

07-27-1998

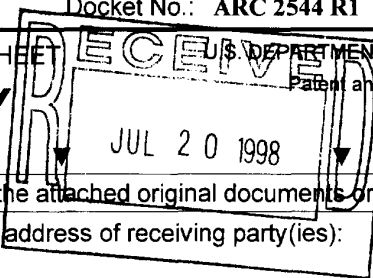


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Docket No.: ARC 2544 R1

ER SHEET

JULY



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patrick S.-L. Wong
Nathan Roth
Vincent J. Ferrari

7-20-98

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 1st, 7th, and 11th, 1998.

2. Name and address of receiving party(ies):

Name: ALZA Corporation

Internal Address: Intellectual Property Department - A1W

Street Address: 950 Page Mill Road

P.O. Box 10950

City: Palo Alto State: CA ZIP: 94303

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. (s)

08/949,753
filed
10/14/97

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. Dhuey @ ALZA Corporation

Internal Address: Intellectual Property - A1W

Street Address: 950 Page Mill Road

P.O. Box 10950

City: Palo Alto State: CA ZIP: 94303

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

01-1173

07/24/1998 DNGUYEN 00000084 011173 08949753

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John A. Dhuey, Registration No. 26,265

July 13, 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document.

3

REEL: 9334 FRAME: 0814

ASSIGNMENT
(JOINT)

WHEREAS, we, Patrick S.-L. Wong, Nathan Roth, and Vincent J. Ferrari, have made certain new and useful inventions in MULTIPLE FLOW PATH DEVICE FOR ORAL DELIVERY OF DISCRETE UNITS, set forth in an application for Letters Patent of the United States, ☐ which is a non-provisional application filed herewith; ☒ bearing Application No. 08/949,753 and filed on October 14, 1997; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

Patrick S.-L. Wong this 11 day of July 1998; and
Patrick S.-L. Wong

Nathan Roth this 15 day of July 1998; and
Nathan Roth

Vincent J. Ferrari this 7 day of July 1998, respectively.
Vincent J. Ferrari