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To the Honorable Commission

07-30-1998



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COVER SHEET  
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Patent and Trademark Office

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1. Name of conveying party(ies):

Associated Universities, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 3/17/98

2. Name and address of receiving party(ies)

Name: Brookhaven Science Associates

Internal Address: Bldg. 460

Street Address: 40 Brookhaven Ave

City: Upton State: NY ZIP: 11973

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S. Patent No. 4,884,697  
issued 12/5/89

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Margaret C. Bogosian

Internal Address:

Street Address: Brookhaven National Laboratory

P.O. Box 5000, Bldg. 475D

City: Upton State: NY ZIP: 11973

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

02-3977

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Margaret C. Bogosian  
Name of Person Signing

Signature

Date

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Washington, D.C. 20231

PATENT

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2. Name and address of receiving party(ies)

**Name:** Brookhaven Science Associates

Internal Address: Bldg. 460

Street Address: 40 Brookhaven Ave

☐ Merger☐ **Change of Name**☐ Other \_\_\_\_\_

City: Upton

State: NY

ZIP: 11973

Execution Date: 3/17/98

Additional name(s) & address(es) attached? ☐ Yes ☐ No

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Figure 1

Name: Margaret C. Bogosian

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02-3977

City: Upton

State: NY

ZIP: 11973

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9. Statement and signature.

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Name of Person Signing

Signature \_\_\_\_\_

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ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

JUNE 10, 1998

PTAS

BROOKHAVEN NATIONAL LABORATORY  
MARGARET C. BOGOSIAN  
P.O. BOX 5000  
BLDG. 475D  
UPTON, NY 11973



\*100689964A\*

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DOCUMENT ID NO.: 100689964

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JACQUELINE MOORE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. 4,884,697

Issue Date: December 5, 1989

Assistant Commissioner for Patents  
Washington, D.C. 20231

ASSIGNMENT OF INVENTION

WHEREAS, on May 2, 1997, the U.S. Department of Energy terminated its contract with Associated Universities, Inc. for the management and operation of Brookhaven National Laboratory, effective March 1, 1998, and

WHEREAS, on July 18, 1997, DOE issued Request for Proposal No. DE-RP02-97CII10886 for the selection of a new contractor for Brookhaven National Laboratory, and

WHEREAS, on November 24, 1997, DOE selected Brookhaven Science Associates, LLC, a non-profit company organized under the laws of the State of Delaware, to assume management and operation of Brookhaven National Laboratory, and on January 5, 1998, DOE and BSA signed an operating contract effective March 1, 1998,

THEREFOR: In consideration of the above discussed transfer of the management and operating contract for Brookhaven National Laboratory from Associated Universities, Inc. to Brookhaven Science Associates,

**ASSIGNOR:**

**Associated Universities, Inc.**  
1400 16th Street, N.W.  
Suite 730  
Washington, DC 20036

hereby assigns and transfers to

**ASSIGNEE:**

**Brookhaven Science Associates**  
Bldg. 460  
40 Brookhaven Ave  
Upton, New York 11973

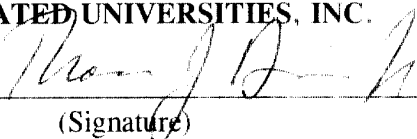
and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest in and to any and all inventions which are disclosed and claimed in the above-referenced U.S. Patent.

The original assignment from the inventor(s) to Associated Universities, Inc. was recorded on 10/13/92 at Reel 6359 , Frame 0259 .

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to maintain and enforce said Patent which may be necessary or desirable to carry out the purposes thereof.

**ASSOCIATED UNIVERSITIES, INC.**

  
(Signature)

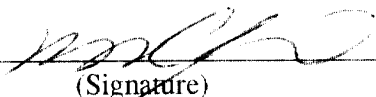
Thomas J. Davin, Jr.  
(Name)

Vice President - Corporate Affairs and General Counsel  
(Title)

3-17-98  
(Date)

Approved and consented to this 10<sup>th</sup> day of March , 1998.

**BROOKHAVEN SCIENCE ASSOCIATES**

By   
(Signature)

Margaret C. Bogosian  
Its Patent Counsel