

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

08-07-1998



100787855

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

8-5-98

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒

New

☐

Resubmission (Non-Recordation)

Document ID#

☐

Correction of PTO Error

Reel #

Frame #

☐

Corrective Document

Reel #

Frame #

**Conveyance Type**

☒

Assignment

☐

Security Agreement

☐

License

☐

Change of Name

☐

Merger

☐

Other

**U.S. Government**

(For Use ONLY by U.S. Government Agencies)

☐

Departmental File

☐

Secret File

**Conveying Party(ies)**

☐

Mark if additional names of conveying parties attached

Name (line 1)

Louis Marie Rabbe

Execution Date  
Month Day Year

9 25 97

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

☐

Mark if additional names of receiving parties attached

Name (line 1)

Sofamor Danek Holdings, Inc.

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1)

1800 Pyramid Place

Address (line 2)

Address (line 3)

Memphis

City

Tennessee U.S.A.

State/Country

38132

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

08/06/1998 DMGUYEN 00000184 09073081

FOR OFFICE USE ONLY

01 FC:581

40.00 BP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 9361 FRAME: 0083

**Correspondent Name and Address**

Area Code and Telephone Number (317) 634-3456

Name J. Andrew Lowes

Address (line 1) Woodard, Emhardt, Naughton, Moriarty & McNett

Address (line 2) 111 Monument Circle, Suite 3700

Address (line 3) Bank One Center/Tower

Address (line 4) Indianapolis, Indiana 46204-5137

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 4

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

09/073,081

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 23-3030

Authorization to charge additional fees:

Yes ☒

No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

J. Andrew Lowes

Name of Person Signing

Signature

July 31, 1998

Date

## ASSIGNMENT

WHEREAS, Louis Marie Rabbe and Lawrence M. Boyd of Chemin du cros, 48200 Saint Chely d'Apeher FRANCE and 5105 Lynbar Avenue, Memphis TN 38117, hereinafter referred to as Assignors, have made a new and useful invention in **ADJUSTABLE VERTEBRAL BODY REPLACEMENT** for which Assignors have made or will make application for Letters Patent of the United States; and

WHEREAS, Sofamor Danek Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 1800 Pyramid Place, Memphis, TN 38132 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE to all whom it may concern, be it known that for an in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent executed by Assignors this 25th day of September, 1997, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert here in parentheses (\*\* ) the date and serial number of said application when officially known and, and Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee

WITNESS Assignors' hands this \_\_\_\_\_ day of \_\_\_\_\_, 1997.



\_\_\_\_\_  
Louis-Marie Rabbe

\*\*Serial No. 09/073,031,  
Filed May 5, 1998

\_\_\_\_\_  
Lawrence M. Boyd