

MRD 7-20-98

FORM PTO-1595
1-31-92

07-29-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Re

SHEET



To the Honorable Commissioner of

100778467

attached original documents or copy thereof

1. Name of conveying party(ies):

Kishio SHIBATOH

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft

Internal Address: Anne Gerry Sabourin

Street Address: Cleverly Road 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: June 23, 1998

Additional numbers attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5245

Application No. 09/091,529

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Anne Gerry Sabourin

Internal Address: BASF Corporation

Street Address: 28701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 23-3425

(Attach duplicate copy of this page if paying by deposit account)

07/28/1998 INQUIRY 00000257 233425 09091629

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Majorie Ellis

July 27, 1998

Name of Person Signature

Signature

Date

Total number of pages comprising cover sheet:

4

PATENT
REEL: 9340 FRAME: 0138

IN-5245

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Souichi MORI
3. Yasuhiro MONMA
- 4.
- 5.
- 6.
- 7.

PATENT
REEL: 9340 FRAME: 0139

ASSIGNMENT

WHEREAS, I (WE), of Kishio SHIBATOH, and Souichi MORI, Yasuhiro MONMA residing at 6-2 Katabuki, Kanazawa-ku, Yokohama-Shi, Kanagawa, Japan; 473-103 Shimokyratacho, Totsuka-ku, Yokohama-Shi, Kanagawa, Japan; 2341-5 Kagawa, Chigasaki-Shi, Kanagawa, Japan (declarant(s)) declare that I (WE) have invented certain improvements in: THERMOSETTING PAINT COMPOSITION hereinafter the invention or inventions, described in U.S. Patent Application Serial No. 09/091,629, filed on June 19, 1998, and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer

Docket No. IN-5245

and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

PATENT
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Title: THERMOSETTING PAINT COMPOSITION

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The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

| | |
|--|--|
| Dated: <u>23 June '98</u> | Signed: <u>Kishio Shibato</u> Typed Name: Kishio SHIBATO |
| Witnessed: | Witnessed: |
| Name <u>Masato Tokuda</u> | Name <u>Masayoshi Furumachi</u> |
| Address <u>473 Shimokunitachi</u> <u>Tsukuba-ku Yokohama-shi</u> <u>Kanagawa Japan</u> | Address <u>473 Shimokunitachi</u> <u>Tsukuba-ku Yokohama-shi</u> <u>Kanagawa Japan</u> |

| | |
|--|--|
| Dated: <u>23 June '98</u> | Signed: <u>Souichi Mori</u> Typed Name: Souichi MORI |
| Witnessed: | Witnessed: |
| Name <u>Masato Tokuda</u> | Name <u>Masayoshi Furumachi</u> |
| Address <u>473 Shimokunitachi</u> <u>Tsukuba-ku Yokohama-shi</u> <u>Kanagawa Japan</u> | Address <u>473 Shimokunitachi</u> <u>Tsukuba-ku Yokohama-shi</u> <u>Kanagawa Japan</u> |

| | |
|--|--|
| Dated: <u>23 June '98</u> | Signed: <u>Yasuhiro Monma</u> Typed Name: Yasuhiro MONMA |
| Witnessed: | Witnessed: |
| Name <u>Masato Tokuda</u> | Name <u>Masayoshi Furumachi</u> |
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RECORDED: 07/20/1998

PATENT
REEL: 9340 FRAME: 0142