

md
8498
RECO

08-06-1998



EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ON.B No. 0651-0011 (exp. 4/94)

38731.830007.001

100786394

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Craig FARRELL and Gary William PACE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: ResMed Limited,
an Australian company

Internal Address _____

Street Address: 82 Waterloo Road

City: North Ryde State: New South Wales 2113

Country: AUSTRALIA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: June 2, 1998, and June 19, 1998,
respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 09/079,848

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed

Name: Andrew D. Sorensen, Esq.

Internal Address: Holland & Hart LLP

P.O. Box 8749

Denver, CO 80201-8749

Street Address: 555 17th Street, Suite 3200

City: Denver State: CO ZIP: 80202

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

08/04/1998 THUOKINE 00000117 00079010

01 FC:581

40.00 UP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Andrew D. Sorensen

Name of Person Signing

[Signature]
Signature

July 31, 1998

Date

Total number of pages including cover sheet, attachments and document: [3]

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231 PATENT

REEL: 9356 FRAME: 0676

A S S I G N M E N T

WHEREAS, we, Peter Craig FARRELL and Gary William PACE, whose post office addresses are shown below, have made a certain new and useful invention relating to NASAL VENTILATION AS A TREATMENT FOR STROKE, for which we have made application for Letters Patent of the United States, which application may be identified in the United States Patent Office as Application Serial No. 09/079,848, filed on May 15, 1998, for NASAL VENTILATION AS A TREATMENT FOR STROKE; and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, ResMed Limited, an Australian company, ACN 003 765 142, whose post office address is 82 Waterloo Road, North Ryde, New South Wales 2113 Australia, is desirous of acquiring the entire interest in and to said invention, said application, or any continuation, division, renewal, or substitute thereof, and the Letters Patent, or any reissue or re-examination thereof, to be obtained therefor:

NOW THEREFORE, for and in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt and sufficiency of which are hereby acknowledged, we have sold, assigned, and set over and by these presents do hereby sell, assign and set over unto the said ResMed Limited, and said assignee's legal representatives, successors and assigns, the entire right, title and interest in and to said invention, said application or any continuation, division, renewal or substitute thereof, international and foreign and regional applications corresponding thereto, and the Letters Patent, both foreign and domestic, that may or shall issue thereon, or any reissue or re-examination thereof; and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above-mentioned assignee, consistent with the terms of this Assignment.

We HEREBY AUTHORIZE the above-mentioned assignee to insert in this instrument the filing date and serial number of our said application.

UPON SAID CONSIDERATION, we hereby covenant and agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will, at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States, or of any and all foreign countries, on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving

testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date indicated below.

JUNE 2, 1998

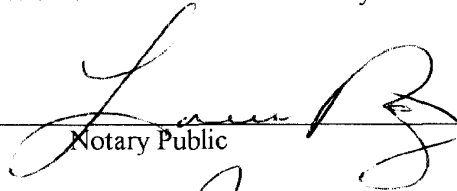
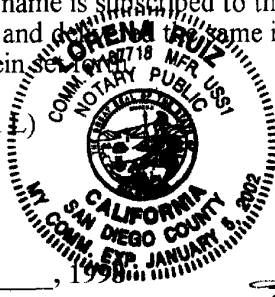


Peter Craig FARRELL
Residence Address:
12475 Rue Fountainbleau
San Diego, California 92131
U.S.A.

STATE OF CALIFORNIA)
COUNTY OF SAN DIEGO) ss.

On this 2nd day of JUNE, 1998, before me a notary public in and for said county, appeared Peter Craig FARRELL who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

(S E A L)


Notary Public


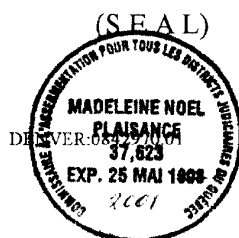
June 19, 1998

Gary William PACE
Residence Address:
6913 Hunters Way
Raleigh, North Carolina 27615
U.S.A.

City of Montreal,
STATE OF Province of Quebec) ss. CANADA.
COUNTY OF _____)

On this 14th day of June, 1998, before me a notary public in and for said county, appeared Gary William PACE who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

(S E A L)


Notary Public # 37,623