

PATENT

In place of Form PTO-1595

07-24-1998

DOCKET NUMBER: RP9-98-012

RECORDATION



ENTS

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof:

1. Name of conveying party(ies):

Daryl Carvis Cromer
 Brandon Jon Ellison
 Eric R. Kern
 Gregory William Kilmer
 Howard Locker
 Randall Scott Springfield
 James Peter Ward

2. Name and address of receiving party:

INTERNATIONAL BUSINESS MACHINES CORP.
 Old Orchard Road
 Armonk, New York 10504

Additional name(s) & address(es) attached?

____ Yes XXX No

3. Nature of conveyance: XXX Assignment

4. Application or patent number(s):

Date of Execution of Application: June 24, 1998, June 25, 1998, July 1, 1998 and July 6, 1998

Title: REMOTE MONITORING OF ABNORMAL CLIENT SYSTEM EVENTS

A. Patent Application No.(s): RP9-98-012

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

George E. Grosser
 INTERNATIONAL BUSINESS MACHINES CORP.
 Intellectual Property Law Dept.
 Dept. 9CCA/002-2
 P. O. Box 12195
 RTP, NC 27709-2195

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Authorized to be charged to deposit account

8. Deposit account number: 09-1990

(Duplicate copy of this sheet is attached)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George E. Grosser
 Name of Person Signing

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Date

Total number of pages including cover sheet, attachments and document:

Mail document(s) to be recorded with required cover sheet information to: 3

Assistant Commissioner for Patents
 Box Assignments
 Washington, D. C. 20231

PATENT
 REEL: 9329 FRAME: 0045

PATENT

DOCKET NUMBER: RP9-98-012

ASSIGNMENT

Whereas, we,

- | | | |
|-----|---|--|
| (1) | Daryl Carvis Cromer
County Of Wake | Of Cary,
State Of North Carolina |
| (2) | Brandon Jon Ellison
County of Wake | Of Raleigh,
State of North Carolina |
| (3) | Eric R. Kern
County of Durham | Of Durham,
State of North Carolina |
| (4) | Gregory William Kilmer
County of Wake | Of Raleigh,
State of North Carolina |
| (5) | Howard Locker
County of Wake | Of Cary,
State of North Carolina |
| (6) | Randall Scott Springfield
County of Orange | Of Chapel Hill,
State of North Carolina |
| (7) | James Peter Ward
County of Wake | Of Raleigh,
State of North Carolina |

have invented certain improvements in

REMOTE MONITORING OF ABNORMAL CLIENT SYSTEM EVENTS

and executed a United States patent application therefor on the following date(s):

- | | | | |
|-----|----------------|-----|-------------------|
| (1) | <u>6/24/98</u> | (2) | <u>6/24/98</u> |
| (3) | <u>6/25/98</u> | (4) | <u>6/24/98</u> |
| (5) | <u>6/25/98</u> | (6) | <u>7/6/98</u> and |
| (7) | <u>7/1/98</u> | | |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>RTP, NC</u>	<u>6/24/98</u>	<u>Daryl Carvis Cromer</u> Daryl Carvis Cromer
(2)	<u>RTP, NC</u>	<u>6/24/98</u>	<u>Brandon Jon Ellison</u> Brandon Jon Ellison
(3)	<u>RTP, NC</u>	<u>6/25/98</u>	<u>Eric R. Kern</u> Eric R. Kern
(4)	<u>RTP - NC</u>	<u>6/24/98</u>	<u>Gregory William Kilmer</u> Gregory William Kilmer
(5)	<u>RTP - NC</u>	<u>6/25/98</u>	<u>Howard Locker</u> Howard Locker
(6)	<u>RTP - NC</u>	<u>7/6/98</u>	<u>Randall Scott Springfield</u> Randall Scott Springfield
(7)	<u>RTP, NC</u>	<u>7/1/98</u>	<u>James Peter Ward</u> James Peter Ward