OIPE	FORM PTO-1595 08-04-1998	PARTMENT OF COMMERCE ((Rev. 6-93) Patent and Trademark Office	
JUL 2 7 1998		VER SHEET	
	100783495 To the Honorable Commissioner of Patents and	Trademarks: Please record the Attached original	
THE MADEMARY OF	documents o	r copy thereof.	
	1. Name of conveying party(ies): David B, Jeppson; James V. Peske, Timothy J.	2. Name and address of receiving party(ies)	
	Everett; Timothy R. Glassburn; Alex G. Tang;		
i	Richard M. Ehrlich; Thanh Q. Nguyen; XiaoQiang		
1	Yang; Kieu-Lien T. Dang; Gary W. Calfee; Annie M. Le: Bruan Mae: Anthony P. Hustade: Lylo	Name: Quantum Corporation	
	M. Le; Bryan Mee; Anthony R. Hurtado; Lyle Adams; Hung Vo; Melin A. Sujo	Internal Address: Patent Department Mail Stop 22132	
ĺ		500 McCarthy Blvd.	
	Additional name(s) of conveying party(ies)? No	Milpitas, CA 95035	
	3. Nature of conveyance: <u>X</u> Assignment Merge		
	Security Agreement Change of Name		
		Additional name(s) & Address(es) attached? No	
	Execution Date: Jeppson, Glassburn, Nguyen, Yang, Calfee, Le - 5/13/98; Everett, Dang, Tang -		
	5/14/98; Peske, Vo - $5/15/98$; Mee - $5/19/98$;		
	Adams - 5/21/98; Sujo - 5/22/98; Ehrlich		
i	7/13/98; Hurtado - 7/23/98 4. Application number(s) or patent number(s)		
	4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is:		
	A. Patent Application No.(s) 08/928,214	B. Patent No.(s)	
		umbers attached? Yes X No	
i	5. Name and address of party to whom correspondence concerning document should be	6. Total number of applications involved: <u>1</u>	
	mailed:	7. Total fee (37 CFR 3.41)\$_40.00	
	Name: John C. Chen	Enclosed	
	Internal Address: Patent Law Manager	_XAuthorized to be charged to deposit	
	Mail Stop 22130 QUANTUM CORPORATION 500 McCarthy Blvd.	account 8. Deposit account number: <u>50-0283</u>	
	Milpitas, CA 95035	(Attach duplicate copy of this page if paying by deposit account)	
	9. Statement and Signature. To the best of my knowledge and belief, the information is true and correct and any attached copy a true copy of the original document.		
	Labor C. Charles	7/22/02	
	John C. Chen Name of Person Signing (Reg. 39136)	7/23/98 nature Date	
	Mail Document to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231		

07/29/1998 LINEED 00000066 500283 08928214

PATENT ASSIGNMENT

WHEREAS We, DAVID B. JEPPSON, a citizen of the United States of America, residing at 4275 Stanford Way, Livermore, California, 94550; JAMES V. PESKE, a citizen of the United States of America, residing at 5235 Vera Lane, Apt. J205, San Jose, California, 95111; TIMOTHY J. EVERETT, a citizen of the United States of America, residing at 21525 La Salle Drive, Los Gatos, California, 95033; TIMOTHY R. GLASSBURN, a citizen of the United States of America, residing at 469 Patricia Court, Milpitas, California, 95035; ALEX G. TANG, a citizen of the United States of America, residing at 1674 Lederer Circle, San Jose, California, 95131; RICHARD M. EHRLICH, a citizen of the United States of America, residing at, 12092 Marilla Drive, Saratoga, California, 95070, THANH Q. NGUYEN, a citizen of the United States of America, residing at 43719 Greenhills Way, Fremont, California, 94539; XIAOQIANG YANG, a citizen of the People's Republic of China, residing at 48956 Pagosa Court, Fremont, California, 94539; KIEU-LIEN T. DANG, a citizen of the United States of America, residing at 18884 Sonnet Lane, Hayward, California, 94541; GARY W. CALFEE, a citizen of the United States of America, residing at 1728 Columbus Place, Santa Clara, California, 95051; ANNIE M. LE, a citizen of the United States of America, residing at 2418 Glen Duff Way, San Jose, California, 95148; BRYAN MEE, a citizen of the United States of America, residing at 2868 Mayglen Way, San Jose, California, 95133; ANTHONY R. HURTADO, a citizen of the United States of America, residing at, 6337 Wisteria Way, San Jose, California, 95129: LYLE ADAMS, a citizen of the United States of America, residing at 1602 Flower Garden Lane, San Jose, California, 95124; HUNG VO, a citizen of the United States of America, residing at 2086 Paseo Del Oro, San Jose, California, 95124; and Melin A. Sujo, a citizen of the United States of America, residing at 4284 Crescendo Avenue, San Jose, California, 95136, have invented improvements in a certain new and useful "ADAPTIVELY-CONTROLLED DISK DRIVE ASSEMBLY", for which we have filed on SEPTEMBER 12, 1997, serial number, 08/928,214 for Letters Patent to the United States Patent and Trademark Office; and

