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Patent and Trademark Office

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To the Honorable Commissioner of Patents and **100769752**

ached original documents or copy thereof.

1. Name of conveying party(ies):

VANTAGE ONE DESIGN, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

- ☐ Merger

☐ **Security Agreement**

☐ Change of Name

☐ Other

Execution Date: APRIL 20, 1998

2. Name and address of receiving party(ies)

Name: SMS SCHLOEMANN-SIEMAG Ag.

Internal Address:

Street Address:

City: DÜSSELDORF State: GERMANY ZIP: 40237

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 08/991,682

B. Patent No.(s)

claiming benefit of Provisional
SN 60/044,233

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WILLIAM L. KRAYEN

Internal Address:

Street Address: 241 MERION DR

City: PITTSBURGH State: PA ZIP: 15228

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40⁰⁰

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William L. Krayak
Name of Person Signing

Signature

July 16, 1998
Date

Total number of pages including cover sheet, attachments, and document: 111

Assignment

In consideration of One Dollar (\$ 1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged,

we the undersigned

Vantage One Design, Inc., a corporation of the State of Pennsylvania, USA, having a place of business at 135 Technology Drive, Southpointe Industrial Park, Canonsburg, PA 15317-9549 (hereafter referred to as "ASSIGNOR"),

hereby sell, assign and transfer to

SMS Schloemann-Siemag Aktiengesellschaft (hereafter to as "ASSIGNEE"), a corporation of Germany having a place of business at Eduard-Schloemann-Str. 4, 40237 Düsseldorf, Germany, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the applications for the United States Letters Patent, which are entitled:

"Dynamic Crown Control Back-up Roll Assembly" invention applied for at the United States Patent Office on Dec. 16, 1997 claiming the benefit of Provisional Application Serial Number 60/044,233 filed April 24, 1997 in the name of the inventor therein, Herbert Lemper, and assigned by the inventor to the ASSIGNOR per the enclosed Assignment dtd. Dec. 15, 1997 (Enclosure 1) accompanied by the Declaration for Utility Patent Application submitted with initial filing of even date (Enclosure 2)

and

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the said Provisional Application Serial Number 60/044,233 filed April 24, 1997 of even title and in the name of the same inventor, and assigned by the inventor to the ASSIGNOR,

whereby the assignments from the inventor, Herbert Lemper, to the ASSIGNOR are recorded at the US Patent Office,

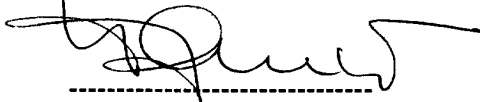
and in and to said applications and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, in said Assignee, its successors, assigns and legal representatives; and

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Covenants with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: *April 20 - 1998*



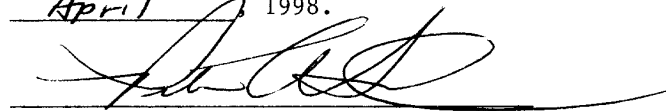
ASSIGNOR

Date: April 17, 1998

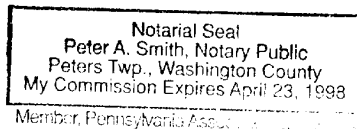


ASSIGNEE

Sworn to and subscribed this 20th day of April, 1998.



Notary Public



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Encl. A

ASSIGNMENT

WHEREAS, I the undersigned employee of Vantage One Design, Inc., a corporation of Pennsylvania having offices at 135 Technology Drive, Suite 400, Southpointe Industrial Park, Canonsburg, Pennsylvania, 15317-9549, have made inventions or improvements entitled:

DYNAMIC CROWN CONTROL BACK-UP ROLL ASSEMBLY

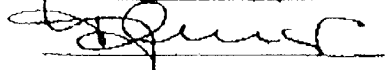
and have this 15th day of December 1997, executed an application for United States Letters Patent for said inventions or improvements;

NOW, THEREFORE, in consideration of one dollar and other good and valuable consideration and intending to be legally bound, I hereby assign and transfer unto said Vantage One Design, Inc. the entire right, title and interest in and to said application and inventions or improvements for the United States and all foreign countries, together with all divisions, continuations and reissues of said application, with the right to file applications for and to obtain patents and/or other protection for said inventions or improvements in all countries foreign to the United States and to claim for such foreign applications the benefit of the International Convention.

Executed this 15th day of December, 1997.

(Inventor)

Herbert Lemper



ACKNOWLEDGMENT

Commonwealth of Pennsylvania }

SS.

County of Washington }

Personally appeared before me this 15th day of December, 1997

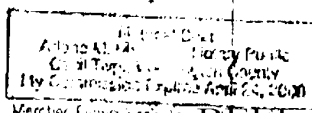
Herbert Lemper

to me known and known to me to be the person who executed the

foregoing instrument and acknowledged that Herbert Lemper

executed and delivered the same for the purposes therein stated

Arline M. Martorelli
Notary Public



PATENT

REEL: 9313 FRAME: 0580

Encl. 2

Declaration for Utility Patent Application
submitted with initial filing

Attorney Docket Reference: DCC
First Named Inventor: Lemper

As the below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Dynamic Crown Control Back-Up Roll Assembly
the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37CFR1.56.

I hereby claim the benefit under 35USC119(e) of the provisional application listed below.

Application Number
60/044,233

Filing Date
April 24, 1997

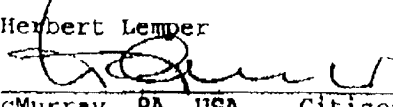
I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

William L. Kraye (Reg'n No. 19542)
241 Merion Drive
Pittsburgh PA 15228
(412) 343 3305 or (412) 281 4142
fax (412) 343 5517

Address all correspondence and all telephone calls to William L. Kraye at the above address or phone numbers.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Inventor: Herbert Lemper

Inventor's signature: 
Residence (city): McMurray, PA, USA Citizen: USA
Post Office Address: 130 Canterbury Road
McMurray PA 15317 USA

Date 12/15/97

Annex 2

Annex 2 contains

- 1. sketches**
- 2. layouts**
- 3. drawings with descriptions**
- 4. some bearing calculations**

The documents under 1.) to 3.) were already submitted by the Seller to the Buyer during the meeting in Pittsburgh at 18/2/1998. The documents under 4.) will be submitted in connection with undersignment of the agreement hereto.

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