07-21-1998

U.



Form PTO-1595

RECORDATION FORM COVER 866

U.S. Department of Commerce

ATS ONLY 🧐

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): a) Peter B. Yocom g) b) Catherine K. Eilert h) c) John E. Arwe i) d) j) e) k) f) l) More names attached? Y x N	Address: New Orchard Poad
3. Nature of Conveyance: x Assignmen	t
c) June 29, 1998 i) d) j)	Filed: March 11, 1998 yes X no
Additional numbers atta	ached? Y X N
5. Name and address of party to whom concerning document should be mailed Name: William A. Kinnaman, Jr. IBM Corporation - MS P386 Intellectual Property Law 522 South Road, Poughkeepsie NY 12601-54	d: patents involved: 01 7. Total fee (37 CFR 3.41): 40.00
C-E01 (0.05.00)	OT USE THIS SPACE
9. Statement and Signature: To the information is true and correct as original document.	best of my knowledge and belief, the foregoing nd any attached copy is a true copy of the
Sandra L. Kilmer	Signature Date
Total number of pages including cover	er sheet, attachments, and document: 3
Express Mail Label No. (if applicable)	: Docket No.: PO997163
	PATENT

IBM Docket No: PO997163
Page 1 of 1

ASSIGNMENT

Whereas, we

(1) PETER B. YOCOM of Wappingers Falls
County of Dutchess and New York

(2) CATHERINE K. EILERT of Wappingers Falls
County of Dutchess and State of New York

and

(3) JOHN E. ARWE of Poughkeepsie
County of Dutchess and State of New York

have invented certain improvements in METHOD AND APPARATUS FOR CONTROLLING THE NUMBER OF SERVERS IN A MULTISYSTEM CLUSTER,

and executed a United States Patent Application therefor on

(1) June 26, 1998 , (2) June 26, 1998 , and (3) June 29, 1998 .

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to 1BM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that iBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

RECORDED: 07/14/1998

(1) at Poughkeepsie, NY Peter B. Yocom

On 6/26/98 Peter B. Yocom

(2) at Poughkeepsie, NY Catherine K. Eilert

On 6-26-98 Catherine K. Eilert

on 6/29/98 John E. Arwe

PATENT REEL: 9312 FRAME: 0125