WHEREAS, QUANTUM CORPORATION, a corporation existing under the laws of the State of Delaware and doing business at 500 McCarthy

Blvd., Milpitas, California 95035, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said patent application,

NOW THEREFORE. in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We, DAVID B. JEPPSON, JAMES V. PESKE, TIMOTHY J. EVERETT, TIMOTHY R. GLASSBURN, ALEX G. TANG. RICHARD M. EHRLICH, THANH Q. NGUYEN, XIAOQIANG YANG, KIEU-LIEN T. DANG, GARY W. CALFEE, ANNIE M. LE, BRYAN MEE, ANTHONY R. HURTADO, LYLE ADAMS, HUNG VO, and MELIN A. SUJO, have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer and set over unto the said QUANTUM CORPORATION, its successors, legal representatives and assigns our entire right, title and interest in, to and under the said invention and the said application for Letters Patent executed on SEPTEMBER 12, 1997, serial number 08/928,214, a copy of which as filed in the United States Patent and Trademark Office is contained in a file marked "Attorney Docket No. Q97-1086-US1" in the Corporate Patent Department of the said Quantum Corporation, and all divisions, continuations and continuations-inpart thereof, and all Letters Patent of the United States which may be granted thereon and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and to all extensions, renewals and reissues thereof, and to all reexamination certificates issued thereon, and the right to claim priority under the International Convention for the Protection of Industrial Property; and, We hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, in accordance with this instrument.

AND We hereby covenant that We have the full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND We hereby further covenant and agree that We will communicate to the said QUANTUM CORPORATION, its successors, legal

representatives and assigns, any facts known to us respecting said invention and said applications, and testify in any legal proceedings, execute all lawful papers, make all rightful oaths and generally do everything possible to aid the said QUANTUM CORPORATION, its successors, legal representatives and assigns to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, We have hereunto set our hands on the date set out adjacent to our names hereinbelow.

Date: $\leq -73 - 98^{\circ}$ DAVID BUEPSON Jamis Malle Date: 5/15/98 JAMES V. PESKE Time (). South TIMOTHY J. EVERETT Date: 5/14/98 Date: 5-13-78 TIMOTHY R. GLASSBURN ALEX G. TANG Date: 5-14-98 Date: 7-13-98 RICHARD M. EHRLICH Date: 5-13-98 THANH O. NGUYEN Date: 5-13-94 XIAOOIANG YANG Date: 5/14/98 **KIEU-LIEN T. DANG** 3

PATENT REEL: 9351 FRAME: 0282

Q97-1086-US1

Date: 5/13/98

Date: 0.13.4%

Date: 5-19-98

Date: 7/2 3/98

Date: startist

Date:

Date: 5/22/98

CA AR'

<u>ANNIE M. LE</u>

Tulling & Amiles ANTHONY R. HURTADO

LYLE ADAMS

HUNG VO

<u>lllelig Syn</u> MELIN A. SUJO

On this 13th day of <u>Morg</u> 1998, before me, <u>Circuit CHC</u> (UNRACE, the undersigned Notary Public, personally appeared Annue and $\frac{1}{\ell}$, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this 130 day of Mary 1998, before me, Charlette Webster, the undersigned Notary Public, personally appeared Timetny Glassmann, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Charlette Welystern Notary Public

On this 13¹²day of <u>Mary</u> 1998, before me<u>, CMariatte</u> <u>Webstar</u>, the undersigned Notary Public, personally appeared <u>TMank G</u> Nangersonally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this Body of Man 1998, before me, Charlette Webser, the undersigned Notary Public, personally appeared Xiacquare <u>Mana</u>, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Charlette Mélizette Notary Public

On this 13th day of Mber 1998, before me, Chon Lotte WebSice, the undersigned Notary Public, personally appeared David $\underline{freedown}$, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



MULCHI WEINTLL Notary Public

On this 13 Dday of MDry 1998, before me, Charlotte $\mathcal{A} + \mathcal{A} = \mathcal{A}$, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this 14th day of 1100 1998, before me, 1 has little W205468, the undersigned Notary Public, personally appeared TIMODAL CVULLE, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this 14th day of May 1998, before me, <u>Charlette</u> Webster, the undersigned Notary Public, personally appeared <u>Alex 6</u> Tanay, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this 14th day of Marx 1998, before me, CHARIEHC WEVENCY, the undersigned Notary Public, personally appeared Kien-lien 1. Down , being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upor behalf of which the person acted, executed the instrument. Witness my hand and seal.



