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FORM PTO-1595
(Rev. 6/93)

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08-07-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

EET



100789106

Attorney's Docket No. 000950-084

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Guohua Chen, Keith E. Dionne, Scott D. Lautenbach,
Scott D. Jordan, Steve A. Berry, Craig I. Rodenberger
and Rupal AyerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: June 25, 1998, July 10, 1998, June 25,
1998, June 26, 1998, June 25, 1998, June 25, 1998 and
July 25, 1998

2. Name and address of receiving party(ies):

Name: ALZA CorporationAddress: 950 Page Mill RoadP.O. Box 10950Palo Alto, CA 94303-0802Additional name(s) & address(es) attached? ☐ Yes ☒ NoJCS42 U.S. PTO
09/12/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 25, 1998, June 25, 1998,
July 10, 1998, June 26, 1998, June 25, 1998, June 25, 1998 and June 25, 1998

A. Patent Application No.(s)

Unassigned

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ALZA CorporationAddress: 950 Page Mill RoadP.O. Box 10950Palo Alto, CA 94303-08026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

07/31/1998 PSTHDC 00099014 09121878

04 FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey A. Pade, Reg. No. P42,970
Name of Person Signing
SignatureJuly 24, 1998
DateTotal number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231PATENT
REEL: 9361 FRAME: 0455

(10/97)

ASSIGNMENT
(JOINT)

WHEREAS, we, Guohua Chen, Keith E. Dionne, Scott D. Lautenbach, Scott Jordan, Steve A. Berry, Craig I. Rodenberger and Rupal Ayer have made certain new and useful inventions in Osmotic Delivery System, Osmotic Delivery System Semipermeable Body Assembly, and Method for Controlling Delivery Rate of Beneficial gents from Osmotic Delivery System, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; ☐ bearing Application No. _____, and filed on _____; and

WE HEREBY AUTHORIZE AND REQUEST our attorney, Pauline Ann Clarke, of ALZA Corporation, or the Director of Intellectual Property, Steve F. Stone, ALZA Corporation, in her absence.

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

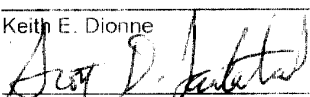
TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

 this 25 day of June 1998; and
Guohua Chen

_____ this _____ day of _____ 1998; and
Keith E. Dionne
 this 25 day of JUNE 1998, and
Scott D. Lautenbach

Scott Jordan this 26 day of June 1998; and
Scott Jordan

Steve A Berry this 25 day of June 1998; and
Steve A. Berry

Craig I. Rodenberger this 25 day of June 1998; and
Craig I. Rodenberger

Rupal Ayer this 25 day of June 1998; and
Rupal Ayer

_____ this _____ day of _____ 1998;

respectively.

ASSIGNMENT
(JOINT)

WHEREAS, we, Guohua Chen, Keith E. Dionne, Scott D. Lautenbach, Scott Jordan, Steve A. Berry, Craig I. Rodenberger and Rupal Ayer have made certain new and useful inventions in Osmotic Delivery System, Osmotic Delivery System Semipermeable Body Assembly, and Method for Controlling Delivery Rate of Beneficial gents from Osmotic Delivery System, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; ☐ bearing Application No. _____, and filed on _____; and

WE HEREBY AUTHORIZE AND REQUEST our attorney, Pauline Ann Clarke, of ALZA Corporation, or the Director of Intellectual Property, Steve F. Stone, ALZA Corporation, in her absence.

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

_____ this _____ day of _____ 1998; and
Guohua Chen

 this 10 day of July 1998; and
Keith E. Dionne

_____ this _____ day of _____ 1998, and
Scott D. Lautenbach

_____ this _____ day of _____ 1998, and
Scott Jordan

_____ this _____ day of _____ 1998, and
Steve A. Berry

_____ this _____ day of _____ 1998, and
Craig I. Rodenberger

_____ this _____ day of _____ 1998, and
Rupal Ayer

_____ this _____ day of _____ 1998.

respectively