

7-20-98

07-24-1998



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of

100775030

Shed original documents or copy thereof.

1. Name of conveying party(ies):

Zascor, Inc. and Thermacorps, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

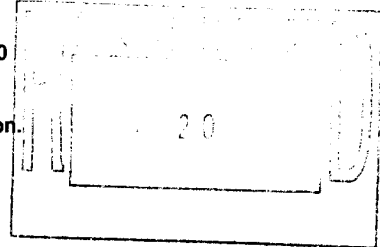
- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 16, 1998-June 18, 1998

2. Name and address of receiving party(ies):

Microvention, Inc.
66 Argonaut, Suite 160
Aliso Viejo, CA 92611

A Delaware corporation.



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s):

B. Patent No.(s): 4,873,978

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert D. Buyan
SEREBOFF & BUYAN, LLP
2600 Michelson Drive, Suite 770
Irvine, CA 92612

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40

- ☒ Enclosed (Check No. 1100)
☒ Authorized to be charged to deposit account if fee is insufficient.

8. Deposit account number: 19-1348

(Attach duplicate copy of this page if paying by deposit account.)

07/23/1998 JSHABAZZ 00000085 4873978

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40.00 DP

9. Statement and signature.

Attorney Docket No. MCRVT-004A

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan

Name of Person Signing

Signature

July 15, 1998

Date

Total number of pages including cover sheet, attachments and document: 7

BILL OF ASSIGNMENT

Names & Addresses

of Assignors: Zascor, Inc., 5100 South Steele Street, Greenwood Village, CO 80121
Thermacorps, Inc., 5100 South Steele Street, Greenwood Village, CO 80121

Name & Address of Assignee: Microvention, Inc., 66 Argonaut, Suite 160, Aliso Viejo, CA 92656

State of Incorporation of Assignee: Delaware

Title of Patent: Device and Method for Emboli Retrieval

United States Patent No. 4,873,978

Issue Date of United States Patent: October 17, 1989

1. Pursuant to an Assignment Purchase Agreement entered into in relation to the above-identified United States Patent by Thermacorps, Inc. and Microvention, Inc., and in consideration of the sum of \$1.00 and other good and valuable consideration received by both Zascor, Inc. and Thermacorps, Inc., the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified United States Patent No. 4,873,978 and throughout the world, and in and to any counterpart patent application(s) or related patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned hereby expressly agree. The undersigned agree(s) to execute such other or separate assignments in connection with the hereby assigned patent(s) and application(s) as the Assignee may deem necessary or expedient.

2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this patent or any foreign counterpart, continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any herein assigned patent application, division(s) or continuation(s) thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

7. The undersigned hereby expressly agree that pursuant to this Bill of Assignment, the above-named Assignee, its successors, heirs and assigns shall be free to work or not work, develop or not develop, promote

or not promote, and/or maintain or not maintain, the herein-assigned patent(s) and patent application(s) and/or the inventions described therein, as it in its sole discretion deems fit, without reporting or accounting to, requesting or gaining permission from, and/or paying any additional or further consideration to, either of the undersigned. Specifically, the undersigned hereby agree that the signing of this Bill of Assignment signifies and constitutes their express termination of the prior Agreement regarding the herein-assigned United States Patent No. 4,873,978 (and others) entered into between Zascor, Inc. and Thermacorps, Inc. on October 29, 1996, insofar as any covenant, term, condition or provision of that Agreement may be construed or interpreted as being binding upon, or as imposing any duty or obligation on, the above-named Assignee, its successors, heirs and assigns.

