

MRD 7-28-98

08-07-1998



Attorney Docket No: 06618/11100101T 2590  
HEET

Assistant Commissioner for Patents: F

100787685

original document

1 Name of conveying party(ies):  
**Philip Moss Platzman**

Additional name(s) attached? ☐ Yes ☒ No

3 Nature of conveyance:  
☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other

Execution Date: **5/19/98**

2 Name and address of receiving party(ies):  
**Lucent Technologies, Inc.**  
**600 Mountain Avenue**  
**Murray Hill, New Jersey 07974-0636**

Additional names/addresses attached? ☐ Yes ☒ No

4 Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

**09/039,975**

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5 Name/address of party to whom correspondence concerning document should be mailed:

**Scott C. Harris**  
**Fish & Richardson P.C.**  
**4225 Executive Square, Suite 1400**  
**La Jolla, CA 92037**

6 Total number of applications/patents involved: **1**

7 Total fee (37 CFR 3.41): **\$40**

☒ Enclosed

☐ Authorized to charge deposit account

8 Deposit account number: **06-1050**

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is a true copy of the original document*

**Scott C. Harris**

Name of Person Signing

Signature

Date

**7/28/98**

Total number of pages including cover sheet, attachments, and document: **2**

08/04/1998 TTON11 00000079 09039975

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Date of Deposit

**7-28-98**

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**Sanctus Christy**  
PATENT  
REEL: **9357 FRAMER 0538**

## ASSIGNMENT AND AGREEMENT

For value received, I, Philip Moss Platzman, of Short Hills in the County of Essex and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **VCSL With A Fiber-Coupled Optical Cavity** described in an application for Letters Patent of the United States, Serial No. 09/039,975, filed March 17, 1998, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

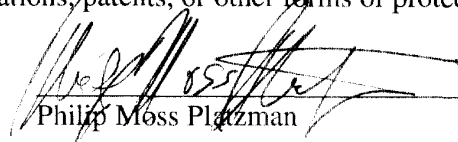
I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

Attorney Docket No. 06618/111001

I agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

  
Philip Moss Platzman


Date: 5-19-98

United States of America )

State of New Jersey ) ss.:

County of Union )

On this 19th day of May, 1998, before me personally came Philip Moss Platzman, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

NOTARY PUBLIC  
STATE OF NEW JERSEY  
JANUARY 1, 1998  
MURRAY HILL, NEW JERSEY

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
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