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R SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Y

To the Honorable Commissioner of

1. Name of conveying party(ies):

Johanna Claire Morey, James Stuart McKenzie and

Matthew Peter Shaw

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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d original documents or copy thereof.

less of receiving party(ies):

Name: Bookham Technology Ltd.

Internal Address: \_\_\_\_\_

JES42 U.S. PTO

09/11/98

07/08/98

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: June 15, 1998Street Address: 90 Milton ParkCity: Abingdon, Oxfordshire State: United Kingdom ZIP: OX14 4RYAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 7, 1998

A. Patent Application No.(s)

Not Yet Assigned

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jon L. Roberts, Esq.

Internal Address: \_\_\_\_\_

Street Address: Roberts & Brownell, LLC8381 Old Courthouse Road, Suite 212City: Vienna State: VA ZIP: 221826. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

18/1579

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jon L. Roberts, Esq.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 2

Docket No.: \_\_\_\_\_

## ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) <u>Joanna Claire Morey</u>	(2) <u>Matthew Peter Shaw</u>
(3) <u>James Stuart McKenzie</u>	(4) _____
(5) _____	(6) _____

who have made a certain new and useful invention, hereby sell, assign and transfer unto

BOOKHAM TECHNOLOGY LIMITED of 90 MILTON PARK, ABINGDON, OXON OX14 4RY, UNITED KINGDOM

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

Integrated Optical Polariser

- (a) for which an application for United States Letters Patent was filed on 7/7/98 and identified by United States Serial No. not yet assigned; or
- (b) for which an application for United States Letters Patent was executed on \_\_\_\_\_.

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures

INVENTORS

DATE SIGNED

1) <u>J C Morey</u> Name: _____	_____
2) <u>[Signature]</u> Name: _____	_____
3) <u>[Signature]</u> Name: _____	_____
4) _____ Name: _____	_____
5) _____ Name: _____	_____
6) _____ Name: _____	_____

_____	15/6/98
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PATENT

RECORDED: 07/08/1998

REEL: 9315 FRAME: 0838