they will at any time hereafter (whether or not in the case of the Inventors remaining in the employ of Joseph Crosfield & Sons Ltd.) and from time to time at the request and expense of

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Joseph Crosfield & Sons Ltd. or Holdings USA, as the case may be, promptly execute and sign all such instruments applications and documents and do all such acts and things as may be required by Joseph Crosfield & Sons Ltd. or Holdings USA as the case may be enable Joseph Crosfield & Sons Ltd. and Holdings USA to enjoy the full benefit of the rights hereby confirmed and assigned including all reissues divisions extensions and continuations

ii. that they have not assigned or agreed to assign to any person firm or company otherwise than as herein stated or otherwise than as herein stated or otherwise encumbered and will not hereafter assign or agree to assign to any person firm or company or otherwise encumber the Invention or the said patent applications or the right to apply for or obtain a patent or patents or other similar forms of protection in respect of the Invention in the United Kingdom the USA or elsewhere throughout the world

iii. that the Inventors will give to Joseph Crosfield & Sons Ltd. and Holdings USA and Joseph Crosfield & Sons Ltd. will give to Holdings USA all such information in their possession or in their power relating to the Invention and the method of using or employing the same as Joseph Crosfield & Sons Ltd. or Holdings USA shall from time to time require

iv. that they have not disclosed and will not hereafter disclose the Invention or any information whatsoever concerning the same to any person firm or company other than Joseph Crosfield & Sons Ltd. or Holdings USA or their respective Patent Agents or Patent Attorneys

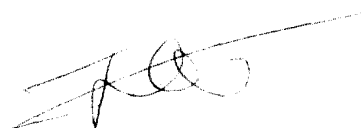
3. The Inventors and Joseph Crosfield & Sons Ltd. **HEREBY REQUEST** the Commissioner of Patents and Trademarks of the USA to issue said Letters patent of the USA to Holdings USA as assignee for the sole use of Holdings USA

4. **IT IS HEREBY CERTIFIED** that this instrument falls within category L in the Schedule to The Stamp Duty (Exempt Instruments) Regulations 1987

5. This document shall be governed by and construed in all respects in accordance with English Law **IN WITNESS** whereof the Inventors Joseph Crosfield & Sons Ltd. by its authorized officers and Holdings USA in accordance with its constitution have executed this Instrument as their Deed the day and year first before written

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SIGNED AND DELIVERED as his deed)
by the said T. J. C. Arts in)
the presence of: -)


T. J. C. Arts


Date: May 8, 1998

Witness' Signature: 

Witness' Address: Croosfield B.V.

Witness' Occupation: Vice President
Silicates and Zeolites

SIGNED AND DELIVERED as his deed)
by the said J. N. F. Colenberg in)
the presence of: -)


J. N. F. Colenberg

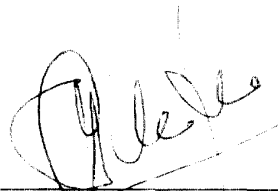
Date: May 5, 1998

Witness' Signature: 

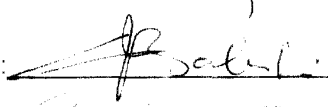
Witness' Address: Croosfield B.V.

Witness' Occupation: Vice President
Silicates and Zeolites

SIGNED AND DELIVERED as his deed)
by the said J. P. H. Theunissen in)
the presence of: -)


J. P. H. Theunissen

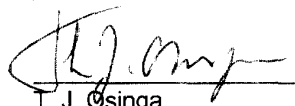
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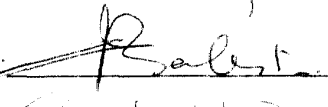
Witness' Address: Croosfield B.V.

Witness' Occupation: Vice President
Silicates and Zeolites

SIGNED AND DELIVERED as his deed)
by the said T. J. Osinga in)
the presence of: -)


T. J. Osinga

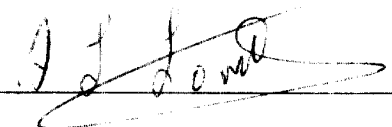
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Witness' Signature: 

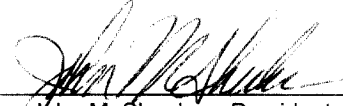
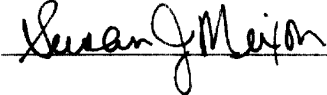
Witness' Address: Croosfield B.V.

Witness' Occupation: Vice President
Silicates and Zeolites

SIGNED for and on behalf of
Joseph Crosfield & Sons Ltd.

)
)
Director: 
Director/Secretary: _____
Date: 27th May 1998

EXECUTED by the said
NATIONAL STARCH AND CHEMICAL
INVESTMENT HOLDING CORPORATION
in accordance with its
constitution

)
)
)
)
)
By: 
John M. Sheehan, President
Date: June 24, 1998
Attest: 

(1)

T. J. C. Arts

J. N. F. Colenberg

J. P. H. Theunissen

T. J. Osinga

(2)

JOSEPH CROSFIELD & SONS LTD.

(3)

NATIONAL STARCH AND CHEMICAL
INVESTMENT HOLDING CORPORATION

DEED

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