



07-14-1998



100763184

Docket No.: 41890-00040

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Mark J. Hampden-Smith
Toivo T. Kodas
Quint H. Powell
Daniel J. Skamser
James Caruso

MRO 7.6.98

2. Name and address of receiving party(ies):

Name: Nanochem Research LLC

Internal Address: _____

Street Address: 3740 Hawkins NE

City: Albuquerque

State: NM

ZIP: 87109

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: May 26, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/028,277

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ross E. Breyfogle

Internal Address: Holme Roberts & Owen LLP

Street Address: 1700 Lincoln Street, Suite 4100

City: Denver

State: CO

ZIP: 80203

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

07/13/1998 DNEUYEN 00000235 09028277

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ross E. Breyfogle, Reg. No. 36,759

Name of Person Signing

Ross E. Breyfogle

Signature

July 1, 1998

Date

Total number of pages including cover sheet, attachments, and document:

PATENT 4

REF: 9208 TRAM 0081

ASSIGNMENT

WHEREAS, we, Mark J. Hampden-Smith, of 2901 Maximillian NW, Albuquerque, New Mexico 87131, Toivo T. Kodas, of 11102 San Rafael Drive NE, Albuquerque, New Mexico 87122, Quint H. Powell, of 14336 Grand Avenue NE, Albuquerque, New Mexico 87123, Daniel J. Skamser, of 10327 Hackamore Place SW, Albuquerque, New Mexico 87121, and James Caruso, of 7721 Cedar Canyon Court NE, Albuquerque, New Mexico 87122, together with Clive D. Chandler, of 10706 SW Capitol Highway #44, Portland, Oregon 97219, are inventors of a certain new and useful invention entitled "SILVER-CONTAINING PARTICLES, METHOD AND APPARATUS OF MANUFACTURE, SILVER-CONTAINING DEVICES MADE THEREFROM," for which an application for Letters Patent of the United States has been prepared, said application having been filed on February 24, 1998, being identified as Attorney File No. 41890-00040 and having received U.S. Application Serial No. 09/028,277.

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Nanochem Research LLC, a New Mexico limited liability company having a business address of 3740 Hawkins NE, Albuquerque, NM 87109, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Nanochem Research LLC, and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Nanochem Research LLC, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, each party hereto has executed this Assignment as of the date indicated hereunder.

Date: 5-26-98

By: Mark J. Hampden-Smith
Mark J. Hampden-Smith

Date: 5/26/98

By: Toivo T. Kodas
Toivo T. Kodas

Date: 5/26/98

By: Quint H. Powell
Quint H. Powell

Date: 5/26/98

By: Daniel J. Skamser
Daniel J. Skamser

Date: 5/26/98

By: James Caruso
James Caruso

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Mark J. Hampden-Smith, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26 day of May, 1998.

Alex Shamsen
Notary Public

My Commission Expires: September 11, 2001

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Toivo T. Kodas, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26 day of May, 1998.

Alex Shamsen
Notary Public

My Commission Expires: September 11, 2001

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Quint H. Powell, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26 day of May, 1998.

Alex Shamsen
Notary Public

My Commission Expires: September 11, 2001

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared Daniel J. Skamser, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26 day of May, 1998.

Daniel Skamser
Notary Public

My Commission Expires: September 11, 2001

STATE OF New Mexico)
) ss.
COUNTY OF Bernalillo)

Before me, a Notary Public in and for said County and State, personally appeared James Caruso, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26 day of May, 1998.

Daniel Skamser
Notary Public

My Commission Expires: Sept 11, 2001