

07-24-1998



100784082

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joshua Makower, J. Christopher Flaherty, Timothy R. Machold, John Thomas Garibotto and Jason Brian Whitt

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Transvascular, Inc.
1505-D Adams Drive
Menlo Park, California 94025

A California corporation.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 5/8/98-5/13/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is:

A. Patent Application No.(s):

08/896,307 filed June 28, 1997

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert D. Buyan
SEREBOFF & BUYAN, LLP
2600 Michelson Drive, Suite 770
Irvine, CA 92612

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41)..... \$40

☒ Enclosed (Check No. 1089)

☐ Authorized to be charged to deposit account if fee is insufficient.

8. Deposit account number: 19 1348

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature.

Attorney Docket No. TRNSV-017A

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Robert D. Buyan

Name of Person Signing

Signature

July 6, 1998

Date

Total number of pages including cover sheet, attachments and document: 8

ASSIGNMENT

Name(s) of Inventor(s): Joshua Makower, J. Christopher Flaherty, Timothy R. Machold, John
~~Stefan~~ ~~Timothy~~ Garibotto and Jason Brian Whitt

Name of Assignee: Transvascular, Inc.

State of Incorporation of Assignee: California

Address of Assignee: 1505-D Adams Drive, Menlo Park, CA 94025

Title of Application: Transluninal Methods and Devices for Closing, Forming Attachments to, and/or
Forming Anastomotic Junctions in, Luminal Anatomical Structures

Application No.: 08/896,307


Date of Filing of Application: June 28, 1997


1. In consideration of the sum of \$1.00 and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned agree(s) to sell, assign and transfer and does (do) hereby sell, assign and transfer to the above-named Assignee, its successors and assigns, the entire and exclusive right, title and interest in and to the inventions claimed and described in the above-identified patent application in the United States and throughout the world, and in and to any applications for patent and patents which may be granted and issued thereon in any and all countries, and in and to any continuations, divisions, reissues and extensions of said application(s) and patent(s) and all international priority rights associated therewith. The undersigned agree(s) to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
2. The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
3. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
4. The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
5. The undersigned hereby represent(s) and warrant(s) that no other assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.
6. The undersigned hereby authorize and request the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenant that they have full right to convey the entire interest herein assigned, and that they have not executed any agreement in conflict herewith.

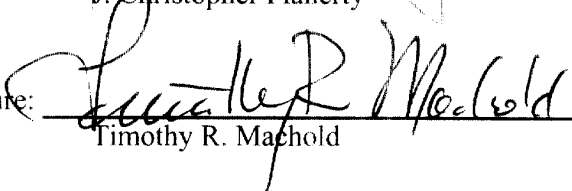
PATENT

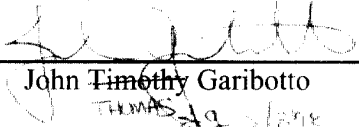
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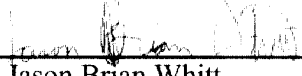
7. The undersigned hereby grant STEVEN C. SEREBOFF, Reg. No. 37,035, ROBERT D. BUYAN, Reg. No. 32,460 and RIVA W. BICKEL, Reg. No. 34,777 the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Signature:  Date: 5/8/98
Joshua Makower

Signature:  Date: 5/8/98
J. Christopher Flaherty

Signature:  Date: 5/13/98
Timothy R. Machold

Signature:  Date: 5/8/98
John Timothy Garibotto
THOMAS 5/9/98

Signature:  Date: 5/8/98
Jason Brian Whitt

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

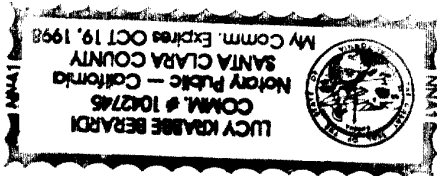
County of San Mateo

On May 28 1998 before me, LUCY KRABBE BERARDI, NOTARY PUBLIC
(Date) (Name, Title of Officer)

personally appeared Joshua Makower
(Name of Signer)

☐ personally known to me
- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Lucy Krabbe Berardi
My commission expires 10-19-98

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☐ TRUSTEE
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SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT

Assignment of Invention

TITLE OR TYPE OF DOCUMENT

2 NUMBER OF PAGES
Filed 06-28-97
Signed 05-28-98 DATE OF DOCUMENT

J. Christopher Flaherty
SIGNER (OTHER THAN NAMED ABOVE)
Timothy R. McVold
John Thomas Garipotto
Jason Brian Whitt

PATENT

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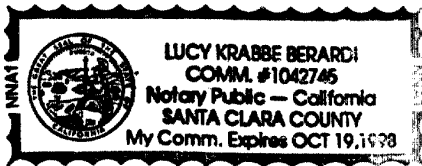
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personally appeared J. Christopher Flaherty
(Name of Signer)

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☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



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Lucy Krabbe Berardi
my commission expires 10-19-78

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(TITLE)

2 May 15 1978
NUMBER OF PAGES DATE OF DOCUMENT

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☐ TRUSTEE

☐ GUARDIAN/CONSERVATOR

☐ OTHER:

Joshua McPower
SIGNER (OTHER THAN NAMED ABOVE)

Inventor of Macfield
John Thomas Berardo
Jason Brian Smith

SIGNER IS REPRESENTING:

PATENT

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

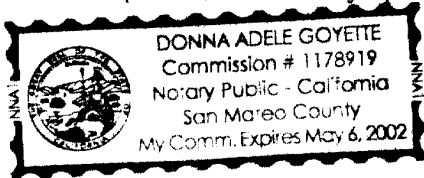
County of SAN MATEO

On MAY 28, 1998 before me, DONNA ADELE GOYETTE
(Date) (Name, Title of Officer)

personally appeared Timothy R. Machold
(Name of Signer)

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☐ ~~proved to me on the basis of satisfactory evidence to be the person~~ whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



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Donna Adele Goyette

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SIGNER (OTHER THAN NAMED ABOVE)

SIGNER IS REPRESENTING:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On May 8, 1998 before me, LUCY KRABBE BERARDI, NOTARY PUBLIC
(Date) (Name, Title of Officer)

personally appeared John Timothy Garibotto
(Name of Signer)

☐ personally known to me
- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Lucy Krabbe Berardi
My commission expires 10-17-98

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TITLE OR TYPE OF DOCUMENT

(TITLE)

2 May 18, 1998
NUMBER OF PAGES DATE OF DOCUMENT

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☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

Joshua M. Mckinnon
SIGNER (OTHER THAN NAMED ABOVE)
Christopher J. Finkler
Timothy R. Macdonald
Justin Brian Schmitt

SIGNER IS REPRESENTING:

PATENT

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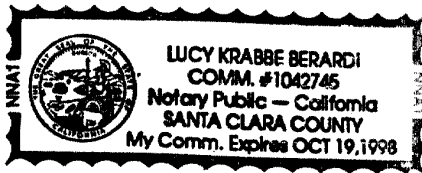
County of San Mateo

On May 8, 1998 before me, LUCY KRABBE BERARDI, NOTARY PUBLIC
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personally appeared Jason Brian Whitt
(Name of Signer)

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- OR -

☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Lucy Krabbe Berardi
(My Commission Expires 10-19-98)

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Assignment of Invention
TITLE OR TYPE OF DOCUMENT

(TITLE)

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☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

Joshua W. Kover
SIGNER (OTHER THAN NAMED ABOVE)
G. Christopher Flaherty
Timothy R. Macdonald
John Thomas Garibotto

SIGNER IS REPRESENTING:
