

07-23-1998

1 SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner



100771358

attached original documents or copy thereof.

1. Name of conveying party(ies):

William E. Dobson
205 Heather Street, Box 4
Boone, North Carolina 28607

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Appalachian State University

Internal Address: _____

Street Address: 2nd Floor

Dougherty Administration Building

City: Boone State: NC ZIP: 28608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: July 2, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/061,556

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard E. Jenkins
Jenkins & Wilson, P.A.

Internal Address: _____

Street Address: Suite 1400 University Tower

3100 Tower Boulevard

City: DURham State: NC ZIP: 27707

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard E. Jenkins

Name of Person Signing

Richard E. Jenkins

Signature

7-6-98

Date

Total number of pages including cover sheet, attachments, and document: 10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

ASSIGNMENT

WHEREAS, I, William E. Dobson of the City of Boone, State of North Carolina, (hereinafter "Assignor"), have invented certain new and useful improvements in ISOLATION AND SCREENING OF SUBCUTICULAR BRITTLESTAR BACTERIA FOR ANTIMICROBIAL COMPOUNDS PRODUCTION for which an application for Letters Patent of the United States was filed on April 16, 1998 as Application Serial No. 09/061,556.

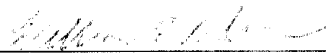
AND, WHEREAS, Appalachian State University, a non-profit organization, located at Boone, North Carolina (hereinafter "Assignee"), is desirous of acquiring an interest therein.

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration to be paid pursuant policy adopted by the Board of Governors of the University of North Carolina on June 10, 1983, and restated in The Administrative Manual of the University of North Carolina, a copy of the relevant portion of which is attached hereto as Exhibit A and incorporated herein as if set forth verbatim, the sufficiency of which consideration is hereby acknowledged, Assignor has sold and by these presents does hereby sell, assign, transfer and convey unto the said Assignee, its successors and assigns, his entire right, title and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with his right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made.

Assignor hereby requests that said Letters Patent be issued in accordance with this assignment.

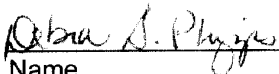
Assignor further covenants and agrees, and likewise binds his estate, legal representatives and assigns, to promptly communicate to said Assignee or its representatives any facts known to them relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors and assigns to secure patent or similar protection for the said invention in all countries and to vest in the Assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

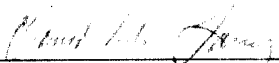
Executed this 2nd day of July, 1998.



William E. Dobson

Witnessed by:

 7-2-98
Name Date

 7-2-98
Name Date