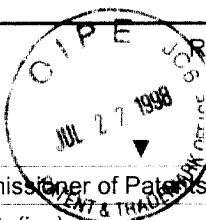


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Docket No.: **Dormer 4-1-2**

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



08-03-1998



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Dormer, James F.
Osenbach, John William
Ecker, Marilyn Markey**

MRD 7-22-98

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **Lucent Technologies, Inc.**

Internal Address:

Street Address: **600-700 Mountain Avenue**

City: **Murray Hill** State: **NJ** ZIP: **07974**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: **July 10, July 16 and May 8, 1998**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/008,640

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Glen E. Books, Esq.**

Internal Address: **Mathews, Collins, Shepherd &
Gould, P.A.**

Street Address: **100 Thanet Circle, Suite 306**

City: **Princeton** State: **NJ** ZIP: **08540**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ **40.00**

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Glen E. Books, Esq.

Name of Person Signing

Signature

PATENT

Date

July 20, 1998

Total number of pages including cover sheet, attachments, and document:

5

0535

ASSIGNMENT AND AGREEMENT

For value received, we, James F. Dormer, of Limekiln in the County of Berks and State of Pennsylvania, John William Osenbach, of Kutztown in the County of Berks and State of New Jersey, and Marilyn Markey Ecker, of Shillington in the County of Berks and State of New Jersey hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636 U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to METHOD FOR IMPROVED METAL OXIDE BONDING OF OPTICAL ELEMENTS described in an application for Letters Patent of the United States, which application is being executed by me on the date indicated below, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.


We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

Dormer 4-1-23

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


James F. Dormer


Date: 7/10/98

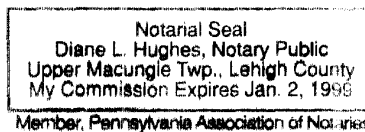
United States of America)

State of Pennsylvania) ss.:

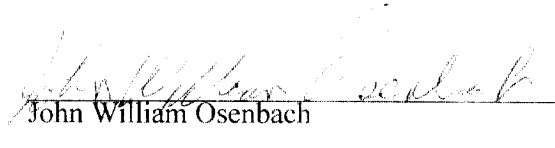
County of Lehigh)

On this 10th day of July, 19 98, before me personally came JAMES F. DORMER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Dormer 4-1-23


John William Osenbach

Date: 7/16/98

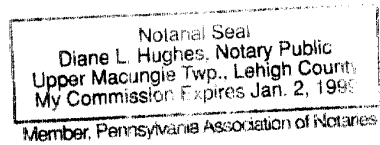
United States of America)

State of Pennsylvania) ss.:


County of Lehigh)

On this 16th day of July, 19 98, before me personally came John William Osenbach, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Dormer 4-1-23


Marilyn Markey Ecker


Date: 5-8-98

United States of America)

State of PENNSYLVANIA) ss.:

County of BERKS)

On this 8th day of MAY, 1998, before me personally came MARILYN MARKEY ECKER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P.O. Box 636
Murray Hill, New Jersey 07974-0636

