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Set No.: Judkins 8-29-5

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Justin Boyd Judkins
Ashish Madhukar Vengsarkar
Jefferson Lynn Wagener

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 600-700 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974

Execution Date: May 28, 29, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

~~06/25/1998, FC:581, 00000043 122325 09053236~~
A. Patent Application No.(s)

~~03 FC:105 130.00 CH~~

B. Patent No.(s)

~~06/25/1998, FC:581, 00000043 122325 09053236~~

~~04 FC:581 40.00 CH~~

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glen E. Books, Esq.

Internal Address: Mathews, Collins, Shepherd & Gould

Street Address: 100 Thanet Circle

Suite 306

City: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glen E. Books, Esq.

Glen E. Books

June 10, 1998

Name of Person Signing

Signature

PATENT 5

Date

Total number of pages including cover sheet, attachments and document: 837

ASSIGNMENT AND AGREEMENT

For value received, we, Justin Boyd Judkins, of Scotch Plains in the County of Union and State of New Jersey, Ashish Madhukar Vengsarkar, of Berkeley Heights in the County of Union and State of New Jersey, and Jefferson Lynn Wagener, of Charlottesville in the County of Albemarle and State of Virginia, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Broadband Long-Period Gratings** described in an application for Letters Patent of the United States, Serial No. 09/053236, filed April 1, 1998, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

J.B. Judkins 8-29-5

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Justin Boyd Judkins
Justin Boyd Judkins

Date: 5-29-98

United States of America)

State of New Jersey) ss.:

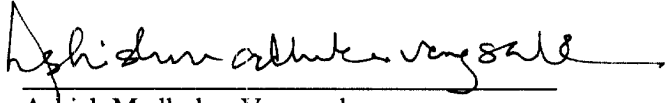
County of Union)

On this 29th day of May, 1998, before me personally came Justin Boyd Judkins, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/18/2000


J.B. Judkins 8-29-5


Ashish Madhukar Vengsarkar

Date: May 28, 1998

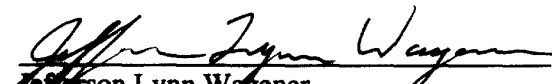
United States of America)
State of New Jersey) ss.:
County of Union)

On this 28th day of May, 1998, before me personally came Ashish Madhukar Vengsarkar, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

NANCY C. MARCOVICI
NOTARY PUBLIC OF NEW JERSEY
Registered in Union County
My Commission Expires July 16, 2001

J.B. Judkins 8-29-5


Jefferson Lynn Wagener

Date: 5-28-'98

United States of America)
State of New Jersey) ss.:
County of Union)

On this 28th day of May, 1998, before me personally came Jefferson Lynn Wagener, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

NANCY C. MARCOVICI
NOTARY PUBLIC OF NEW JERSEY
Registered in Union County
My Commission Expires July 16, 2001

Lucent Technologies Inc.
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