

07-14-1998

OMB No. 0514-0011(exp-4/94)



100762894

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original Documents or copy thereof.

1. Name of conveying party(ies):

**Mitsura Nakamura
Kazuya Nakada
Hiroyuki Wada**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **4 August 1995**

2. Name and address of receiving party(ies)

Name: **HENKEL CORPORATION**

Internal Address:

Street Address: **140 Germantown Pike, Suite 150**City: **Plymouth Mtg.** State: **PA** ZIP: **19462**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **4 August 1995**

A. Patent Application No.(s)

08/776,477

B. Patent No.(s)

Additional Application numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Name: Henkel Corporation
Internal Address: Law Department
Attention: Norvell E. Wisdom, Jr.
Street Address: 140 Germantown Pike, Suite 150
City: Plymouth Meeting
State: Pennsylvania Zip Code: 19462**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41) :...\$**40.00**☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

01-1250 (Order No. 97-0114)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Lance Johnson**

Name of Person Signing

Signature

July 8, 1995

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231**PATENT**
REEL: 9296 FRAME: 0835

RECORDATION FORM C
PATENTS ON

02-09-1998

KET: M 5707 PAM/PTC/US
DEPARTMENT OF COMMERCE
Patent and Trademark Office



100628589

the

JAN 29 1998

RECEIPT ACTING DIR

To the Honorable Commissioner of
attached original documents or copy

1. Name of conveying party(ies):
Mitsuru Nakamura
Kazuya Nakada
Hiroyuki Wada

Additional names(s) or con-
veying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:
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☐ Security ☐ Change of Name
☐ Agreement
☐ Other
Execution Date: 4 August 1995

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party (ies):
Name: Henkel Corporation
Internal Address: _____

Street Address: 140 Germantown Pike, Suite 150

City: Plymouth Meeting State: PA Zip 19462

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B. Patent No (s).

Additional numbers attached? ☐ Yes ☒ No

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Name: Henkel Corporation

Internal Address: Law Department

Attention: Norvell E. Wisdom, Jr.

Street Address: 140 Germantown Pike - Suite 150

City Plymouth Mtg. State Pa ZIP 19462

6. Total number of applications and
patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

01-1250 (Order No. 97-0114)

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deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a
true copy of the original document.

Norvell E. Wisdom, Jr. (R.N. 30,510)

Name of Person Signing

Signature

January 22, 1998

Date

Total Number of pages including cover sheet, attachments and document: [3]

OMB No. 0651-0100 (EXP. 4/94)

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Washington, D.C. 20231

02/06/1998 VERDUM 00000137 DAN:011250 HITSURU
01 FC:561 40.00 CH

COPY

PATENT
REEL: 9296 FRAME: 0836

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, we, Mitsuru Nakamura, Kazuya Nakada and Hiroyuki Wada
citizen(s) of Japan
and resident(s) of JYUNE-Palace Hiratsuka, 14-2-305, Momohama-Cho, Hiratsuka-Shi,
Kanagawa-Ken, Japan 254; C202, MEZON-Sunlight, 1166-1, Shinomiya, Hiratsuka-Shi,
Kanagawa-Ken, Japan 254 and Nihon Parkerizing Ohgami Dormitory, 2784, Ohgami, Hiratsuka-
Shi, Kanagawa-Ken, Japan 254

have invented certain new and useful improvement in

PROCESS FOR TREATING THE SURFACE OF ALUMINIUM SHEET FOR CAP
MANUFACTURING

for which we have made application for United States Letters Patent, said application having
been filed as PCT international application number PCT/US95/09135 on 25 July 1995
designating the United States of America; and

WHEREAS, Henkel Corporation a corporation duly organized and existing under the laws
of Delaware and having a place of business at 140 Germantown Pike, Suite 150, Plymouth
Meeting, PA 19462 is desirous of acquiring the entire right, title and interest in and to said
invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said Mitsuru
Nakamura, Kazuya Nakada and Hiroyuki Wada
for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by the said
Henkel Corporation and for other good and valuable considerations, the receipt of all of which
is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said Henkel
Corporation its successors and assigns, the entire right, title and interest in and to said invention,

said application and any and all Letters Patent that may issue thereon in the United States together with any division, divisions, extension or extensions, reissue or reissues thereof;

AND, we hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may issue upon said invention to said Henkel Corporation as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

IN WITNESS WHEREOF, we have hereunto set our hands as of the following date(s).

Mitsuru Nakamura Date 4 August 1995
Mitsuru NAKAMURA

Kazuya Nakada Date 4 August 1995
Kazuya NAKADA

Hiroyuki Wada Date 4 August 1995
Hiroyuki WADA

9:C:WP/info/asg.mus



PATENT
M 5707 HST/NI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	
	:	
MITSURU NAKAMURA et al.	:	
	:	
Serial No.: 08/776,477	:	ATTN: ASSIGNMENT DIVISION
	:	
Filed: January 27, 1997	:	
	:	
For: PROCESSING FOR TREATING	:	DOCUMENT ID NO. 100628589
THE SURFACE OF ALUMINUM SHEET	:	
FOR CAP MANUFACTURING	:	

RE-SUBMISSION OF ASSIGNMENT DOCUMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice of Non-Recordation of Document dated March 19, 1998, Applicants are re-submitting herewith for recordation the original Assignment document with the requisite cover sheet indicating the application serial number.

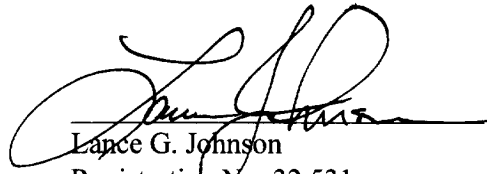
The assignment recordation fee of \$40.00 was previously submitted to the U.S. Patent and Trademark Office on January 22, 1998. Accordingly, it is believed that no other fees are due. However, the Commissioner is hereby authorized to charge any fees

PATENT
REEL: 9296 FRAME: 0839

which may become due in connection with this communication or credit any overpayment to Deposit Account No. 18-2220.

Respectfully submitted,

**ROYLANCE, ABRAMS, BERDO
& GOODMAN**

A handwritten signature in black ink, appearing to read "Lance G. Johnson", is written over a horizontal line.

Lance G. Johnson

Registration No. 32,531

Our Ref. No.: 36156

1225 Connecticut Avenue, NW

Washington, D.C. 20036

(202) 530-7361

CORRESPONDENCE ADDRESS:

Henkel Corporation

140 Germantown Pike, Suite 150

Plymouth Meeting, PA 19462

(610) 832-2213

Dated: July 8, 1998