

MCD 7-1

07-22-1998

Docket No. 02 46109

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Martin Schäfer, Arthur Höhn and Ferdinand Lippert

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **August 14, 1996**

2. Name and address of receiving party(ies):

Name: **BASF Aktiengesellschaft**

Address: **Patentabteilung ZDX-C6**

Carl Bosch Strasse

City: **Ludwigshafen**

State/Prov.: _____

Country: **Germany**

ZIP: **67056**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

08/699,377

August 23, 1996

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John H. Shurtleff**

Registration No. **140 S. Dearborn Street**

Address: **Suite 411**

City: **Chicago**

State/Prov.: **IL**

Country: **USA**

ZIP: **60603-5202**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number

192160

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and my attached copy is a true copy of the original document.

John H. Shurtleff, Reg. No. 18,429

Name of Person Signing

Signature

July 9, 1998

Date

Total number of pages including cover sheet, attachments, and

PATENT

1

0412

Assignment of Application

O. Z. 0050/46109

WHEREAS, I (WE)

Martin Schäfer, Luitpoldstr. 65, 67063 Ludwigshafen
Arthur Höhn, Oberer Waldweg 17, 67281 Kirchheim
Ferdinand Lippert, Wellsring 16, 67098 Bad Dürkheim
Federal Republic of Germany
citizen(s) of the Federal Republic of Germany

respectively, have invented certain new and useful improvements in

Process for preparing carboxylic acids by cabonylation of olefins

for which an application for Letters Patent was executed on August 14, 1996

(Application No. 08/697,377, filed August 23, 1996), and

WHEREAS, *BASF Aktiengesellschaft* (hereinafter referred to as "ASSIGNEE"), having a place of business at 67056 Ludwigshafen, Federal Republic of Germany, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEE, or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries

The undersigned hereby grant(s) the firm of **John H. Shurtleff**, 140, S. Dearborn St., Suite 411, Chicago Ill. 60603 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

August 14, 1996
 Date:

Martin Schäfer
 Martin Schäfer

August 14, 1996
 Date:

Arthur Höhn
 Arthur Höhn

August 14, 1996
 Date:

Ferdinand Lippert
 Ferdinand Lippert