Moul (16 1146) St.

On this 15th day of Man 1998, before me, Charlotte t, the undersigned Notary Public, personally appeared <u>James V.</u> Medice, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Charlotte Webster Notary Public

On this 19th day of May 1998, before me, Churlotte WEDSW, the undersigned Notary Public, personally appeared Bryan *Mee*, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Marltt Web Str. Notary Public

On this <u>21</u> day of <u>May</u> 1998, before me, <u>Charlotte</u> Webster, the undersigned Notary Public, personally appeared Lyle Adams, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this 22^m day of Nov 1998, before me, Charland Weberter, the undersigned Notary Public, personally appeared Metrin *Strip*, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Challette Webselle Notary Public

On this 200 day of 1014 1998, before me, 1021 10 the Webger, the undersigned Notary Public, personally appeared Rectance Ehvlich, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public

On this <u>#3</u> day of <u>Jhly</u> 1998, before me, <u>Charlotte</u> <u>Webstw</u>, the undersigned Notary Public, personally appeared <u>Avithony</u> Huv tado, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Charlette Milba-Notary Public

	FORM PTO-1595	U.S. DEPARTMENT OF COMMERCE ((Rev. 6-93)		
AIR	Patent and Trademark Office RECORDATION FORM COVER SHEET			
1				
'{₩ 2 7 1939	PATENTS ONLY			
	To the Honorable Commissioner of Patents and	To the Honorable Commissioner of Patents and Trademarks: Please record the Attached original		
Veren and		copy thereof. 2. Name and address of receiving party(ies)		
RADEMARY	1. Name of conveying party(ies): David B. Jeppson; James V. Peske; Timothy J.	2. Name and address of receiving party(les)		
	Everett; Timothy R. Glassburn; Alex G. Tang,			
	Richard M. Ehrlich; Thanh Q. Nguyen; XiaoQiang			
	Yang; Kieu-Lien T. Dang; Gary W. Calfee; Annie	Name: Quantum Corporation		
	M. Le; Bryan Mee; Anthony R. Hurtado; Lyle	Internal Address: Patent Department Mail Stop 22132		
	Adams; Hung Vo; Melin A. Sujo	500 McCarthy Blvd.		
	Additional name(s) of conveying party(ies)? No	Milpitas, CA 95035		
	3. Nature of conveyance:			
	X Assignment Merge			
	Security Agreement Change of Name	Additional name(s) & Address(es) attached? No		
	Execution Date: Jeppson, Glassburn, Nguyen,	nutritional nume(s) & nutress(es) utalened. No		
	Yang, Calfee, Le - 5/13/98; Everett, Dang, Tang -			
	5/14/98; Peske, Vo - 5/15/98; Mee - 5/19/98;			
	Adams - 5/21/98; Sujo - 5/22/98; Ehrlich			
	7/13/98; Hurtado - 7/23/98 4. Application number(s) or patent number(s)			
	If this document is being filed together with a new a	application, the execution date of the		
	application is:			
	A. Patent Application No.(s)	B. Patent No.(s)		
	08/928,214 Additional numbers attached? YesX_ No			
	5. Name and address of party to whom	6. Total number of applications involved: <u>1</u>		
	correspondence concerning document should be	7 (T) (-1 ()- (27 ()TD 2 41) (- 40.00)		
	mailed	7. Total fee (37 CFR 3.41)\$_40.00		
	Name: John C. Chen	Enclosed		
	Internal Address: Patent Law Manager	XAuthorized to be charged to deposit		
	Mail Stop 22130	account		
	QUANTUM CORPORATION	8. Deposit account number:50-0283		
	500 McCarthy Blvd. Milpitas, CA 95035	(Attach duplicate copy of this page if paying by		
		deposit account)		
	DO NOT USE THIS SPACE			
	9. Statement and Signature.			
		ormation is true and correct and any attached copy is		
	a true copy of the original document.			
	John C. Chen	7/23/98		
		pature Date		
	(Reg. 39136)			
	Mail Document to be recorded with required cover sheet information to:			
	Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231			

