

08-07-1998



IN THE UN

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ADEMARK OFFICE

In Re the Application of: )  
Weston et al. )  
Serial No.: 09/096,893 )  
Filed: June 12, 1998 )  
Atty. File No.: 3501-18 )  
For: "INHIBITORS OF  $\beta$ -LACTAMASES )  
AND USES THEREFOR" )

Group Art Unit:

Examiner:

RECORDATION OF ASSIGNMENT

BOX ASSIGNMENTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231 ON July 30, 1998.  
SHERIDAN ROSS P.C.

BY: *Charles Russell*

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Scott Weston  
Brian Shoichet

2. Name and address of receiving party(ies):

Northwestern University  
1801 Maple Avenue  
Evanston, IL 60201-3135

3. Nature of Conveyance:

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name
<input type="checkbox"/> Other: _____	

Execution Date: 7/17/98; 7/17/98

4. Application number(s) or patent number(s)

If this document is being filed together with a new  
application, the execution date of the application is:

A. Patent Application No.(s): 09/096,893

B. Patent No.(s): None.

08/06/1998 TTOM11 00000171 09096893

01 FC:581

40.00 BP

PATENT  
REEL: 9359 FRAME: 0736

MRD 7-30-98

5. Name and address of party to whom correspondence concerning documents should be mailed:

Wannell M. Crook  
Sheridan Ross P.C.  
1700 Lincoln Street, Suite 3500  
Denver, Colorado 80203

6. Total number of applications and patents involved:  
1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to Deposit Account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 4

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Wannell M. Crook  
Wannell M. Crook  
Registration No. 31,071  
1700 Lincoln St., Suite 3500  
Denver, Colorado 80203  
(303) 863-9700

Date: July 30, 1998

## ASSIGNMENT


WHEREAS, we, Scott Weston of 3 Wheaton Center, Apt. 614, Wheaton, Illinois 60187, and Brian Shoichet of 230 W. St. Paul Ave., #1, Chicago, Illinois 60614, have invented a certain new and useful invention entitled "INHIBITORS OF  $\beta$ -LACTAMASES AND USES THEREFOR," for which an application for Letters Patent of the United States has been prepared and filed on June 12, 1998, receiving Serial No. 09/096,893, and further identified as Attorney File No. 3501-18;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Northwestern University, an Illinois corporation having a place of business at 1801 Maple Avenue, Evanston, IL 60201-3135, its successors, legal representatives and assigns, the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Northwestern University and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

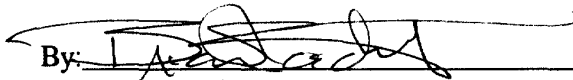
The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Northwestern University, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 7/17/98

By:   
Scott Weston

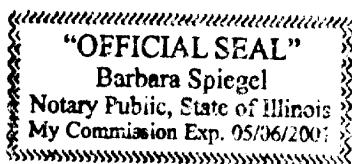
Date: 7/17/98

By:   
Brian Shoichet

STATE OF ILLINOIS       )  
                                  ) ss.  
COUNTY OF Cook       )

Before me, a Notary Public in and for said County and State, personally appeared Scott Weston, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that Scott Weston executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 17<sup>th</sup> day of July, 1998.

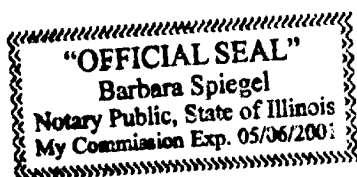


Barbara Spiegel  
Notary Public  
My commission expires: 5/6/2001

STATE OF ILLINOIS       )  
                                  ) ss.  
COUNTY OF Cook       )

Before me, a Notary Public in and for said County and State, personally appeared Brian Shoichet, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that Brian Shoichet executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 17<sup>th</sup> day of July, 1998.



Barbara Spiegel  
Notary Public  
My commission expires: 5/6/2001