Docket No.: NEC-F25/USA

| FORM PT: 1595 (Modified) MRD | 08-07- | 1998 T | U.S. DEPARTMENT OF COMMERCE |
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| OMB No. 0651-0011 (exp. 4/94) Copyright 1996-97 LegalStar P08A/REV02 | | | Patent and Trademark Office |
| Tab settings → → → ▼ | 100788 | 1/808 | |
| To the Honorable Commissioner o | f Patents and Trademarks | Auto-10-1 and the contract of | original documents or copy thereof. |
| 1. Name of conveying party(ies): Hirofumi Shimomura Naoya Henmi Hitoshi Takeshita | | 2 Name and address of | receiving party(ies): |
| | | Name: NEC Corporation | |
| | | Address 7-1, Shiba 5-chome, Minato-ku | |
| | | Address, J. I. Samon S | 200 |
| Additional names(s) of conveying party(ies) attached? Yes No | | | 0.0 |
| 3. Nature of conveyance: | | | |
| ★ Assignment | ☐ Merger | | |
| _J Security Agreement | ☐ Change of Name | City Tokyo | State/Prov.: |
| ☐ Other | | Country: Japan | ZIP: |
| Execution Date: July 24, 1998 | | | s) attached? 🗀 Yes 🔀 No |
| | · · · · · · · · · · · · · · · · · · · | | |
| 4. Application number(s) or registration | | | |
| If this document is being filed together | ether with a new application | on, the execution date of the | application is: July 24, 1998 |
| Patent Application No. Fi | ling date | B. Patent No.(s) | |
| | Additional numbers | attacl∉ed? ∐ Yes 💌 No | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | | 6. Total number of applications and patents involved: | |
| | | | |
| 08/04/1996 PSTANDAC 00000011, 09123529 | | 7. Total fee (37 CFR 3.41)\$ 40.00 | |
| | DO OP | | cess or insufficiency should be I to deposit account |
| Address: McGinn & Gibb, P.C. | | Authorized to be o | harged to deposit account |
| 1701 Clarendon Blvd., Suite 100 | and the second s | Authorized to be o | |
| | | ৪. Deposit account numb | o e r: |
| City: Arlington | State/Prov. VA | 50-0481 | |
| Country: USA | ZIP: 22209 | | |
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| 9. Statement and signature. To the best of my knowledge and of the original document. | I belief, the foregoing infor | mation is true and correct at | nd any attached copy is a true copy |
| Sandra Stocklinski | to a set under | and the state of the | July 28, 1998 |
| The second secon | | | Date |
| Name of Person Signing | | Signature cover sheet, attachments, and docu | 3 |

ASSIGNMENT OF APPLICATION FOR PATENT

WHERE4S

NAME AND ADDRESS OF ASSIGNOR

HIROFUMI SHIMOMURA, NAOYA HENMI and HITOSHI TAKESHITA c/o Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and own a certain new and useful invention entitled:

OPTICAL ADD-DROP MULTIPLEXER

TITLE OF INVENTION

WHERE4S

FULL NAME AND ADDRESS OF ASSECTION NEC Corporation of 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted

ASSIGNOR here't y authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States Patent obtained therefor on said application or any

(Assignment of Application for Patent -- page 1 of 2)

PATENT REEL: 9358 FRAME: 0872 continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 24th day of July 1998.

SIGNATURE

By: Octobromic shimomura HEROFUMI SHIMOMURA

SIGNATURE

By: NAOYA RENMI

SIGNATURE

By: HITOSHI TAKESHITA

WITNESSES

Managum Lakouchs

(Assignment of Application for Patent - page 2 of 2)