7. The undersigned hereby grant STEVEN C. SEREBOFF, Reg. No. 37,035, ROBERT D. BUYAN, Reg. No. 32,460 and RIVA W. BICKEL, Reg. No. 34,777 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 6/16/98

Date: 6/16/98

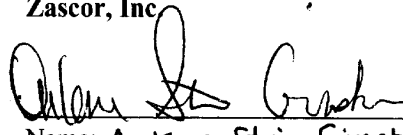
Date: 6/18/98

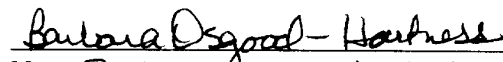
Date: 6/16/98


Date: _____

Date: _____

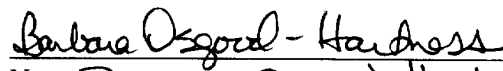
Zascor, Inc.


Name: Arlene Stein Ginsburg
Title: President


Name: Barbara Osgood-Hartness
Title: Minority Shareholder


Name: JOSEPH LIBRIZZI
Title: PRESIDENT, MISONIX INC., A SHAREHOLDER OF ZASCOR

Thermacorps, Inc.


Name: Barbara Osgood Hartness
Title: Vice President

Name:
Title:

Name:
Title:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of Colorado
~~California~~

County of Orange

On 10-16-96 before me, Joann Dobson
(Date) (Name, Title of Officer)

personally appeared Arlene Stein-Hentz-Prod.
(Name of Signer)

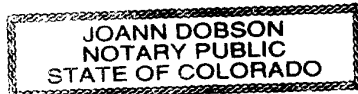
☐ personally known to me

- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

MY COMMISSION EXPIRES 01/03/2002

WITNESS my hand and official seal.



Joann Dobson

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER of Zascor, Inc.

Arlene Stein-Hentz-Prod.
(TITLE)

DESCRIPTION OF ATTACHED DOCUMENT

Assignment of Invention/Patent
TITLE OR TYPE OF DOCUMENT
Bill of Assignment

NUMBER OF PAGES DATE OF DOCUMENT

- ☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER (OTHER THAN NAMED ABOVE)

SIGNER IS REPRESENTING:

PATENT

REEL: 9328 FRAME: 0171

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of Colorado
~~California~~

County of Arapahoe

On 6-16-98 before me, Joann Dobson
(Date) (Name, Title of Officer)

personally appeared Barbara Osgood-Hartness, V.P.
(Name of Signer)

☐ personally known to me

- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

MY COMMISSION EXPIRES 01/03/2002

WITNESS my hand and official seal.



Joann Dobson

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER of
Thermacorps, Inc.

Barbara Osgood-Hartness - V.P.
(TITLE)

- ☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

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SIGNER (OTHER THAN NAMED ABOVE)

PATENT

REEL: 9328 FRAME: 0172

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of New York

County of Suffolk

On June 23, 1998 before me, Joseph Librizzi, President
(Date) (Name, Title of Officer)

personally appeared Joseph Librizzi
(Name of Signer)

☐ personally known to me
- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

CAROL CHILLO HAYAC
NOTARY PUBLIC, State of New York
No. 52-4527136, Suffolk County
Term Expires September 30, 1998

WITNESS my hand and official seal.

[Signature]

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ INDIVIDUAL
☒ CORPORATE OFFICER of Misonix,
Inc.

President
(TITLE)

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☐ PARTNERSHIP
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☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER (OTHER THAN NAMED ABOVE)

SIGNER IS REPRESENTING:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of _____

On June 18 1998 before me, Josephine Ruth Jabulin
(Date) (Name, Title of Officer)

personally appeared _____
(Name of Signer)

☐ personally known to me

- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

My Commission Expires
05/21/2002

WITNESS my hand and official seal.

Josephine Ruth Jabulin

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☐ INDIVIDUAL
☐ CORPORATE OFFICER of **Zascor, Inc.**

(TITLE)

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☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☒ OTHER:

SIGNER (OTHER THAN NAMED ABOVE)

SIGNER IS REPRESENTING:

Barbara Osgood-Hartness
MINORITY SHAREHOLDER
Barbara Osgood-Hartness

Barbara Osgood-Hartness

PATENT

RECORDED: 07/20/1998

REEL: 9328 FRAME: 0174