

07-14-1998

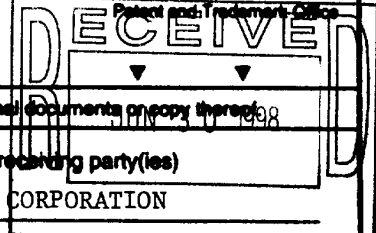
FORM PTO-1586  
(Rev. 6-93)  
OMB No. 0851-0011 (exp. 4/94)



SHEET

U.S. DEPARTMENT OF COMMERCE  
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100763530



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents on copy thereof.

## 1. Name of conveying party(ies):

SHAU-TAK R. CHOU  
MARK A. DANIELS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address:

INTELLECTUAL PROPERTY DEPARTMENT

Street Address: CARRIER WORLD HEADQUARTERS

ONE CARRIER PLACE BLDG.

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: MAY 20, 1998

## 4. Application number(s) or patent number(s): 09/084,464

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CARRIER CORPORATION/WILLIAM HABELT

Internal Address: INTELLECTUAL PROPERTY DEPT.

Street Address: CARRIER PARKWAY

City: SYRACUSE State: NY ZIP: 13221

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

(ORDER NO: 324)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLLEEN S. KUKLINSKI

Name of Person Signing

Signature

JUNE 25, 1998

Date

(ATTY DOCKET NO: 9231 ) Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT

REEL: 9296 FRAME 0856

95004454  
 00000191 0305  
 07/13/1998 DC:JMS  
 01 FC:581

6/30/98

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **FAN SCROLL** (Carrier Docket No. 9231)

executed by:           SHAU-TAK R. CHOU  
residing at:           87 INGLESID LANE  
                              LIVERPOOL, NEW YORK 13090

and by:                MARK A. DANIELS  
residing at:           8040 SHADOW ROCK  
                              MANLIUS, NEW YORK 13104

on this 20<sup>th</sup> day of May, 1998, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.


IN WITNESS WHEREOF, we have hereunto set our hand and seal, this 20<sup>th</sup>  
day of May, 1998.

  
\_\_\_\_\_  
SHAU-TAK R. CHOU (L.S.)

  
\_\_\_\_\_  
MARK A. DANIELS (L.S.)

STATE OF NEW YORK )  
 ) SS:  
COUNTY OF ONONDAGA )

On this 20<sup>th</sup> day of MAY, 1998, before me the subscriber,  
personally came SHAU-TAK R. CHOU and MARK A. DANIELS, to me known, and known to  
me to be the persons described in and who executed the foregoing instrument, and duly  
acknowledged to me that they executed the same as their free act and deed.

  
\_\_\_\_\_  
Notary Public


(seal)

DAVID J. ZOBKIW  
Notary Public in the State of New York  
Qualified in Wyoming County  
My Commission Expires July 31 1999

\*\*\*\*\*

The patent application referred to in the foregoing instrument was filed in the United States  
Patent and Trademark Office on May 26, 1998 and was assigned Serial Number

091084,464.

  
\_\_\_\_\_  
David J. Zobkiw  
Reg. No. 26,627

June 25, 1998  
\_\_\_\_\_  
Date