PATENT ASSIGNMENT

WHEREAS We, DAVID B. JEPPSON, a citizen of the United States of America, residing at 4275 Stanford Way, Livermore, California, 94550; JAMES V. PESKE, a citizen of the United States of America, residing at 5235 Vera Lane, Apt. J205, San Jose, California, 95111; TIMOTHY J. EVERETT, a citizen of the United States of America, residing at 21525 La Salle Drive, Los Gatos, California, 95033; TIMOTHY R. GLASSBURN, a citizen of the United States of America, residing at 469 Patricia Court, Milpitas, California, 95035; ALEX G. TANG, a citizen of the United States of America, residing at 1674 Lederer Circle, San Jose, California, 95131; RICHARD M. EHRLICH, a citizen of the United States of America, residing at, 12092 Marilla Drive, Saratoga, California, 95070, THANH Q. NGUYEN, a citizen of the United States of America, residing at 42719 Greenhills Way, Fremont, California, 94539; XIAOQIANG YANG, a citizen of the Republic of China, residing at 48956 Pagosa Court, Fremont, California, 94539; KIEU-LIEN T. DANG, a citizen of the United States of America, residing at 18884 Sonnet Lane, Hayward, California, 94541; GARY W. CALFEE. a citizen of the United States of America, residing at 1728 Columbus Place, Santa Clara, California, 95051; ANNIE M. LE, a citizen of the United States of America, residing at 2418 Glen Duff Way, San Jose, California, 95148; BRYAN MEE, a citizen of the United States of America, residing at 2868 Mayglen Way, San Jose, California, 95133; ANTHONY R. HURTADO, a citizen of the United States of America, residing at, 6337 Wisteria Way, San Jose, California, 95129; LYLE ADAMS, a citizen of the United States of America, residing at 1602 Flower Garden Lane, San Jose, California, 95124; HUNG VO, a citizen of the United States of America, residing at 2086 Paseo Del Oro, San Jose, California, 95124; and Melin A. Sujo, a citizen of the United States of America, residing at 4284 Crescendo Avenue, San Jose, California, 95136, have invented improvements in a certain new and useful "ADAPTIVELY-CONTROLLED DISK DRIVE ASSEMBLY", for which we have filed on SEPTEMBER 12, 1997, serial number, 08/928,214 for Letters Patent to the United States Patent and Trademark Office; and

WHEREAS, QUANTUM CORPORATION, a corporation existing under the laws of the State of Delaware and doing business at 500 McCarthy

Blvd., Milpitas, California 95035, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said patent application,

NOW THEREFORE. in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged. We, DAVID B. JEPPSON, JAMES V. PESKE. TIMOTHY J. EVERETT, TIMOTHY R. GLASSBURN, ALEX G. TANG, RICHARD M. EHRLICH, THANH Q. NGUYEN, XIAOQIANG YANG, KIEU-LIEN T. DANG, GARY W. CALFEE, ANNIE M. LE, BRYAN MEE, ANTHONY R. HURTADO, LYLE ADAMS, HUNG VO, and MELIN A. SUJO, have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer and set over unto the said QUANTUM CORPORATION, its successors, legal representatives and assigns our entire right, title and interest in. to and under the said invention and the said application for Letters Patent executed on SEPTEMBER 12, 1997, serial number 08/928,214, a copy of which as filed in the United States Patent and Trademark Office is contained in a file marked "Attorney Docket No. Q97-1086-US1" in the Corporate Patent Department of the said Quantum Corporation, and all divisions, continuations and continuations-inpart thereof, and all Letters Patent of the United States which may be granted thereon and all applications for Letters Patent which may be granted for said invention in any country or countries foreign to the United States of America, and to all extensions, renewals and reissues thereof, and to all reexamination certificates issued thereon, and the right to claim priority under the International Convention for the Protection of Industrial Property; and. We hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said QUANTUM CORPORATION, its successors, legal representatives and assigns, in accordance with this instrument.

AND We hereby covenant that We have the full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND We hereby further covenant and agree that We will communicate to the said QUANTUM CORPORATION, its successors, legal

Q97-1086-US1

representatives and assigns, any facts known to us respecting said invention and said applications, and testify in any legal proceedings, execute all lawful papers, make all rightful oaths and generally do everything possible to aid the said QUANTUM CORPORATION, its successors, legal representatives and assigns to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, We have hereunto set our hands on the date set out adjacent to our names hereinbelow.

Date:	
	DAVID B. JEPPSON
Date:	JAMES V. PESKE
Date:	TIMOTHY J. EVERETT
Date:	TIMOTHY R. GLASSBURN
Date:	ALEX G. TANG
Date:	RICHARD M. EHRLICH
Date:	THANH Q. NGUYEN
Date:	XIAOQIANG YANG
Date:	KIEU-LIEN T. DANG
	³ PATENT

REEL: 9351 FRAME: 0302

Q97-1086-US1

Date:	GARY W. CALFEE
Date:	ANNIE M. LE
Date:	BRYAN MEE
Date:	ANTHONY R. HURTADO
Date:	LYLE ADAMS
Date: 5-15-98	HUNG VO

Date:

MELIN A. SUJO

On this 15 day of May 1998, before me, Thian T. Ha , the undersigned Notary Public, personally appeared HUNG VO ______, being personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to within the instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or entity upon behalf of which the person acted, executed the instrument. Witness my hand and seal.



Notary Public