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JCS14 U.S. PTO

09/119174

07/20/98

To the Honorable Commissioner of Patents & Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies): MARVIN L. RYKEN

Additional name(s) of conveying party(ies) attached
yes/ ☒ no

3. Nature of conveyance:

☒ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☐ Other

Execution Date: July 15, 1998

2. Name & address of receiving party(ies):

Name: The United States of America as
Represented by the Secretary of the NavyInternal Address: Chief of Naval Research,
Office of Counsel, Code OCCCStreet Address: Ballston Tower One
800 N. Quincy Street

City: Arlington State: VA Zip: 22217-5660

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

09/119174

If this document is being filed together with a new application, the execution date of the application is: July 15, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Serial No

Navy Case No. 79262

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID S. KALMBAUGH
Internal Address: COMMANDER
OFFICE OF COUNSEL, 772000E
NAVAIRWARCENWPNDIV

Street Address: 521 9TH STREET

City: POINT MUGU State: CA Zip: 93042-5001

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed ☒ Authorized to be charged to deposit account

8. Deposit Account Number 04-0814

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID S. KALMBAUGH Reg No. 29234

Name of Person Signing

Signature

Date

07/15/98

CERTIFICATE OF MAILING (37 CFR §1.8a)

I hereby certify that these papers, along with any paper referred to as being attached or enclosed, are being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

By:

Martha McQueen
MARTHA McQUEEN

Date:

7-15-98

07/23/1998 SSMITH 00000120 040814 09119174

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Total number of pages including, cover sheet, attachments, and document: 2

PATENT
REEL: 9336 FRAME: 0056

ASSIGNMENT

WHEREAS, I, **MARVIN L. RYKEN** of VENTURA, CALIFORNIA, have invented certain new and useful improvements in:

POLARIZATION DIVERSITY ANTENNA

identified as Navy Case No. **79262** described in application for Letters Patent of the United States of America executed

by me on July 15, 1998; and

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

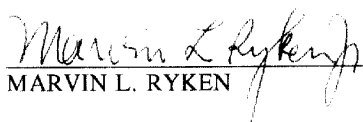
WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the premises and other good and valuable consideration the receipt of which is hereby acknowledged, I hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

I do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letter Patent or other forms of protection, without payment of any consideration; provided, however that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within six months of the filing date of any application for United States Letter Patent covering the invention, or within six months from the declassification of the invention, whichever is later, and that all foreign rights exercised under the option are left to me subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

I hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

IN TESTIMONY WHEREOF, I have set my hand and affixed my seal.


MARVIN L. RYKEN

Date 07/15/98