

07-14-1998

Case Name: Chu 2-3-6-1

SHEET



To the Honorable Commission

100763790

the attached documents or copy thereof.

U.S. PTO
09/10/98
06/23/98

MPD 6-23-98

(1) Conveying Parties: Polly W. Chu; Matthew J. Dejneka; Brian P. Tyndell; Kevin J. Yost

Title: COMPOSITION FOR OPTICAL WAVEGUIDE ARTICLE AND METHOD FOR MAKING CONTINUOUS CLAD FILAMENT

Additional name(s) of conveying party(ies) attached? Yes No

(2) Receiving Party: Corning Incorporated
Patent Dept., SP-FR-02-12
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 7/14/97; 7/10/97; 7/14/97; 7/10/97

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded

A. Patent Application No.(s):
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 7/14/97, 7/10/97, 7/14/97, 7/10/97.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name William Greener
Reg. No. 38.165
Corning Incorporated
Patent Department
SP-FR-02-12
Corning, NY 14831
Phone No.: (607) 974-3857

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No. _____)
- Included with filing fee. Check No. _____
- Authorization given by Corning Incorporated to charge deposit account number 03-3325

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

William Greener
William Greener

6/23/98
Date

Total number of pages including cover sheet: four

PATENT
REEL: 9299 FRANK 0902

09106655
033325
00000169
0710/1998 JMWTK:MS
40.00 CH
01 FC:501

ASSIGNMENT

FOR VALUE RECEIVED, WE, POLLY WANDA CHU, residing at 109 Weston lane, Painted Post, NY 14870; MATTHEW JOHN DEJNEKA, residing at 10 Ellison Road, Corning, NY 14830; BRIAN PAUL TYNDELL, residing at 10 Maple Street, Addison, NY 14801 and KEVIN JOSEPH YOST, residing at 561 Victory Highway, Painted Post, NY 14870, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **COMPOSITION FOR OPTICAL WAVEGUIDE ARTICLE AND METHOD FOR MAKING CONTINUOUS CLAD FILAMENT**, generally described in an application for Letters Patent of the United States, executed by us on the 14 day of July, 1997, on the 10 day of July, 1997, on the 14 day of July, 1997, and on the 10th day of July, 1997, respectively, and all the rights and privileges under any and all Letters Patents that may be granted therefor.

We request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

We agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

We authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

PATENT

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Signed this 14th day of July, 1997

Polly Wanda Chu
POLLY WANDA CHU

State of New York)
 : SS
County of Steuben)

On the 14 day of July, 1997 before me personally appeared POLLY WANDA CHU, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that she executed the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 1998

Signed this 10th day of July, 1997

Matthew John Dejneka
MATTHEW JOHN DEJNEKA

State of New York)
 : SS
County of Steuben)

On the 10th day of July, 1997, before me personally appeared, MATTHEW JOHN DEJNEKA, known to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 1998

Case Name: CHU
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Signed this 14th day of July, 1997

Brian Paul Tyndell
BRIAN PAUL TYNDELL

State of New York)
 : SS
County of Steuben)

On the 14 day of July, 1997, before me personally appeared BRIAN PAUL TYNDELL, to me known to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same.

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 1998

Signed this 10th day of July, 1997

Kevin Joseph Yost
KEVIN JOSEPH YOST

State of New York)
 : SS
County of Steuben)

On the 10th day of July, 1997, before me personally appeared, KEVIN JOSEPH YOST, known to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same

Sharon C. Archer
Notary Public

SHARON C. ARCHER
Notary Public, State of New York
Steuben County No. 01AR4636431
Commission Expires April 30, 1998