

MRD 6-15-98

07-23-1998

FORM PTO-1595  
1-31-92U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECOF



Docket No. 233/156

100772214

Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas C. Lueken and Michael S. Neuer

Additional name(s) of conveying party(ies) attached? ☐ Yes☒ No

Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: June 3, 1998

2. Name and address of receiving party:

Name: KIM LIGHTING INC

Internal Address: Wayne W. Compton

City: City of Industry State: CA Zip: 91745

Mailing Address: P.O. Box 60080

City: City of Industry State: CA Zip: 91716-0080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 29/085,226

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Samuel B. Stone

Internal Address: LYON & LYON LLP  
633 West Fifth Street, Suite 4700  
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$ 40.00

☒ Enclosed☐ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

06/24/1998 KIM LIGHTING 00000031 29045226

03 FC:581

Samuel B. Stone, Reg No. 19,297

Date: June 15, 1998

Total number of pages including cover sheet: 5

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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ASSIGNMENT

WHEREAS, we, THOMAS CARL LUEKEN, a citizen of the UNITED STATES, residing at 20319 East Julliard Road, Walnut, California 91789 and MICHAEL SCOTT NEUER a citizen of the UNITED STATES, residing at 5026 North Garsden Avenue, Covina, California 92724 (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled LIGHTVAULT for which application for Letters Patent of the United States of America were filed on March 18, 1998, and assigned Serial No. 29/085,226, and

WHEREAS, KIM LIGHTING INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 16555 East Gale Avenue, City of Industry, California 91745 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

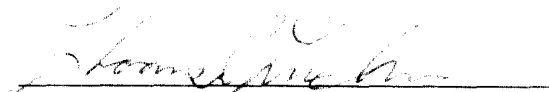
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or

reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at CITY OF INDUSTRY, California, this  
3RD day of JUNE, 1998.

  
THOMAS C. LUKEN

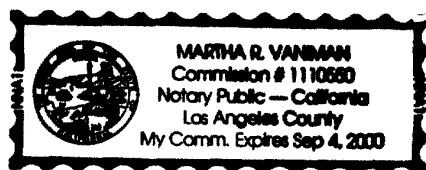
STATE OF CALIFORNIA )  
 ) ss  
COUNTY OF Los Angeles )


On June 3, 1998, before me, MARTHA R. VANIMAN, (Notary Public), personally appeared  
Thomas C. Luken

☒ personally known to me or

☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s)  
is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed  
the same in his/~~her~~/~~their~~ authorized capacity(ies), and that by his/~~her~~/~~their~~ signature(s) on the  
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the  
instrument.

WITNESS my hand and official seal.



  
Notary Public in and for  
said County and State

WITNESS my hand at City of Industry, California, this  
3rd day of June, 1998..

Michael S. Neuer  
MICHAEL S. NEUER

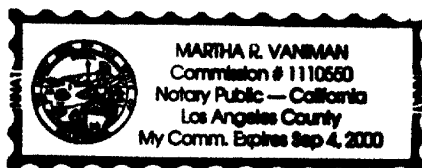
STATE OF CALIFORNIA                    )  
  ) ss  
COUNTY OF Los Angeles            )

On June 3, 1998 before me, Martha R. Vaniman, personally appeared  
Michael S. Neuer

☒ personally known to me or

☐ proved to me on the basis of satisfactory evidence to be the person~~s~~ whose name~~s~~  
is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~/~~they~~ executed  
the same in his/~~her~~/~~their~~ authorized capacity~~(ies)~~, and that by his/~~her~~/~~their~~ signature~~s~~ on the  
instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the  
instrument.

WITNESS my hand and official seal.



Martha R. Vaniman  
Notary Public in and for  
said County and State