

07-27-1998

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TO THE ASSISTANT COMMISSIONER

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

100776108

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Thomas BEISSWENGER

3. Karl-Friedrich LANDGRAF

5. Matthias RISCHER

7.

2. Gunter LABAN

4. Eberhard OESTREICH

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: ASTA Medica Aktiengesellschaft

ADDRESS: An der Pikardie 10, D-01277 Dresden, Germany

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☒ PART INTEREST☐ CHANGE OF NAME☐ VERIFIED TRANSLATION☐ SECURITY☐ MERGER☐ OTHER:

EXEC. DATE: June 8, 1998; June 8, 1998; June 8, 1998; June 8, 1998; June 12, 1998

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)
series code/serial
no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

09/074,645

252256

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS _____ = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 252256

98/04 PH/SA

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

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CLIENT REF.

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CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

10. Total number of pages including this
cover sheet, attachments and document
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2

Attorney: Kevin E. Joyce

Reg. No. 20508

Date: July 17, 1998

Atty/Sec: KEJ/rah

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FILE WITH PTO RETURN RECEIPT (PAT-103A)

PATENT

REEL: 9335 FRAME: 0032

PAT-114X 3/98

MRD 7-17-98

JUL 17 1998

07/23/1998 LHMED 0000062 0907645 40.00 04 FC581

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USA Patent Appln.
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252256 98/04PH/Sa
Att. Client Ref.

NONPROVISIONAL

ASSIGNMENT OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1) Thomas BEISSWENGER	(4) Eberhard OESTREICH
(2) Gunter LABAN	(5) Matthias RISCHER
(3) Karl-Friedrich LANDGRAF	[] x box if continued on page 2

who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

ASTA Medica Aktiengesellschaft

An der Pikardie 10

D-01277 Dresden

GERMANY

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF
INVENTION

ALPHA-LIPOIC ACID WITH NOVEL MODIFICATION

for which the undersigned executed an application for Letters Patent of the United States of America:

NOTE →→
(Complete
line A, B and/or C)

(A) ☐ even date herewith

(B) ☐ on

(C) ☒ in U.S. Appln. No. 09/074,645 filed May 8, 1998

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE →→ The undersigned hereby authorize(s) Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Sutro, of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTOR(S)	DATE SIGNED	WITNESSES
1) <u>Thomas Beisswenger</u> Name: Thomas BEISSWENGER	<u>June 8, 1998</u>	<u>Pare A.</u>
2) <u>Gunter Laban</u> Name: Gunter LABAN	<u>June 8, 1998</u>	<u>Greger</u>
3) <u>Karl-Friedrich Landgraf</u> Name: Karl-Friedrich LANDGRAF	<u>June 8, 1998</u>	<u>M. Hahn</u>
4) <u>Eberhard Oestreich</u> Name: Eberhard OESTREICH	<u>June 8, 1998</u>	<u>Greger</u>
5) <u>Matthias Rischer</u> Name: Matthias RISCHER	<u>June 12, 1998</u>	<u>Sg</u>

IF ADDITIONAL INVENTORS, check box ☐ and continue on page 2.

PATENT

PAT-114 11/97

RECORDED: 07/17/1998

REEL: 9335 FRAME: 0033